

## APPENDIX 1

### STORM WATER POLLUTION PREVENTION PLAN (SWPPP) CHECKLIST

NATIONAL POLLUTION DISCHARGE ELIMINATION SYSTEM (NPDES)  
GENERAL PERMIT FOR STORM WATER DISCHARGES  
ASSOCIATED WITH INDUSTRIAL ACTIVITIES  
(GENERAL PERMIT)

FACILITY NAME: \_\_\_\_\_

Waste Discharge Identification (WDID) #: \_\_\_\_\_

|                       | FACILITY CONTACT | Consultant/Qualified<br>Industrial Storm Water<br>Practitioner (QISP) |
|-----------------------|------------------|---|
| <b>Name</b>           |                  |   |
| <b>Title</b>          |                  |   |
| <b>Company</b>        |                  |   |
| <b>Street Address</b> |                  |   |
| <b>City, State</b>    |                  |   |
| <b>Zip</b>            |                  |   |

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|---|----------------|--|--|
| Signed Certification<br>(Section II.A)        |                |  |  |
| Pollution Prevention Team<br>(Section X.D.1)  |                |  |  |
| Existing Facility Plans<br>(Section X.D.2)    |                |  |  |
| <b>Site Map(s) (Section X.E)</b>              |                |  |  |
| Facility boundaries<br>(Section X.E.3.a)      |                |  |  |
| Drainage areas<br>(Section X.E.3.a)           |                |  |  |
| Direction of flow<br>(Section X.E.3.a)        |                |  |  |
| On-facility water bodies<br>(Section X.E.3.a) |                |  |  |

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| Areas of soil erosion<br>(Section X.E.3.a)                             |                |  |  |
| Nearby water bodies<br>(Section X.E.3.a)                               |                |  |  |
| Municipal storm drain inlets<br>(Section X.E.3.a)                      |                |  |  |
| Points of discharge<br>(Section X.E.3.b)                               |                |  |  |
| <u>Sampling Locations</u><br>(Section X.E.3.b)                         |                |  |  |
| Structural control measures<br>(Section X.E.3.bc)                      |                |  |  |
| Impervious areas<br>(Section X.E.3.ed)                                 |                |  |  |
| Location of Directly Exposed<br>Materials (Section VIII.X.E.3.ed)      |                |  |  |
| Locations of significant spills and<br>leaks<br><br>(Section X.E.3.de) |                |  |  |
| <u>Areas of Industrial Activity</u><br>(Section VIII.X.E.3.f)          |                |  |  |
| Areas of industrial activity<br>(Section X.E.3.ef)                     |                |  |  |
| Storage areas/storage tanks<br>(Section X.E.3.ef)                      |                |  |  |
| Shipping and receiving areas<br>(Section X.E.3.ef)                     |                |  |  |
| Fueling areas<br>(Section X.E.3.ef)                                    |                |  |  |
| Vehicle and equipment<br>storage/maintenance<br>(Section X.E.3.ef)     |                |  |  |
| Material handling/processing<br>(Section X.E.3.ef)                     |                |  |  |
| <u>Waste treatment/disposal</u><br>(Section X.E.3.f)                   |                |  |  |
| <b><u>Waste Treatment/Disposal (Section VIII.E.3.e)</u></b>            |                |  |  |
| Dust or particulate generation<br>(Section X.E.3.ef)                   |                |  |  |

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| Cleaning and material reuse<br>(Section X.E.3.ef)  |                       |   |  |
| Other areas of industrial activities<br>(Section X.E.3.ef)   |                       |   |  |
| <b>List of Significant Industrial Materials (Section X.F)</b>  |                       |   |  |
| <b>Storage location</b>  |                       |   |  |
| Quantity   |                       |   |  |
| Frequency  |                       |   |  |
| <b>Receiving and shipping location</b>   |                       |   |  |
| Quantity   |                       |   |  |
| Frequency  |                       |   |  |
| <b>Handling location</b>   |                       |   |  |
| Quantity   |                       |   |  |
| Frequency  |                       |   |  |
| <b>Potential Pollution Sources (Section X.G)</b>   |                       |   |  |
| <b>Description of Potential Pollution Sources (Section X.G.1)</b>                                      |                       |   |  |
| Industrial processes<br>(Section X.G.1.a)  |                       |   |  |
| Material handling and storage<br>areas<br>(Section X.G.1.b)  |                       |   |  |
| Dust & particulate generating<br>activities<br>(Section X.G.1.c)                                       |                       |   |  |
| Significant spills and leaks<br>(Section X.G.1.d)  |                       |   |  |
| Non-storm water discharges<br>(Section X.G.1.e)  |                       |   |  |
| Erodible surfaces<br>(Section X.G.1.f)   |                       |   |  |
| <b>Assessment of Potential Pollutant Sources (Section X.G.2)</b>                                       |                       |   |  |
| Narrative assessment of likely<br>sources of pollutants<br>(Section X.G.2.a)                           |                       |   |  |
| Narrative assessment of likely<br>pollutants present in storm water<br>discharges<br>(Section X.G.2.a) |                       |   |  |

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| Identification of additional BMPs<br>Section X.G.2.b)   |                |  |  |
| <a href="#">Identification of drainage areas with<br/>no exposure<br/>(Section X.G.2.c)</a>       |                |  |  |
| <a href="#">Identification of additional<br/>parameters<br/>(Section X.G.2.d)</a>                 |                |  |  |
| <input type="checkbox"/> <b>Storm Water Best Management Practices (Section X.H)</b>               |                |  |  |
| <b>Minimum BMPs (Section X.H.1)</b>   |                |  |  |
| Good housekeeping<br>(Section X.H.1. <a href="#">ab</a> )   |                |  |  |
| Preventative maintenance<br>(Section X.H.1. <a href="#">eb</a> )                                  |                |  |  |
| Spill response<br>(Section X.H.1. <a href="#">ec</a> )  |                |  |  |
| Material handling and waste<br>management<br>(Section X.H.1. <a href="#">ed</a> )                 |                |  |  |
| Erosion and sediment controls<br>(Section X.H.1. <a href="#">fe</a> )                             |                |  |  |
| Employee training program<br>(Section X.H.1. <a href="#">gf</a> )                                 |                |  |  |
| Quality assurance and record<br>keeping<br>(Section X.H.1. <a href="#">hg</a> )                   |                |  |  |
| <b>Advanced BMPs (Section X.H.2)</b>  |                |  |  |
| <a href="#">Implement advanced BMPs at the<br/>facility<br/>(Section X.H.2.a)</a>                 |                |  |  |
| <a href="#">Exposure Minimization BMPs<br/>(Section X.H.2.b.i)</a>                                |                |  |  |
| <a href="#">Storm Water containment and<br/>discharge reduction BMPS<br/>(Section X.H.2.b.ii)</a> |                |  |  |
| <a href="#">Treatment Control BMPs<br/>(Section X.H.2.b.iii)</a>                                  |                |  |  |
| <a href="#">Other advance BMPs<br/>(Section X.H.2.b.iv)</a>                                       |                |  |  |
| <b>Temporary Suspension of Activities (Section X.H.3)</b>   |                |  |  |
| <a href="#">BMPs necessary for stabilization of<br/>the facility<br/>(Section X.H.3)</a>          |                |  |  |

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| <b>BMP Descriptions (Section X.H.4.a)</b>   |                |  |  |
| Pollutant that a BMP reduces or prevents<br>(Section X.H.4.a.i)   |                |  |  |
| Frequency of BMP implementation<br>(Section X.H.4.a.ii)   |                |  |  |
| Location of BMP<br>(Section X.H.4.a.iii)  |                |  |  |
| Person implementing BMP<br>(Section X.H.4.a.iv)   |                |  |  |
| Procedures/maintenance/<br>instructions for BMP<br>implementation<br>(Section X.H.4.a.v)  |                |  |  |
| Equipment and tools for BMP<br>implementation<br>(Section X.H.4.a.vi)   |                |  |  |
| BMPs <del>that may have</del> <u>needing</u> more<br>frequent inspections<br>(Section X.H.4.a.vii)  |                |  |  |
| Minimum BMP/applicable advanced<br>BMPs not <del>being</del> implemented at the<br>facility<br>(Section X.H.4.b)  |                |  |  |
| BMPs implemented in lieu of <del>any of</del><br><del>the</del> minimum or applicable<br>advanced BMPs<br>(Section X.H.4.c)   |                |  |  |
| <b>BMP Summary Table (Section X.H.5)</b>  |                |  |  |
| <b>Monitoring Implementation Plan (MIP) (Section X.I)</b>   |                |  |  |
| Team members assisting in<br>developing the MIP<br>(Section X.I.1)  |                |  |  |
| Summary of visual observation<br>procedures, locations, and details<br>(Section X.I.2)  |                |  |  |
| <u>Justifications if applicable for:</u><br><u>Alternative discharge locations,</u><br><u>Representative Sampling</u><br><u>Reduction or, Qualified</u><br><u>Combined Samples</u><br>(Section X.I.3) |                |  |  |
| Procedures for field instrument<br>calibration<br>(Section X.I.34)  |                |  |  |

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| Example of Chain of Custody<br>(Section X.I. <a href="#">45</a> )  |                       |   |  |
| <b>Annual Comprehensive <u>FacilitySite</u> Compliance Evaluation (Section XV)</b>   |                       |   |  |
| Review of all visual inspection and monitoring records and sampling and analysis results conducted during the previous reporting year<br>(Section XV. <a href="#">1A</a> )   |                       |   |  |
| Visual inspection of all areas of industrial activity and associated potential pollutant sources<br>(Section XV. <a href="#">2B</a> )  |                       |   |  |
| Visual inspection of all drainage areas previously identified as having no-exposure to industrial activities and materials in accordance with the definitions in Section XVII<br>(Section XV. <a href="#">3C</a> ) |                       |   |  |
| Visual inspection of equipment needed to implement the BMPs<br>(Section XV. <a href="#">4D</a> )   |                       |   |  |
| Visual inspection of any structural and/or treatment control BMPs<br>(Section XV. <a href="#">5E</a> )   |                       |   |  |
| Review and assessment of all BMPs for each area of industrial activity and associated potential pollutant sources<br>(Section XV. <a href="#">6F</a> )   |                       |   |  |
| Assessment of other factors needed to complete the information described in Section XVI.B<br>(Section XV. <a href="#">7G</a> )   |                       |   |  |