



EDMUND G. BROWN JR.
GOVERNOR

MATTHEW RODRIGUEZ
SECRETARY FOR
ENVIRONMENTAL PROTECTION

Los Angeles Regional Water Quality Control Board

July 7, 2016

Mr. Daniel A. Ganguin
C&D Precision Components, Inc.
969 South Raymond Avenue
Pasadena, CA 91105

Certified Mail
Return Receipt Requested
Claim No. 7014 2120 0003 7814 3704

Mr. Daniel A. Ganguin
Agent for Service of Process for
C&D Precision Components, Inc.
837 East Mendocino Street
Altadena, CA 91001

Certified Mail
Return Receipt Requested
Claim No. 7014 2120 0003 7814 3711

COMPLAINT NO. R4-2016-0180: MANDATORY MINIMUM PENALTY AGAINST C&D PRECISION COMPONENTS, INC., 969 SOUTH RAYMOND AVENUE, PASADENA, CALIFORNIA (ORDER NO. 97-03-DWQ, NPDES NO. CAS000001, WDID NO. 4 19I020830)

Dear Mr. Ganguin:

Enclosed is Administrative Civil Liability Complaint No. R4-2016-0180 (Complaint) seeking to impose a mandatory minimum penalty in the amount of \$6,000 plus \$500 in staff costs against C&D Precision Components, Inc., (hereinafter "Operator" or "you") for failure to submit the annual report for the Fiscal Year 2013-2014 required by the General Permit for Discharges of Storm Water Associated with Industrial Activities Excluding Construction Activities, Order No. 97-03-DWQ (General Permit) and for failure to obtain the required permit coverage for the discharge of storm water associated with industrial activity for the industrial and commercial machinery and equipment facility conducted at C&D Precision Components, Inc., located at 969 South Raymond Avenue, Pasadena, CA 91105. Also enclosed is a copy of the California Regional Water Quality Control Board, Los Angeles Region (Regional Board) "*Hearing Procedures for Administrative Civil Liability Complaint No. R4-2016-0180*" (Hearing Procedures).

Unless waived, a hearing before the Executive Officer of the Regional Board will be held on this Complaint pursuant to Executive Order No. EO-2014-01. Should you choose to waive your right to a hearing, an authorized agent must sign the waiver form attached to Complaint No. R4-2016-0180 and return it with full payment to the State Water Resources Control Board (with a copy to the Regional Board) by 5:00 pm on **August 8, 2016**. If we do not receive the waiver and full payment of the mandatory minimum penalty by **August 8, 2016**, this matter will be heard before the Executive Officer on **October 5, 2016**. An agenda containing the date, time, location, and specific procedures of the hearing will be mailed to you prior to the hearing date.

If you have any questions regarding this matter, please contact Mr. Enrique Loera at (213) 620-2111 or by email at enrique.loera@waterboards.ca.gov or Ms. Pavlova Vitale at (213) 576-6751 or by email pavlova.vitale@waterboards.ca.gov.

Sincerely,



Paula Rasmussen
Assistant Executive Officer

Enclosures: Complaint No. R4-2016-0180
Exhibit "1"
Hearing Procedures for Administrative Civil Liability Complaint No. R4-2016-0180

cc: (via email only)

Mr. David Hung, Regional Water Quality Control Board, Los Angeles Region
Ms. David Coupe, Office of Chief Counsel, State Water Resources Control Board
Ms. Susie Loscutoff, Office of Enforcement, State Water Resources Control Board
Ms. Nancy Woo, Water Division, USEPA
Ms. Kathleen Johnson, Enforcement Division, USEPA
Ms. Rita Kampalath, Science and Policy Director, Heal the Bay
Ms. Rachel Stich, Events and Communication Director, Los Angeles Water Keeper
Mr. Stephen Walker, Principal Engineer, City of Pasadena

**STATE OF CALIFORNIA
REGIONAL WATER QUALITY CONTROL BOARD
LOS ANGELES REGION**

In the matter of:)	Complaint No. R4-2016-0180
)	Mandatory Minimum Penalty for
)	Violation of California Water Code Section 13399.31
)	and
C&D Precision Components, Inc.)	Order No. 97-03-DWQ
Pasadena, California)	(NPDES No. CAS000001)

This Complaint to assess the mandatory minimum penalty pursuant to California Water Code (Water Code) section 13399.33, is issued to C&D Precision Components, Inc., (hereinafter Operator) based on the failure to obtain the required permit coverage for the discharge of storm water associated with industrial activity at 969 South Raymond Avenue in the City of Pasadena and failure to submit an annual report for the Fiscal Year 2013-2014. The annual report was due to the Los Angeles Regional Water Quality Control Board (Regional Board) by July 1, 2014, as required by Section B.14 of the General Permit for Discharges of Storm Water Associated with Industrial Activities Excluding Construction Activities, Order No. 97-03-DWQ, National Pollutant Elimination System (NPDES) No. CAS000001.

The Chief Prosecutor of the Regional Water Quality Control Board, Los Angeles Region alleges the following:

1. The Operator owns and operates C&D Precision Components, Inc., located at 969 South Raymond Avenue in Pasadena, California (hereinafter facility). The facility discharges storm water associated with industrial activity. The facility's industrial activity is classified under Standard Industrial Classification (SIC) code 3599 – Industrial and Commercial Machinery and Equipment, Used. Runoff from the facility is discharged to the Arroyo Seco via the Municipal Separate Storm Sewer System, a tributary to the Los Angeles River. Both the Arroyo Seco and the Los Angeles River are waters of the United States.
2. On April 17, 1997, the State Water Resources Control Board (State Board) adopted the General Permit for Discharges of Storm Water Associated with Industrial Activities Excluding Construction Activities, Order No. 97-03-DWQ, NPDES No. CAS000001 (General Permit) to regulate storm water discharges and authorized non-storm water discharges associated with industrial activities set forth in the federal regulations. To obtain coverage, facility operators must submit a Notice of Intent (NOI) and comply with the terms and conditions of the General Permit.
3. On April 23, 2007, the State Board processed the Operator's NOI to enroll the facility under the General Permit. The State Board assigned Waste Discharge Identification (WDID) No. 4 19I020830 to the facility.
4. Section B.14 of the General Permit requires Operators to submit an annual report by July 1 of each year.

July 7, 2016

5. On December 15, 2014, the Regional Board issued a Notice of Non-Compliance (NNC) via certified mail to the Operator for failure to submit the Fiscal Year 2013-2014 annual report by the July 1, 2014 deadline. The Operator and the Operator's agent for service received the NNC on December 18, 2014 and December 23, 2014 respectively.
6. On March 16, 2015, the Regional Board issued a Notice of Violation (NOV) via certified mail to the Operator as a second notice for failing to submit the Fiscal Year 2013-2014 annual report by the July 1, 2014 deadline. The Operator received the NOV at the facility on March 18, 2015.
7. On May 22, 2015, the Chief Prosecutor of the Regional Board issued the Operator Settlement Offer No. R4-2015-0089 to participate in the Expedited Payment Program. The Settlement Offer included copies of the NNC and the NOV notifying the Operator of the failure to submit the Fiscal Year 2013-2014 annual report by the July 1, 2014 deadline. This violation was cited in the Settlement Offer as subject to a mandatory minimum penalty of \$1,000 plus \$500 in staff costs. The Operator received the Settlement Offer on May 29, 2015.
8. The NNC issued on December 15, 2014 and the NOV issued on March 16, 2015, gave the Operator notice that the facility is required to submit the requested annual report within 60 days of the NNC being sent and the \$1,000 mandatory minimum penalty for failure to comply. The Operator did not submit the required annual reports to the Regional Board within 60 days of this notice.
9. On July 1, 2015, Order No. 2014-0057-DWQ superseded the previous General Permit, Order No. 97-03-DWQ. To continue permit coverage, existing Operators were required to recertify their industrial facility in the Storm Water Multiple Application & Report Tracking System (SMARTS) by August 14, 2015. The recertification process required the Operators to recertify the NOI and to submit the required documents which include the facility's site map, and the Storm Water Pollution Prevent Plan (SWPPP) electronically via SMARTS.
10. On December 16, 2015, the Regional Board issued an NNC via certified mail to the Operator for failure to recertify under Order No. 2014-0057-DWQ by the August 14, 2015 deadline. The NNC required the Operator to immediately recertify under the New General Permit, file a Notice of Non-Applicability (NONA) or file a Notice of Termination. The letter also informed the Operator of the mandatory minimum penalty pursuant to Water Code section 13399.33 for failing to file an NOI or for failing to submit the NONA. The Operator received the NNC at the facility on December 22, 2015. The Operator did not take any of the actions requested in the NNC and did not otherwise respond to the NNC.
11. On January 27, 2016, the Regional Board issued an NOV via certified mail to the Operator for failure to recertify under Order No. 2014-0057-DWQ by the August 14, 2015 deadline. The NOV required the Operator to recertify under the New General Permit, file a Notice of Non-Applicability (NONA) or file a Notice of Termination by February 16, 2016. The letter also informed the Operator of the mandatory minimum penalty pursuant to Water Code section 13399.33 for failing to file an NOI or for failing to submit the NONA. The Operator received the NOV at the facility on February 1, 2016, however the NOV addressed to Mr. Daniel A. Ganguin, the agent for service on record, was returned. The Operator failed to recertify under the New General Permit and did not otherwise respond to the NOV by February 16, 2016.

12. On February 10, 2016, Regional Board staff conducted an inspection to follow up on the agent for service returned NOV issued on January 27, 2016. Regional Board staff found that C&D Precision Components Inc., was still in operation. During the inspection the facility representative stated his intention to file a No Exposure Certification(NEC). Regional Board staff asked the State Board to temporarily activate the facility's WDID to give the Permittee an opportunity to apply for an NEC. The Permittee did not file an NEC.
13. On February 17, 2016m State Board temporarily activated the facility's WDID. On March 1, 2016, State Board terminated facility's coverage under the General Permit for failing to recertify under the new General Permit, Order No. 2014-0057-DWQ.
14. On March 30, 2016, the Chief Prosecutor of the Regional Board issued the Operator Settlement Offer No. R4-2016-0095. The Settlement Offer included copies of the NNC and the NOV notifying the Operator of the failure to recertify under the General Permit Order R4-2014-0057-DWQ by the August 14, 2015 deadline. This violation was cited in the Settlement Offer as subject to a mandatory minimum penalty of \$1,000 for failure to submit a NONA or a mandatory minimum penalty of \$5,000 for failure to submit a NOI plus staff costs. The Settlement Offer required the Operator to respond to the Regional Board by April 29, 2016 either by accepting the Expedited Payment Program Offer or by submitting a written response to contest the violation. The Operator received the Settlement Offer at the facility on April 1, 2016. The Operator did not take any of the actions requested in the Settlement Offer and did not otherwise respond to the Settlement Offer by April 29, 2016.
15. On February 25, 2016, the State Board terminated the facility's coverage under the General Permit for failing to recertify under the new General Permit, Order No. 2014-0057-DWQ.
16. To date, the Operator has not responded to either settlement offer, has not submitted the Fiscal Year 2013-2014 annual report, and has not enrolled the facility under the General Permit.
17. Water Code section 13399.31 subdivision (d) provides that "[i]f a discharger to which a notice is sent pursuant to subdivision (c) fails to submit the annual report ... to the regional board within 60 days from the date on which the notice is sent pursuant to subdivision (b), the regional board shall impose the penalties described in subdivision (c) of Water Code section 13399.33."
18. Water Code section 13399.33 subdivision (c) requires the Regional Board to assess a mandatory minimum penalty of \$1,000 plus staff costs, for each violation whenever the Operator fails to submit an annual report.
19. Two(2) violations are noted and cited in Exhibit "1". The first violations is for failure to submit the Fiscal Year 2013-2014 annual report. This violation is subject to mandatory minimum penalties in the amount of \$1,000. The second violation is for failing to enroll in the General Permit. This violation is subject to a mandatory minimum penalty of \$5,000. Both violations are subject to staff costs of \$500. These violations are listed in Exhibit "1", which is incorporated herein by reference.

20. Pursuant to Water Code section 13399.30 subdivision (c)(2), if a discharger fails to submit the required NOI to obtain coverage to the Regional Board within 60 days from the date on which the notice pursuant to Water Code section 13399.30 subdivision (a) was sent, the Regional Board shall impose the penalties described in Water Code section 13399.33(a).
21. Water Code section 13399.33, subdivision (a)(1) provides that with regard to a discharger of storm water associated with industrial activity that fails to submit the required NOI to obtain coverage in accordance with Water Code section 13399.30, the Regional Board shall impose civil liability administratively in an amount not less than \$5,000 per year of noncompliance or fraction thereof, unless the Regional Board makes express findings setting forth the reasons for its failure to do so as required in Water Code section 13399.33 subdivision (a)(2).
22. The maximum amount of administrative civil liability assessable pursuant to Water Code section 13385 subdivision (c) is \$10,000 per day of violation where there is not a discharge in which more than 1,000 cannot be cleaned up.

YOU ARE HEREBY GIVEN NOTICE THAT:

23. The Chief Prosecutor proposes that the Operator be assessed a mandatory minimum penalty in the amount of \$1,000 for the late reporting violation and be assessed the mandatory minimum penalty under Water Code section 13399.33 subdivision (a)(1) in the amount of \$5,000 for the failure to obtain coverage under the General Permit in accordance with Water Code section 13399.30. The combined mandatory minimum penalties are **\$6,000** plus **\$500** in staff costs.
24. This Complaint addresses administrative civil liability for the violations that are specifically identified in Exhibit "1" as subject to a mandatory minimum penalty. Refer to Exhibit "1" for the calculation of the amount of mandatory minimum penalty for the two violations.
25. The Operator may waive the right to a hearing and pay the recommended civil liability. Should the Operator choose to waive its right to a hearing, an authorized agent must sign the waiver form attached to this Complaint and return it to the Regional Board by 5:00 pm on August 8, 2016. If the hearing is waived, a check in the amount of \$6,500 (payable to the *State Water Resources Control Board, Waste Discharge Permit Fund*) must be received by the State Water Resources Control Board (State Board) (with a copy to the Regional Board) by 5:00 pm on **August 8, 2016**.
26. If the Regional Board does not receive a waiver and a copy of the full payment of the recommended penalty by August 8, 2016, the Complaint will be heard before the Executive Officer of the Regional Board pursuant to Executive Order No. EO-2014-01. The attached "*Hearing Procedures for Administrative Civil Liability Complaint No. R4-2016-0180*" contains the date, time, location and specific procedures of the scheduled hearing of this matter.
27. If a hearing on this matter is held, the Executive Officer of the Regional Board, pursuant to authority delegated to the Executive Officer by Resolution No. R14-005, will hear evidence, determine facts, make conclusions of law and consider whether to issue an Administrative Civil Liability Order assessing the proposed liability, or a higher or lower amount. The Executive Officer may also decline to assess any liability, may continue the

hearing to a later date or may refer the matter to the Attorney General for assessment of judicial civil liability.

28. There are no statutes of limitations that apply to administrative proceedings. The statutes of limitations that refer to "actions" and "special proceedings" and are contained in the California Code of Civil Procedure apply to judicial proceedings, not administrative proceedings. See *City of Oakland v. Public Employees' Retirement System* (2002) 95 Cal. App. 4th 29, 48; 3 Witkin, Cal. Procedure (4th ed. 1996) Actions, Section 405(2), p. 510.) Additionally, the State Water Resources Control Board determined that the equitable doctrine of laches does not apply to mandatory minimum penalties. (State Water Board Order Nos. 2013-0053, 2013-0054, 2013-0055, and 2013-0099.)
29. Notwithstanding the issuance of this Complaint, the Regional Board shall retain the authority to assess additional penalties for violations of the requirements of the facility's waste discharge requirements for which penalties have not yet been assessed or for violations that may subsequently occur.
30. This enforcement action is exempt from the provisions of the California Environmental Quality Act, California Public Resources Code section 21000 et seq., in accordance with California Code of Regulations, Title 14, section 15321.
31. Regulations of the United States Environmental Protection Agency require public notification of any proposed settlement of the civil liability occasioned by violation of the Clean Water Act including NPDES permit violations. Accordingly, interested persons will be given 30 days to comment on any proposed settlement of this Complaint.



Paula Rasmussen
Assistant Executive Officer
Los Angeles Regional Water Quality Control Board

July 7, 2016

WAIVER FORM

16000962

FOR ADMINISTRATIVE CIVIL LIABILITY COMPLAINT NO. R4-2016-0180

By signing this waiver, I affirm and acknowledge the following:

I am duly authorized to represent C&D Precision Components, Inc. (hereinafter "Operator") in connection with Administrative Civil Liability Complaint No. R4-2016-0180 (hereinafter the "Complaint"). I am informed that California Water Code section 13323, subdivision (b), states that, "a hearing before the regional board shall be conducted within 90 days after the party has been served [with the complaint]. The person who has been issued a complaint may waive the right to a hearing."

Check here if the Operator waives the hearing requirement and will pay the recommended liability.

- a. I hereby waive any right the Operator may have to a hearing before the Regional Board.
- b. I certify that the Operator will remit payment for the civil liability imposed in the amount of **\$6,500** by check that references "ACL Complaint No. R4-2016-0180" made payable to the "State Water Resources Control Board, Waste Discharge Permit Fund". Payment must be received by the State Board by **August 8, 2016** (with a copy to the Regional Board) or this matter will be placed on the agenda for a hearing as initially proposed in the Complaint.

Please mail the check to:

State Water Resources Control Board
ATTN: ACL PAYMENT
Division of Administrative Services, Accounting Branch
1001 I Street, 18th Floor
P.O. Box 1888
Sacramento, California 95812-1888

- c. I understand the payment of the above amount constitutes a proposed settlement of the Complaint, and that any settlement will not become final until after the 30-day public notice and comment period expires. Should the Regional Board receive significant new information or comments from any source (excluding the Regional Board's Prosecution Team) during this comment period, the Regional Board's Chief Prosecutor may withdraw the complaint, return payment and issue a new complaint. I understand that this proposed settlement is subject to approval by the Regional Board, and that the Regional Board may consider this proposed settlement in a public meeting or hearing. I also understand that approval of the settlement will result in the Operator having waived the right to contest the allegations in the Complaint and the imposition of civil liability.

d. I understand that payment of the above amount is not a substitute for compliance with applicable laws and that continuing violations of the type alleged in the Complaint may subject the Operator to further enforcement, including additional civil liability.

C&D Precision Components, Inc.

16000962

Daniel A. Ganguin
(Signed Name)

8/8/2016
(Date)

DANIEL A. GANGUIN
(Printed or typed name)

SECT. / TREAS.
(Title)

California Regional Water Quality Control Board, Los Angeles Region

**HEARING PROCEDURES
FOR ADMINISTRATIVE CIVIL LIABILITY COMPLAINT
NO. R4-2016-0180**

**ISSUED TO
C&D PRECISION COMPONENTS, INC.**

SCHEDULED FOR OCTOBER 5, 2016

PLEASE READ THESE HEARING PROCEDURES CAREFULLY. FAILURE TO COMPLY WITH THE DEADLINES AND OTHER REQUIREMENTS CONTAINED HEREIN MAY RESULT IN THE EXCLUSION OF YOUR DOCUMENTS AND/OR TESTIMONY.

Overview

Pursuant to Water Code section 13323, the Assistant Executive Officer of the California Regional Water Quality Control Board, Los Angeles Region ("Regional Board") has issued an Administrative Civil Liability (ACL) Complaint to C&D Precision Components Inc. (hereafter Discharger), alleging violations of Water Code section 13399.33 by failing to submit the Fiscal Year 2013-2014 annual report to the Regional Board and alleging violations of Water Code section 13399.30 by failing to obtain required permit coverage. Regional Board staff, represented by the Regional Board Staff Prosecution Team ("Prosecution Team") propose, in the ACL Complaint, that the Regional Board impose administrative civil liability on the Discharger in the amount of \$6,500.

A hearing on this matter is currently scheduled to be conducted before the Executive Officer on October 5, 2016. Pursuant to Resolution No. R14-005, the Regional Board has delegated authority to the Executive Officer to hold evidentiary hearings to consider allegations in ACL Complaints and to issue final ACL Orders on the Regional Board's behalf in certain matters. The purpose of the hearing is to consider relevant evidence and testimony regarding the ACL Complaint. At the hearing, the Executive Officer will hear evidence, determine facts, make conclusions of law and consider whether to issue an ACL Order assessing the proposed liability, or a higher or lower amount. The Executive Officer may also decline to assess any liability, or may continue the hearing to a later date. The public hearing will commence at 9:00 a.m. or as soon thereafter as practical. The hearing will be held at:

California Regional Water Quality Control Board
Los Angeles Region
320 West 4th Street
Los Angeles, CA 90013

Hearing Procedures

The hearing will be a formal adjudicative proceeding and will be conducted in accordance with these Hearing Procedures. The Executive Officer has directed the use of these standardized hearing procedures for the adjudication of such matters. The procedures governing adjudicatory hearings before the Regional Board may be found at California Code of Regulations, title 23, section 648 et seq., and are available at <http://www.waterboards.ca.gov>. Copies will be provided upon request.

In accordance with section 648(d), any procedure not provided by these Hearing Procedures are deemed waived. Except as provided in section 648(b) and herein, Chapter 5 of the California Administrative Procedure Act (Gov. Code, § 11500 et seq.) does not apply to this hearing.

Objections to these hearing procedures must be in writing and must be received by the Advisory Team no later than the deadline listed under "Important Deadlines" below, or they will be waived. Objections about the matters contained in these Hearing Procedures will not be entertained at the hearing. Failure to comply with the deadline and requirements contained herein may result in the exclusion of documents and/or testimony. The Discharger shall attempt to resolve objections to these Hearing Procedures with the Prosecution Team BEFORE submitting objections to the Advisory Team.

The procedures and deadlines herein may be amended by the Executive Officer or by the Advisory Team.

Separation of Prosecutorial and Advisory Functions

The Regional Board separates prosecutorial and adjudicative functions in matters that are prosecutorial in nature. To ensure the fairness and impartiality of this proceeding, those who will act in a prosecutorial role by presenting evidence for consideration by the Executive Officer (the "Prosecution Team") are separate from those who will provide legal and technical advice to the Executive Officer (the "Advisory Team"). Members of the Advisory Team are: Mr. David Hung, Supervising Water Resource Control Engineer and Mr. David Coupe, Attorney IV. Members of the Prosecution Team are: Ms. Paula Rasmussen, Assistant Executive Officer, Mr. Hugh Marley, Supervising Engineering Geologist, Ms. Pavlova Vitale, Senior Environmental Scientist, Mr. Enrique Loera, Water Resource Control Engineer, and Ms. Susie Loscutoff, Attorney.

Any members of the Advisory Team who normally supervise any members of the Prosecution Team are not acting as their supervisors in this proceeding, and vice versa. Further, members of the Advisory Team have not exercised any authority over the Prosecution Team, or advised them with respect to this matter, or vice versa. Ms. Rasmussen regularly advises the Executive Officer in other, unrelated matters, but is not advising the Executive Officer in this proceeding. Other members of the Prosecution Team act or have acted as advisors to the Executive Officer in other, unrelated matters, but they are not advising the Executive Officer in this proceeding. Members of the Prosecution Team have not had any substantive ex parte communications with the Executive Officer or the Advisory Team regarding this proceeding.

Hearing Participants

Participants in this proceeding are designated as either "Designated Parties" or "Interested Persons."

Designated Parties are those subject to the ACL Complaint and other persons or organizations anticipated to have a substantial interest in the outcome of the hearing. Designated Parties may present written evidence, summarize their evidence orally at the hearing and cross-examine other parties' witnesses (if they are called). "Evidence" includes witness testimony, documents, and tangible objects that tend to prove or disprove the existence of any alleged fact. "Relevant evidence" is evidence that relates to any fact in dispute in the proceedings. Designated Parties are subject to cross-examination about any evidence they present.

The following participants are hereby designated as Designated Parties in this proceeding:

1. Regional Board Prosecution Team
2. C&D Precision Components, Inc.

Interested Persons include any person or organization that is interested in the outcome of the hearing, but who has not been designated as a Designated Party. Interested Persons generally may not present evidence (e.g., photographs, eye-witness testimony, and monitoring data), but may present written and/or oral non-evidentiary comments and policy statements. Interested Persons may not cross-examine witnesses and are not subject to cross-examination.

At the hearing, both Designated Parties and Interested Persons may be asked to respond to clarifying questions from the Executive Officer, Advisory Team, or others, at the discretion of the Executive Officer.

Requesting Designated Party Status

Persons or organizations who wish to participate in the hearing as a Designated Party must request designated party status by submitting a request in writing so that it is received no later than the deadline listed under "Important Deadlines" below. The request shall include an explanation of the basis for status as a Designated Party (i.e., how the issues to be addressed at the hearing affect the person, the need to present evidence or cross-examine witnesses, etc.), along with a statement explaining why the Designated Parties listed above do not adequately represent the person's or organization's interest. Any objections to these requests for designated party status must be submitted so that they are received no later than the deadline listed under "Important Deadlines" below. All participants will be notified before the hearing whether the request for designated party status is granted.

Primary Contacts

Advisory Team:

Mr. David Hung, Supervising Water Resource Control Engineer
Los Angeles Regional Water Quality Control Board
320 West Fourth Street, Suite 200
Los Angeles, CA 90013
Phone: (213) 576-6616
Email: david.hung@waterboards.ca.gov

Mr. David Coupe, Attorney IV
State Water Resources Control Board, Office of Chief Counsel
1515 Clay Street, Suite 1400
Oakland, CA 94612
Phone: (510) 622-2306
Email: david.coupe@waterboards.ca.gov

Prosecution Team:

Mr. Enrique Loera, Water Resource Control Engineer
Los Angeles Regional Water Quality Control Board
320 West Fourth Street, Suite 200
Los Angeles, CA 90013
Phone: (213) 620-2111
Email: Enrique.Loera@waterboards.ca.gov

Ms. Susie Loscutoff, Attorney
State Water Resources Control Board, Office of Enforcement
Physical Address: 1001 I Street, Sacramento, CA 95814
Mailing Address: P.O. Box 100, Sacramento, CA 95812
Phone: (916) 327-0140
Email: Susan.Loscutoff@waterboards.ca.gov

Discharger:

Mr. Daniel A. Ganguin
C&D Precision Components, Inc.
969 South Raymond Avenue
Pasadena, CA 91105

Ex Parte Communications

While this adjudicative proceeding is pending, the California Government Code forbids Designated Parties and Interested Persons from engaging in ex parte communications regarding this matter with the Executive Officer and the Advisory Team, except during the public hearing itself. An ex parte communication is a written or verbal communication, either direct or indirect, that relates to the investigation, preparation, or prosecution of the ACL Complaint between a Designated Party or an Interested Person and the Executive Officer or a member of the Advisory Team that occurs in the absence of other parties and without notice and opportunity for all parties to participate in the communication (see Gov. Code, § 11430.10 et seq.). However, if the communication is copied to all other persons (if written) or is made in a manner open to all other persons (if verbal), then the communication is not considered an ex parte communication. Therefore, any written communication to the Executive Officer or the Advisory Team before the hearing must also be copied to all other Designated Parties. Communications regarding non-controversial procedural matters, including a request for a continuance, are permissible ex parte communications and are not restricted.

The following communications to the Advisory Team must be copied to all Designated Parties: objections to these Hearing Procedures; requests for modifications to these Hearing Procedures; requests for designated party status, or objections thereto; and all written evidence, arguments, or policy statements from Designated Parties. This is not an all-inclusive list of ex parte communications.

Hearing Time Limits

To ensure that all participants have an opportunity to participate in the hearing, the following time limits shall apply: each Designated Party shall have a combined total of **30** minutes to present evidence (including evidence presented by witnesses called by the Designated Party), to cross-examine witnesses (if warranted), and to provide opening and/or closing statements. Each Interested Person shall have 3 minutes to present a non-evidentiary policy statement. Participants with similar interests or comments are requested to make joint presentations, and participants are requested to avoid redundant comments. Participants who would like additional time must submit their request to the Advisory Team so that it is received no later than the deadline listed under "Important Deadlines" below. Additional time may be provided at the discretion of the Advisory Team (prior to the hearing) or the Executive Officer (at the hearing) upon a showing that additional time is necessary. Such showing shall explain what testimony, comments, or legal or technical argument requires extra time, and why it could not have been provided in writing by the applicable deadline. Decisions will be based upon the complexity and the number of issues under consideration, the extent to which the Designated Parties have coordinated and/or have similar interests, and the time available for the hearing.

A timer will be used, but will not run during questions from the Executive Officer and the Advisory Team or the responses to such questions, or during discussions of procedural issues.

Submission of Evidence, Argument and Policy Statements

The Prosecution Team and all other Designated Parties (including the Discharger) must submit the following information in advance of the hearing, which must be received no later than the deadline listed under "Important Deadlines" below:

1. All evidence (other than witness testimony to be presented orally at the hearing) that the Designated Party would like the Executive Officer to consider. Evidence and exhibits already in the public files of the Regional Board may be submitted by reference, as long as the exhibits and their location are clearly identified in accordance with California Code of Regulations, title 23, section 648.3. The Executive Officer will not generally receive copies of materials incorporated by reference unless copies are provided by the Designated Party proffering the evidence as part of the Designated Party's evidentiary submission. Referenced materials are generally not posted on the Regional Board's website.
2. All legal and technical arguments or analysis.
3. The name of each witness, if any, whom the Designated Party intends to call at the hearing, the subject of each witness' proposed testimony, and the estimated time required by each witness to present direct testimony.
4. The qualifications of each expert witness, if any.

Prosecution Team: The Prosecution Team's information must include the legal and factual basis for its claims against each Discharger; a list of all evidence on which the Prosecution Team relies (which must include, at a minimum, all documents cited in the ACL Complaint or other material submitted by the Prosecution Team); and the witness information required under items 3-4 for all witnesses, including Regional Board staff. The Prosecution Team shall submit this information so that it is received no later than the deadline listed under "Important Deadlines" below.

Designated Parties (including the Discharger): All Designated Parties shall submit comments, arguments or analysis regarding the ACL Complaint along with any additional supporting evidence not cited by the Regional Board's Prosecution Team; and the witness information required under items 3-4 for all witnesses, including Regional Board staff. Designated Parties shall submit this information so that it is received no later than the deadline listed under "Important Deadlines" below.

Rebuttal: Any Designated Party who would like to submit evidence, legal or technical arguments, or policy statements to rebut information submitted by other Designated Parties, shall submit this rebuttal information so that it is received no later than the deadline listed under "Important Deadlines" below. "Rebuttal" means evidence, analysis, or comments offered to disprove or contradict other submissions. Rebuttal shall be limited to the scope of the materials previously submitted. Rebuttal information that is not responsive to information previously submitted may be excluded.

Final Hearing Package and Proposed Order: The Prosecution Team will submit the Final Hearing Package and a proposed Order so that it is submitted no later than the deadline listed under "Important Deadlines" below.

Copies: The Executive Officer and the Advisory Team will receive copies of all submitted materials. If hard copies of the submitted materials are provided to the Executive Officer and the Advisory Team, the materials will be printed or copied double-sided in black and white on 8.5"x11" paper. Designated Parties who are concerned about print quality or the size of all or part of their written materials should provide an extra four paper copies for the Executive Officer and the Advisory Team. For voluminous submissions, the Executive Officer and Advisory Team may receive copies in electronic format only. Electronic copies may also be posted on the Regional Board's website. Designated Parties without access to computer equipment are strongly encouraged to have their materials scanned at a copy or mailing center. The Executive Officer will not reject materials solely for failure to provide electronic copies.

Interested Persons: Interested Persons who would like to submit written non-evidentiary policy statements are encouraged to submit them to the Advisory Team as early as possible, but they must be received by the deadline listed under "Important Deadlines" below to be included in the Executive Officer's hearing package. Interested persons should be aware that this matter may settle without further notice, and therefore timely submittal by the deadline may be the only opportunity for an Interested Person to comment on the subject of the ACL Complaint. If the hearing proceeds as scheduled, the Executive Officer will also receive oral comments from Interested Persons during the hearing. Interested Persons do not need to submit written comments in order to speak at the hearing.

Prohibition on Surprise Evidence: In accordance with California Code of Regulations, title 23, section 648.4, the Regional Board endeavors to avoid surprise testimony or evidence. Absent a showing of good cause and lack of prejudice to the parties, the Executive Officer may exclude evidence and testimony that is not submitted in accordance with these Hearing Procedures. Excluded evidence and testimony will *not* be considered by the Executive Officer and will *not* be included in the administrative record for this proceeding.

Presentations: PowerPoint and other visual presentations may be used at the hearing, but their content shall not exceed the scope of other submitted written material. These presentations must be provided to the Advisory Team at or before the hearing in electronic format, and hard copy if requested by the Advisory Team, so that they may be included in the administrative record.

Witnesses: All witnesses who have submitted written testimony shall appear at the hearing to affirm that the testimony is true and correct, and shall be available for cross-examination by Designated Parties.

Administrative Record and Availability of Documents

The ACL Complaint and evidentiary documents submitted in accordance with these Hearing Procedures shall be considered part of the official administrative record for this matter. Other submittals received for this proceeding will be added to the administrative record absent a contrary ruling by the Executive Officer. Written transcriptions of oral testimony or comments that are made at the hearing will be included in the administrative record.

These documents may be inspected and copied between the hours of 8:00 a.m. and 5:00 p.m. at the Regional Board's office located at 320 West Fourth Street, Suite 200 Los Angeles, California 90013. Arrangements for document review and/or obtaining copies of the documents may be made by contacting the Prosecution Team Primary Contact above. Appointments are encouraged so the documents can be readily available upon arrival.

Questions

Questions concerning this proceeding may be addressed to the Advisory Team attorney (contact information above).

IMPORTANT DEADLINES

All submissions must be received by 5:00 p.m. on the respective due date below.^{1,2} Where both electronic and hard copy formats are required to be submitted to the Prosecution Team, a complete electronic copy must be received by 5:00 p.m. on the respective due date below, and a complete hard copy may follow via overnight delivery so that it is received by the Prosecution Team the next day.

<p>July 7, 2016</p>	<ul style="list-style-type: none"> ▪ Prosecution Team issues ACL Complaint, Hearing Procedures and other related materials. <p><u>Hard Copies to:</u> All other Designated Parties (by certified mail)</p> <p><u>Electronic or Hard Copies to:</u> All known Interested Persons, Advisory Team</p>
<p>July 18, 2016</p>	<ul style="list-style-type: none"> ▪ Objections due on Hearing Procedures. ▪ Deadline to request "Designated Party" status. <p><u>Electronic or Hard Copies to:</u> All other Designated Parties, All known Interested Persons, Advisory Team</p> <p><u>Electronic and Hard Copies to:</u> Prosecution Team</p>
<p>July 22, 2016</p>	<ul style="list-style-type: none"> ▪ Deadline to submit objections to requests for Designated Party status. <p><u>Electronic or Hard Copies to:</u> All other Designated Parties, All known Interested Persons, Advisory Team</p> <p><u>Electronic and Hard Copies to:</u> Prosecution Team</p>
<p>August 8, 2016</p>	<ul style="list-style-type: none"> ▪ Discharger's deadline to submit <i>Hearing Waiver Form</i>.³ <p><u>Electronic or Hard Copy to:</u> Prosecution Team</p> <ul style="list-style-type: none"> ▪ Interested Persons' written comments are due. <p><u>Electronic or Hard copies to:</u> All Designated Parties, Advisory Team</p>
<p>August 8, 2016*</p>	<ul style="list-style-type: none"> ▪ Advisory Team transmits decision on requests for designated party status. ▪ Advisory Team transmits decision on objections to Hearing Procedures. <p><u>Electronic or Hard Copies to:</u> All Designated Parties, All known Interested Persons</p>
<p>August 17, 2016*</p>	<ul style="list-style-type: none"> ▪ Prosecution Team's deadline for submission of information required under "Submission of Evidence, Argument and Policy Statements," above. <p><u>Electronic or Hard Copies to:</u> All other Designated Parties, All known Interested Persons, Advisory Team</p>

¹ With the exception of the deadline to submit the Final Hearing Package and proposed Order.

² Where a deadline falls on a weekend or holiday, the deadline is extended to the next business day.

³ Pursuant to California Water Code section 13323(b), persons subject to an ACL Complaint have the right to a hearing before the Regional Board within 90 days of receiving the ACL Complaint, but this right can be waived (to facilitate settlement discussions, for example). By submitting the waiver form, the Discharger is not waiving the right to a hearing; unless a settlement is reached, the Board will hold a hearing prior to imposing administrative civil liability. However, if the Board accepts the waiver, all deadlines marked with an "*" will be revised if a settlement cannot be reached.

<p>September 6, 2016*</p>	<ul style="list-style-type: none"> ▪ Remaining Designated Parties' (including the Discharger's) deadline to submit all information required under "Submission of Evidence, Argument, and Policy Statements" above. This includes all written comments regarding the ACL Complaint. <p><u>Electronic or Hard Copies to:</u> All other Designated Parties, All known Interested Persons, Advisory Team</p> <p><u>Electronic and Hard Copies to:</u> Prosecution Team</p>
<p>September 19, 2016*</p>	<ul style="list-style-type: none"> ▪ All Designated Parties shall submit any rebuttal evidence, any rebuttal to legal/ technical arguments and/or policy statements and all evidentiary objections. ▪ Deadline to request Prehearing Conference. <p><u>Electronic or Hard Copies to:</u> All other Designated Parties, All known Interested Persons, Advisory Team</p> <p><u>Electronic and Hard Copies to:</u> Prosecution Team</p>
<p>September 22, 2016*</p>	<ul style="list-style-type: none"> ▪ Deadline to submit requests for additional time at the hearing. <p><u>Electronic or Hard Copies to:</u> All other Designated Parties, All known Interested Persons, Advisory Team</p>
<p>September 23, 2016*</p>	<ul style="list-style-type: none"> ▪ Prosecution Team sends Final Hearing Package and proposed Order. <p><u>Electronic or Hard Copies to:</u> Executive Officer, Advisory Team, All other Designated Parties</p>
<p>September 28, 2016*</p>	<ul style="list-style-type: none"> ▪ Advisory Team transmits hearing time limits <p><u>Electronic or Hard Copies to:</u> All Designated Parties, All known Interested Persons</p>
<p>October 5, 2016*</p>	<ul style="list-style-type: none"> ▪ Hearing

EXHIBIT "1"
Violation Summary

Reporting Period	Violation Type	Due Date	Received Date	Water Code Section	Penalty
2013-2014 Annual Report	Failure to Submit Annual Report	07/01/2014	*	13399.33 (c)	\$1,000
N/A	Failure to Enroll	02/15/2016	N/A	13399.33(a)(1)	\$5,000
* Report not received as of 07/7/2016				Total	\$6,000
				Staff Cost	\$500
				Settlement Total	\$6,500