



STATE WATER RESOURCES CONTROL BOARD
 Division of Financial Assistance
 P. O. Box 944212, Sacramento, CA 94244-2120

PRIORITY SCORE ESTIMATION WORKSHEET

This worksheet is designed to help an applicant estimate the Clean Water State Revolving Fund (CWSRF) Priority Score for its project and, therefore, the likelihood that a project will be added to the CWSRF Fundable List; a project must be on the Fundable List to receive funding from the CWSRF and its complementary programs. Applicants should bear in mind that the official Priority Score will be calculated by the Division of Financial Assistance (DFA) staff based on application materials submitted as of December 31 of the year preceding the Fundable Year. Projects will only be recommended for the Fundable List after verification of all Priority Scores, and Scores will only be official after the State Water Board has approved the Scores and the Fundable List as part of adopting the annual Intended Use Plan.

Project # (if known):
 Applicant:
 Project Title:

A. Automatic Fundability

Two types of projects noted below are automatically added to the Fundable List. If you believe that your project qualifies under either category, you should contact DFA staff directly for further guidance about your application. Scoring your project is unnecessary if it is automatically Fundable.

1. Projects from Applicants that qualify as a Small, Severely Disadvantaged or a Small Disadvantaged Community because:
 - The population is less than 20,000; and
 - The Median Household Income (MHI) is less than eighty percent (80%) of the statewide MHI or the sewer rate is greater than four percent (4%) of the community's MHI.

2. Public Health Projects are those where:
 - The County Board of Supervisors, City Council, or the County Health Officer has certified that a health problem exists and the State Water Board or a Regional Water Board has (a) adopted a discharge prohibition, (b) approved a moratorium, or (c) adopted a cease and desist order; or
 - A project is required to comply with a prohibition, posting, limitation, or warning imposed by a responsible health authority and the State Water Board or a Regional Water Board has concurred with the health authority's determination and established a time schedule for correction or elimination of the problem.



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B. New Projects Ineligible for Addition to the Fundable List

New projects will not be added to the Fundable List if either of the following conditions is true. Applicants should not estimate their Priority Scores because these projects are not Fundable.

1. More than 25% of construction has been completed on or before December 31 of the year preceding the Fundable Year.
2. Construction will start on or before December 31 of the year preceding the Fundable Year and construction is scheduled to be completed before June 15 or the date the Intended Use Plan will be adopted.

C. Estimate the Priority Score

All projects, except those from small, severely disadvantaged or small disadvantaged communities and public health projects, must be scored to be added to the Fundable List. The Priority Score is the sum of three components: (a) the “primary score,” (b) the “secondary score,” and (c) the “readiness score.” Pro-rated scores are not awarded for any components of the Priority Score. The three sections below will assist you with estimating the primary, secondary, and readiness scores for your project.

1. Primary Score

The primary score is determined by the water quality resource or impact associated with the project and the project’s purpose. Projects may have multiple purposes or impacts. If a project can be scored multiple ways, its final primary score will be the highest of the possible primary scores. The “purposes” are defined at the end of this section.

A project that does not meet any of the objectives below will receive a primary score of two (2) for the project.

Drinking Water Source

If the project impacts an identified source of drinking water, then it may be scored in this category. Applicants will be required to identify the drinking water source, the current or reasonably foreseeable users of that source, the drinking water standards that are in jeopardy, and demonstrate a direct connection between completion of the project and the elimination or reduction of the constituents that jeopardize the drinking water standards.



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The potential primary scores for a Drinking Water Source project based on the purpose of the project are as follows:

Corrective	9
Preventive	8
Improvement	7

Enter Potential Score: _____

Delta Water Quality

If the project affects water quality in the Sacramento-San Joaquin Delta, then it may be scored in this category. Applicants must demonstrate a direct connection between completion of the project and a benefit to water quality in the Sacramento-San Joaquin Delta Estuary.

The potential primary scores for a Delta Water Quality project based on the purpose of the project are as follows:

Corrective	8
Preventive	7
Improvement	7

Enter Potential Score: _____

Water Recycling

Projects must meet the requirements of the *Water Recycling Funding Program Guidelines*.

The potential primary score for a Water Recycling project is seven (7).

Enter Potential Score: _____

Impaired Water Body

If the project will help implement a Total Maximum Daily Load, then it may be scored in this category. Applicants will be expected to identify the 303(d) listed water body, the specific impairment, and demonstrate a direct connection between completion of the project and correction, prevention, or improvement of the specific impairment.



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The potential scores for an Impaired Water Body project based on the purpose of the project are as follows:

Corrective	8
Preventive	6
Improvement	4

Enter Potential Score: _____

Water Quality Control Plan or Permit

If a project addresses compliance with a specific water quality control plan or permit, then it may be scored in this category. Applicants will be expected to identify the specific criteria in the Regional Water Board or State Water Board adopted plan, policy, or permit and demonstrate a direct connection between completion of the project and correction, prevention, or improvement of the conditions affecting the criteria.

The potential scores for a Water Quality Control Plan or Permit project based on the purpose of the project are as follows:

Corrective	8
Preventive	6
Improvement	4

Enter Potential Score: _____

Purposes

Corrective: To qualify as a corrective project, the applicant must identify the specific plan, policy, or permit criteria that are being violated or exceeded, document the extent of the violations, document that the violations are the subject of enforcement actions, if applicable, by a Regional Water Board or the State Water Board, and demonstrate a direct connection between completion of the project and correction of the problem.

Preventive: To qualify as a preventive project, an applicant must demonstrate that not completing the project is likely to result in a plan, policy, or permit violation or exceedance in the near future. The applicant must identify the specific criteria that will potentially be violated or exceeded and demonstrate a direct connection between completion of the project and prevention of the violations.

Improvement: To qualify as an improvement project, an applicant must demonstrate that, while not necessary to meet current standards or prevent near-term violations, the project outcome will surpass current plan, policy, or permit requirements, meet anticipated future requirements, or be done to improve the efficiency and reliability of meeting standards or reduce operating costs.



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After checking for all the potential Scores above, select the highest single Score and enter it below. This is your Estimated Primary Score. The highest Estimated Primary Score is nine (9) points.

Estimated Primary Score: _____

2. Secondary Score

The secondary score reflects project or applicant attributes that the State Water Board considers favorable or wishes to incentivize. Projects and applicants may have more than one of these attributes. If a project or applicant has more than one of these attributes, its final secondary score will be the highest of the possible secondary scores. Applicants will be expected to provide documentation in their applications to support any claims for secondary scoring points.

A project or applicant that does not have any of the attributes below will receive a secondary score of zero (0) for the project.

Projects may receive three (3) secondary points if one or more of the following is true about the project or the applicant.

- The applicant has adopted a “climate change” action plan or policy, and the plan or policy is applicable to the system being financed or the project will help implement the plan or policy actions.
- The project addresses multiple water quality impairments.
- The project eliminates or reduces multiple sources of water pollution.
- The project eliminates a discharge of waste regulated by a Regional Water Board or the State Water Board.

Projects may receive two (2) secondary points if one or more of the following is true about the project or the applicant.

- The project is cited in a multi-agency regional environmental management plan.
- The project increases the local supply of drinking water.
- The project has multi-media environmental benefits.
- The applicant agrees to provide funds to match federal capitalization grants by agreeing to the match financing option¹.

¹ Applicants must commit to the match financing option at the time of scoring. Rejecting the match option later may result in being removed from the Fundable List.



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After reviewing the potential secondary scoring attributes above, select the highest single Secondary Score and enter it below. The highest Estimated Secondary Score is three (3) points.

Estimated Secondary Score: _____

3. Readiness Score

Projects may receive additional points if they are ready for a financing agreement and are ready to go to construction.

If a complete application has been submitted to DFA or you expect to submit a complete application to DFA by December 31 of the year preceding the Fundable Year, then estimate two (2) “application readiness points.”

If the project’s Plans & Specifications will be greater than 49% complete on December 31, then the project may receive one (1) “construction readiness point,” or if the project’s Plans & Specifications will be greater than 89% complete on December 31, the project may receive two (2) “construction readiness points.” If the project’s Plans & Specifications are expected to be less than 50% on December 31 of the year preceding the Fundable Year, then the project will receive zero “construction readiness points.”

The Estimated Readiness Score is the sum of the “application readiness points” and the “construction readiness points.” Enter the Estimated Readiness Score below. The highest Estimated Readiness Score is four (4) points.

Estimated Readiness Score: _____

Add the estimated primary, secondary, and readiness scores to obtain the Estimated Priority Score. The highest Estimated Priority Score is sixteen (16) points.

Primary _____

Secondary _____

Readiness _____

Estimated Priority Score _____