

STATE WATER RESOURCES CONTROL BOARD
RESOLUTION NO. 96-068

AMENDMENT TO THE FFY 1996 INTENDED USE PLAN (IUP) AND ACCEPTANCE
OF ADDITIONAL FEDERAL FUNDING FOR THE STATE REVOLVING FUND (SRF)
AND SECTION 604(b) WATER QUALITY MANAGEMENT PLANNING
FROM TRANSFER OF SAFE DRINKING WATER ACT FUNDS TO THE SRF

WHEREAS:

1. On August 2, 1996, \$725 million from the Safe Drinking Water Act became available for transfer to wastewater SRFs.
2. California's share of this money is \$52,246,800, which includes \$522,468 for Section 604(b) grants.
3. Authorization to accept the FFY 1996 SRF Capitalization Grant and the 604(b) grant amendment for the additional Safe Drinking Water Act monies is needed.

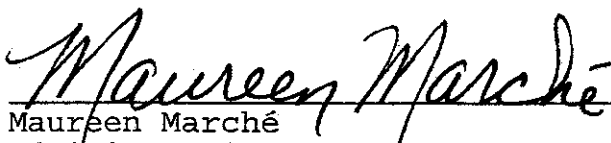
THEREFORE BE IT RESOLVED THAT:

The State Water Resources Control Board:

1. Approves the Amended FFY 1996 IUP.
2. Authorizes the Executive Director to accept the FFY 1996 SRF Capitalization Grant and 604(b) grant amendments.

CERTIFICATION

The undersigned, Administrative Assistant to the Board, does hereby certify that the foregoing is a full, true, and correct copy of a resolution duly and regularly adopted at a meeting of the State Water Resources Control Board held on September 19, 1996.


Maureen Marché
Administrative Assistant to the Board

STATE OF CALIFORNIA
AMENDED INTENDED USE PLAN FOR FFY 1996

PREPARED BY: The Division of Clean Water Programs
State Water Resources Control Board
Adopted by the State Water Resources Control
Board on September 19, 1996

September 19, 1996

INTRODUCTION

The State Water Resources Control Board (SWRCB) submits this amended Intended Use Plan (IUP) to the United States Environmental Protection Agency (EPA)

PROJECT INFORMATION

The SWRCB has prepared detailed schedules showing the projects scheduled for binding loan commitments from FFY 1996 funds, which include the additional Drinking Water monies.

- A. Table 1A is a summary of California's share of the FFY 1996 supplemental appropriation.
- B. Table 2A is a list of projects the SWRCB expects to make binding loan commitments with the supplemental funding.
- C. Table 3A is a list of projects disbursements the SWRCB expects to make from FFY 1996 funds which include the additional Drinking Water Monies.
- D. Table 4A is the Automated Clearinghouse Adjustment Schedule for all FFY 1996 funds

SUBSTITUTION

The SWRCB may substitute projects from the approved State Revolving Fund (SRF) Project Priority List for any projects scheduled for funding in the FFY 1996 IUP.

TABLE 1 A

SUPPLEMENTAL ALLOTMENT BREAKDOWN

Date: August 30, 1996

Table 1A

FFY 1996
Supplemental Allotment:
1996 Capitalization Grant
(Safe Drinking Water Act Transfer)

Federal allotment	=	\$52,246,800
604(b) set aside (1%)	=	-\$522,468
SRF allotment	=	\$51,724,332
4% admin. amount	=	-\$2,068,973
20% state match	=	\$10,344,866*
Total available for projects	=	\$60,000,225

FFY 1996
Total Allotment **

SRF allotment	=	\$144,296,955
4% admin. amount	=	-\$5,771,878
20% state match	=	\$28,859,391*
Total available for projects	=	\$167,384,468

* Assumes 20 percent state match from 1996 Safe, Clean, Reliable Water Supply Act scheduled for voter approval on the November 1996 ballot.

** Includes normal FFY 1996 SRF allotment and the transfer of the Safe Drinking Water Act money to the wastewater SRF.

TABLE 2 A

PROJECTED BINDING LOAN COMMITMENTS SCHEDULES

STATE REVOLVING FUND

1996 FEDERAL CAPITALIZATION GRANT BINDING LOAN COMMIT. - TOTAL DOLLARS

DATE OF ANALYSIS: AUGUST 21, 1996

APPLICANT	PROJ. NO. *	COMMIT. DATE	ASSIST. TYPE	EQUIV. PROJ.	FEDERAL \$	STATE \$	TOTAL \$
SANTA CRUZ COUNTY	6036-110	Dec-96	REG	NO	0	0	0
CITY OF DAVIS	4360-110	Mar-97	REG	YES	1,847,909	369,591	2,217,500
SAN ELIJO (CARDIFF)	4155-110	Apr-97	REG	YES	4,166,650	833,350	5,000,000
CRESTLINE SANITATION DISTRICT	4351-110	Mar-97	REG	YES	10,174,959	2,035,041	12,210,000
NORTH OF RIVER SAN. DISTRICT	4337-110	Mar-97	REG	YES	1,491,661	298,339	1,790,000
EBMUD (PUMP STATION B)	4003-490	Mar-97	REG	YES	12,499,950	2,500,050	15,000,000
GOLETA WATER DISTRICT	4198-110	Mar-97	REG	YES	2,083,325	416,675	2,500,000
CITY OF ESCONDIDO	4156-110	Mar-97	REG	YES	16,566,600	3,333,400	20,000,000
CITY OF PACIFICA	4121-112	Apr-97	REG	YES	1,249,995	250,005	1,500,000
CITY OF ALAMEDA (3RD PHASE)	4007-310	Mar-97	REG	YES	9,166,630	1,833,370	11,000,000
CITY OF SOUTHGATE	4492-110	Mar-97	REG	YES	2,999,988	600,012	3,600,000
CITY OF BAKERSFIELD	4321-110	Mar-97	REG	YES	8,333,300	1,666,700	10,000,000
CITY OF SAN JOSE	4220-110	Feb-97	REG	YES	19,249,923	3,850,077	23,100,000
LAKE COUNTY SAN. DISTRICT	4087-110	Mar-97	REG	YES	8,333,300	1,666,700	10,000,000
CITY OF ESCONDIDO	4156-110	Apr-97	REG	YES	6,666,640	1,333,360	8,000,000
CITY OF SOUTH LAKE TAHOE	6025-110	Mar-97	REG	YES	20,833,250	4,166,750	25,000,000
CITY OF PACIFICA	4121-110	Mar-97	REG	NO	0	0	0
SRF MANAGEMENT FFY 1996		Mar-97	REG	NO	1,195,829	239,171	1,435,000
		Mar-97	REG	NO	12,499,950	2,500,050	15,000,000
		Mar-97	REG	NO	0	0	0
		*****		0	0	0	0
		Sep-96		0	4,809,879	961,999	5,771,878
		*****		0	0	0	0
TOTALS					144,269,738	28,854,640	173,124,378

* NPS PROJ. NOS. BEGIN WITH "6000"

STATE REVOLVING FUND

1996 FEDERAL CAPITALIZATION GRANT BINDING LOAN COMMIT. - TOTAL DOLLARS

DATE OF ANALYSIS: AUGUST 21, 1996

FFY - 96

FFY - 97

APPLICANT	PROJ. NO. *	96-1	96-2	96-3	96-4	97-1	97-2
SANTA CRUZ COUNTY	0	0	0	0	0	0	0
CITY OF DAVIS	6036-110	0	0	0	0	0	2,217,500
SAN ELJO (CARDIFF)	4360-110	0	0	0	0	0	0
CRESTLINE SANITATION DISTRICT	4155-110	0	0	0	0	0	12,210,000
NORTH OF RIVER SAN. DISTRICT	4351-110	0	0	0	0	0	1,790,000
EBMUD (PUMP STATION B)	4337-110	0	0	0	0	0	15,000,000
GOLETA WATER DISTRICT	4003-490	0	0	0	0	0	2,500,000
CITY OF ESCONDIDO	4198-110	0	0	0	0	0	20,000,000
CITY OF PACIFICA	4156-110	0	0	0	0	0	0
CITY OF ALAMEDA (3RD PHASE)	4121-112	0	0	0	0	0	11,000,000
CITY OF SOUTHGATE	4007-310	0	0	0	0	0	3,600,000
CITY OF BAKERSFIELD	4492-110	0	0	0	0	0	10,000,000
CITY OF SAN JOSE	4321-110	0	0	0	0	0	23,100,000
LAKE COUNTY SAN. DISTRICT	4220-110	0	0	0	0	0	10,000,000
CITY OF ESCONDIDO	4087-110	0	0	0	0	0	8,000,000
CITY OF SOUTH LAKE TAHOE	4156-110	0	0	0	0	0	0
CITY OF PACIFICA	6025-110	0	0	0	0	0	0
	4121-110	0	0	0	0	0	1,435,000
SRF MANAGEMENT FFY 1996		0	284,152	0	5,487,726	0	15,000,000
TOTALS	0	0	284,152	0	5,487,726	0	135,852,500

* NPS PROJ. NOS. BEGIN WITH "6000"

STATE REVOLVING FUND

1996 FEDERAL CAPITALIZATION GRANT BINDING LOAN COMMIT. - TOTAL DOLLARS

DATE OF ANALYSIS: AUGUST 21, 1996

FFY - 98

APPLICANT	PROJ. NO. *	97-3	97-4	98-1	98-2	98-3	98-4
SANTA CRUZ COUNTY	6036-110	0	0	0	0	0	0
CITY OF DAVIS	4360-110	0	0	0	0	0	0
SAN ELIJO (CARDIFF)	4155-110	5,000,000	0	0	0	0	0
CRESTLINE SANITATION DISTRICT	4351-110	0	0	0	0	0	0
NORTH OF RIVER SAN. DISTRICT	4337-110	0	0	0	0	0	0
EBMUD (PUMP STATION B)	4003-490	0	0	0	0	0	0
GOLETA WATER DISTRICT	4198-110	0	0	0	0	0	0
CITY OF ESCONDIDO	4156-110	1,500,000	0	0	0	0	0
CITY OF PACIFICA	4121-112	0	0	0	0	0	0
CITY OF ALAMEDA (3RD PHASE)	4007-310	0	0	0	0	0	0
CITY OF SOUTHGATE	4492-110	0	0	0	0	0	0
CITY OF BAKERSFIELD	4321-110	0	0	0	0	0	0
CITY OF SAN JOSE	4220-110	0	0	0	0	0	0
LAKE COUNTY SAN. DISTRICT	4087-110	0	0	0	0	0	0
CITY OF ESCONDIDO	4156-110	25,000,000	0	0	0	0	0
		0	0	0	0	0	0
CITY OF SOUTH LAKE TAHOE	6025-110	0	0	0	0	0	0
CITY OF PACIFICA	4121-110	0	0	0	0	0	0
		0	0	0	0	0	0
		0	0	0	0	0	0
SRF MANAGEMENT FFY 1996		0	0	0	0	0	0
TOTALS		31,500,000	0	0	0	0	0

* NPS PROJ. NOS. BEGIN WITH "6000"

TABLE 3 A

PROJECT DISBURSEMENTS SCHEDULES

STATE REVOLVING FUND

1996 FEDERAL CAPITALIZATION GRANT PROJECTED EXPENDITURES - TOTAL DOLLARS

DATE OF ANALYSIS: AUGUST 21, 1996

APPLICANT	PROJ. NO. *	COMMIT. DATE	ASSIST. TYPE	EQUIV. PROJECT	FEDERAL \$	STATE \$ **	TOTAL \$
SANTA CRUZ COUNTY	6036-110	Dec-96	REG				0
CITY OF DAVIS	4360-110	Mar-97	REG	NO	1,847,909	369,591	2,217,500
SAN ELIJO (CARDIFF)	4155-110	Apr-97	REG	YES	4,166,650	833,350	5,000,000
CRESTLINE SANITATION DISTRICT	4351-110	Mar-97	REG	YES	10,174,959	2,035,041	12,210,000
NORTH OF RIVER SAN. DISTRICT	4337-110	Mar-97	REG	YES	1,491,661	298,339	1,790,000
EBMUD (PUMP STATION B)	4003-490	Mar-97	REG	YES	12,499,950	2,500,050	15,000,000
GOLETA WATER DISTRICT	4198-110	Mar-97	REG	YES	2,083,325	416,675	2,500,000
CITY OF ESCONDIDO	4156-110	Mar-97	REG	YES	16,666,600	3,333,400	20,000,000
CITY OF PACIFICA	4121-112	Apr-97	REG	YES	1,249,995	250,005	1,500,000
CITY OF ALAMEDA (3RD PHASE)	4007-310	Mar-97	REG	YES	9,166,630	1,833,370	11,000,000
CITY OF SOUTHGATE	4492-110	Mar-97	REG	YES	2,999,988	600,012	3,600,000
CITY OF BAKERSFIELD	4321-110	Mar-97	REG	YES	8,333,300	1,666,700	10,000,000
CITY OF SAN JOSE	4220-110	Mar-97	REG	YES	19,249,923	3,850,077	23,100,000
LAKE COUNTY SAN. DISTRICT	4087-110	Feb-97	REG	YES	8,333,300	1,666,700	10,000,000
CITY OF ESCONDIDO	4156-110	Mar-97	REG	YES	6,666,640	1,333,360	8,000,000
CITY OF SOUTH LAKE TAHOE	6025-110	Apr-97	REG	YES	20,833,250	4,166,750	25,000,000
CITY OF PACIFICA	4121-110	Mar-97	REG	NO	0	0	0
		Mar-97	REG	NO	1,195,829	239,171	1,435,000
		Mar-97	REG	NO	12,499,950	2,500,050	15,000,000
		Mar-97	REG	NO	0	0	0
SRF MANAGEMENT FFY 1996		Sep-96			4,809,879	961,999	5,771,878
					0	0	0
					144,269,738	28,854,640	173,124,378
TOTAL \$							
FEDERAL \$					144,269,955	28,859,391	173,156,346
STATE \$							

* NPS PROJECT NOS. BEGIN WITH "60000"

** STATE SHARE IS 16.667%

STATE REVOLVING FUND

1996 FEDERAL CAPITALIZATION GRANT PROJECTED EXPENDITURES - TOTAL DOLLARS

DATE OF ANALYSIS: AUGUST 21, 1996

FFY - 96

FFY - 97

APPLICANT	PROJ. NO. *	96-1	96-2	96-3	96-4	97-1	97-2
SANTA CRUZ COUNTY	6036-110	0	0	0	0	0	0
CITY OF DAVIS	4360-110	0	0	0	0	0	200,000
SAN ELIJO (CARDIFF)	4155-110	0	0	0	0	0	0
CRESTLINE SANITATION DISTRICT	4351-110	0	0	0	0	0	2,000,000
NORTH OF RIVER SAN. DISTRICT	4337-110	0	0	0	0	1,000,000	0
EBMUD (PUMP STATION B)	4003-490	0	0	0	0	0	2,000,000
GOLETA WATER DISTRICT	4198-110	0	0	0	0	0	300,000
CITY OF ESCONDIDO	4156-110	0	0	0	0	2,000,000	3,000,000
CITY OF PACIFICA	4121-112	0	0	0	0	0	100,000
CITY OF ALAMEDA (3RD PHASE)	4007-310	0	0	0	0	0	1,000,000
CITY OF SOUTHGATE	4492-110	0	0	0	0	700,000	800,000
CITY OF BAKERSFIELD	4321-110	0	0	0	0	0	1,000,000
CITY OF SAN JOSE	4220-110	0	0	0	0	0	2,000,000
LAKE COUNTY SAN. DISTRICT	4087-110	0	0	0	0	0	0
CITY OF ESCONDIDO	4156-110	0	0	0	0	0	800,000
CITY OF SOUTH LAKE TAHOE	6025-110	0	0	0	0	0	0
CITY OF PACIFICA	4121-110	0	0	0	0	200,000	250,000
SRF MANAGEMENT FFY 1996		0	0	0	0	1,600,000	2,000,000
		0	0	0	0	0	0
		0	0	0	0	0	0
		0	0	0	0	0	0
		0	0	0	0	0	0
		0	0	0	0	0	0
		0	0	0	0	0	0
		0	0	0	0	0	0
TOTAL \$		0	0	0	0	5,500,000	15,450,000
FEDERAL \$		0	0	0	0	4,583,315	12,874,949
STATE \$		0	0	0	0	916,685	2,575,052

* NPS PROJECT NOS. BEGIN WITH "60000"
** STATE SHARE IS 16.667%

STATE REVOLVING FUND

1996 FEDERAL CAPITALIZATION GRANT PROJECTED EXPENDITURES - TOTAL DOLLARS

DATE OF ANALYSIS: AUGUST 21, 1996

FFY - 98

APPLICANT	PROJ. NO. *	97-3	97-4	98-1	98-2	98-3	98-4
SANTA CRUZ COUNTY	6036-110	0	400,000	0	0	0	0
CITY OF DAVIS	4360-110	0	500,000	700,000	600,000	217,500	0
SAN ELIJO (CARDIFF)	4155-110	3,000,000	4,000,000	610,000	1,000,000	1,000,000	1,800,000
CRESTLINE SANITATION DISTRICT	4351-110	0	200,000	400,000	600,000	590,000	0
NORTH OF RIVER SAN. DISTRICT	4337-110	3,000,000	3,300,000	4,000,000	1,000,000	700,000	0
EBMUD (PUMP STATION B)	4003-490	400,000	500,000	600,000	700,000	0	0
GOLETA WATER DISTRICT	4198-110	4,000,000	5,000,000	2,000,000	3,000,000	1,000,000	0
CITY OF ESCONDIDO	4156-110	500,000	400,000	400,000	100,000	0	0
CITY OF PACIFICA	4121-112	1,500,000	2,000,000	2,500,000	3,000,000	1,000,000	0
CITY OF ALAMEDA (3RD PHASE)	4007-310	900,000	800,000	400,000	0	0	0
CITY OF SOUTHGATE	4492-110	2,000,000	3,000,000	4,000,000	0	0	0
CITY OF BAKERSFIELD	4321-110	3,000,000	4,000,000	5,000,000	6,000,000	3,100,000	0
CITY OF SAN JOSE	4220-110	1,000,000	2,000,000	3,000,000	4,000,000	0	0
LAKE COUNTY SAN. DISTRICT	4087-110	1,000,000	2,000,000	2,200,000	2,000,000	0	0
CITY OF ESCONDIDO	4156-110	3,500,000	4,000,000	5,000,000	6,000,000	1,000,000	2,700,000
CITY OF SOUTH LAKE TAHOE	6025-110	0	0	0	0	0	0
CITY OF PACIFICA	4121-110	300,000	400,000	135,000	150,000	0	0
		3,000,000	4,000,000	3,000,000	1,400,000	0	0
		0	0	0	0	0	0
		0	0	0	0	0	0
		0	0	0	0	0	0
		0	0	0	0	0	0
		0	0	0	0	0	0
SRF MANAGEMENT FFY 1996		0	0	0	0	0	0
TOTAL \$		27,400,000	36,500,000	34,445,000	30,550,000	10,207,500	4,500,000
FEDERAL \$		22,833,242	30,416,545	28,704,052	25,458,232	8,506,216	3,749,985
STATE \$		4,566,758	6,083,455	5,740,948	5,091,769	1,701,284	750,015

* NPS PROJECT NOS. BEGIN WITH "60000"
** STATE SHARE IS 16.667%

STATE REVOLVING FUND

1996 FEDERAL CAPITALIZATION GRANT PROJECTED EXPENDITURES - TOTAL DOLLARS

DATE OF ANALYSIS: AUGUST 21, 1996

FFY - 99

FFY - 2000

APPLICANT	PROJ. NO. *	99-1	99-2	99-3	99-4	2000-1	2000-2
SANTA CRUZ COUNTY	6036-110	0	0	0	0	0	0
CITY OF DAVIS	4360-110	0	0	0	0	0	0
SAN ELIJO (CARDIFF)	4155-110	0	0	0	0	0	0
CRESTLINE SANITATION DISTRICT	4351-110	0	0	0	0	0	0
NORTH OF RIVER SAN. DISTRICT	4337-110	0	0	0	0	0	0
EBMUD (PUMP STATION B)	4003-490	0	0	0	0	0	0
GOLETA WATER DISTRICT	4198-110	0	0	0	0	0	0
CITY OF ESCONDIDO	4156-110	0	0	0	0	0	0
CITY OF PACIFICA	4121-112	0	0	0	0	0	0
CITY OF ALAMEDA (3RD PHASE)	4007-310	0	0	0	0	0	0
CITY OF SOUTHGATE	4492-110	0	0	0	0	0	0
CITY OF BAKERSFIELD	4321-110	0	0	0	0	0	0
CITY OF SAN JOSE	4220-110	0	0	0	0	0	0
LAKE COUNTY SAN. DISTRICT	4087-110	0	0	0	0	0	0
CITY OF ESCONDIDO	4156-110	2,800,000	0	0	0	0	0
CITY OF SOUTH LAKE TAHOE	6025-110	0	0	0	0	0	0
CITY OF PACIFICA	4121-110	0	0	0	0	0	0
SRF MANAGEMENT FFY 1996							
TOTAL \$		2,800,000	800,000	1,300,000	1,300,000	1,400,000	971,878
FEDERAL \$		2,333,324	800,000	1,300,000	1,300,000	1,400,000	971,878
STATE \$		466,676	666,664	1,083,329	1,083,329	1,166,662	809,895
			133,336	216,671	216,671	233,338	161,983

* NPS PROJECT NOS. BEGIN WITH "60000"

** STATE SHARE IS 16.667%

STATE REVOLVING FUND

1996 FEDERAL CAPITALIZATION GRANT PROJECTED EXPENDITURES - TOTAL DOLLARS

DATE OF ANALYSIS: AUGUST 21, 1996

FFY - 2001

APPLICANT	PROJ. NO. *	FFY - 2001				2001-4	ACTUAL EXPEND. TOTAL \$	CHECK	EXPEND. REMAINING
		2000-3	2000-4	2001-1	2001-2				
SANTA CRUZ COUNTY	6036-110	0	0	0	0	0	0	0	
CITY OF DAVIS	4360-110	0	0	0	0	0	0	2,217,500	
SAN ELIJO (CARDIFF)	4155-110	0	0	0	0	0	0	5,000,000	
KRESTLINE SANITATION DISTRICT	4351-110	0	0	0	0	0	0	12,210,000	
NORTH OF RIVER SAN. DISTRICT	4337-110	0	0	0	0	0	0	1,790,000	
EBMUD (PUMP STATION B)	4003-490	0	0	0	0	0	0	15,000,000	
COLETA WATER DISTRICT	4198-110	0	0	0	0	0	0	2,500,000	
CITY OF ESCONDIDO	4156-110	0	0	0	0	0	0	20,000,000	
CITY OF PACIFICA	4121-112	0	0	0	0	0	0	1,500,000	
CITY OF ALAMEDA (3RD PHASE)	4007-310	0	0	0	0	0	0	11,000,000	
CITY OF SOUTHGATE	4492-110	0	0	0	0	0	0	3,600,000	
CITY OF BAKERSFIELD	4321-110	0	0	0	0	0	0	10,000,000	
CITY OF SAN JOSE	4220-110	0	0	0	0	0	0	23,100,000	
LAKE COUNTY SAN. DISTRICT	4087-110	0	0	0	0	0	0	10,000,000	
CITY OF ESCONDIDO	4156-110	0	0	0	0	0	0	8,000,000	
CITY OF SOUTH LAKE TAHOE	6025-110	0	0	0	0	0	0	25,000,000	
CITY OF PACIFICA	4121-110	0	0	0	0	0	0	1,435,000	
SRF MANAGEMENT FFY 1996		0	0	0	0	0	0	15,000,000	
TOTAL \$		0	0	0	0	0	0	0	
FEDERAL \$		0	0	0	0	0	0	0	
STATE \$		0	0	0	0	0	0	5,771,878	

* NPS PROJECT NOS. BEGIN WITH "60000"
** STATE SHARE IS 16.667%

TABLE 4 A

EPA AUTOMATED CLEARINGHOUSE ADJUSTMENT SCHEDULE

August 31, 1996
(amended)

Table 4A

EPA AUTOMATED CLEARING HOUSE ADJUSTMENT SCHEDULE
FISCAL YEAR 1996 FUNDS
(Cumulative)

Wastewater plus Drinking Water Capitalization Grants*

<u>FFY</u>	<u>First Quarter</u> (Oct 1)	<u>Second Quarter</u> (Jan 1)	<u>Third Quarter</u> (Apr 1)	<u>Fourth Quarter</u> (Jul 1)
1996	0	0	0	\$5,000,000
1997	\$10,000,000	\$15,000,000	\$20,000,000	\$30,000,000
1998	\$40,000,000	\$70,000,000	\$100,000,000	\$144,296,955

*Includes: \$92,572,623 Waste Water SRF
\$51,724,332 Drinking Water SRF