

UST CLEANUP FUND QUARTERLY REPORT FOR 2011/2012

The UST Cleanup Fund Quarterly Report provides information about claims on the Fund, reimbursements of eligible corrective action costs, and accounts receivable owed to the Fund.

Year-End Summary:

Claims Summary

Since the inception of the Fund in 1992, out of the 15,913 eligible claims: 4,689 claims are on the priority list waiting to be activated, 11,224 claims have received some reimbursement costs; out of the 11,224 claims; 8,188 have been closed; and 3,036 claims are currently active.

Payments Information Summary

The following three tables summarize payments made since inception, total payments made during FY 11/12, and payments made against FY 11/12 through 11-30-12. Please note that payments are continuing to be made against FY 11/12 funds.

Since inception to end of FY 11/12

Priority Class	Amount
A	\$35,834,522
B	\$1,461,674,144
C	\$1,156,959,913
D	\$612,987,924
Total	\$3,267,456,503

Total payments during FY 11/12

Priority Class	Amount
A	\$1,217,575
B	\$66,281,517
C	\$50,202,503
D	\$17,041,112
Total	\$134,742,707

Total payments from FY 11/12 as of 11-30-12

Priority Class	Amount
A	\$1,711,929
B	\$88,926,306
C	\$66,263,313
D	\$35,591,647
Total	\$192,493,195

5-Year Review Summary

During the FY 11/12, 1,326 cases were reviewed that have claims open more than five years. Of these cases reviewed, 399 cases were recommended for additional corrective action; 286 were recommended for case closure; and 404 cases continued with current corrective action. The report also includes statistics on Fund 5 –Year Review recommendations and subsequent case closures.

CUMULATIVE CLEANUP FUND CLAIMS SINCE INCEPTION OF THE FUND

The table below is a summary of cumulative Cleanup Fund claims by region since inception of the Fund which includes claims paid, claims closed, and average amount per closed site.

GEO. REGION ¹	# CLAIMS PAID ²	AMT. PAID TO CLAIMS ³	# CLAIMS ⁴ CLOSED	AMT. PAID FOR CLOSED CLAIMS ⁵	AVG. AMT. PER CLOSED SITE ⁶	% CLAIMS CLOSED ⁷
1	1,029	\$244,093,987	721	\$100,884,394	\$148,141	70%
2	2,480	\$570,605,364	1,914	\$295,547,935	\$163,015	77%
3	578	\$192,117,832	363	\$66,438,551	\$199,515	63%
4	2,024	\$641,557,684	1,489	\$315,122,943	\$229,681	74%
5	2,745	\$771,218,188	1,952	\$345,665,732	\$186,644	71%
6	281	\$98,389,234	208	\$51,804,636	\$259,023	74%
7	190	\$43,556,511	124	\$17,867,859	\$158,122	65%
8	1,008	\$359,414,837	732	\$175,181,467	\$254,624	73%
9	889	\$207,224,455	685	\$104,000,025	\$160,000	77%
TOTAL	11,224	\$3,128,178,092	8,188	\$1,472,513,542	\$191,185	72%

¹ Region – Includes all cases overseen by Regional Water Boards, LOPs, and LIAs

² # Claims Paid – Number of claims that have received any reimbursement from the Fund since the inception of the Fund

³ Amt. of Claims Paid – Amount reimbursed since the inception of the Fund

⁴ # Claims Closed – Number of claims closed since the inception of the Fund

⁵ Amt. Paid for Closed Claims – Amount reimbursed to claims that are now closed since the inception of the Fund

⁶ Avg. Amt. Per Closed Site – Average reimbursement amount for closed sites. Average reimbursement in recent years is larger than earlier years as a result of increased unit costs.

⁷ % Claims Closed – Percent of claims closed divided by number of claims that have received reimbursement from the Fund (regional and statewide) since the inception of the Fund.

CLAIM STATUS INFORMATION

1ST QUARTER OF FY 11/12 (Jul - Sep)					2ND QUARTER OF FY 11/12 (Oct - Dec)				
GEO. REGION ¹	ACTIVE CLAIMS ²	CLAIMS ON PRIORITY LIST ³	CLAIMS CLOSED ⁴ -QTR-	CLAIMS CLOSED -YTD-	GEO. REGION	ACTIVE CLAIMS	PRIORITY LIST	CLAIMS CLOSED -QTR-	CLAIMS CLOSED -YTD-
1	353	158	22	22	1	329	154	17	39
2	645	1,128	35	35	2	602	1,110	31	61
3	238	228	12	12	3	225	224	11	23
4	588	1,253	48	48	4	549	1,165	36	84
5	980	825	75	75	5	872	811	92	167
6	82	108	7	7	6	77	105	5	12
7	72	62	0	0	7	65	61	5	5
8	327	616	11	11	8	297	594	24	35
9	232	488	10	10	9	217	473	14	34
TOTAL	3,517	4,866	220	220	TOTAL	3,233	4,697	235	460

3RD QUARTER OF FY 11/12 (Jan - Mar)					4TH QUARTER OF FY 11/12 (Apr - Jun)				
GEO. REGION	ACTIVE CLAIMS	CLAIMS ON PRIORITY LIST	CLAIMS CLOSED -QTR-	CLAIMS CLOSED -YTD-	GEO. REGION	ACTIVE CLAIMS	CLAIMS ON PRIORITY LIST	CLAIMS CLOSED -QTR-	CLAIMS CLOSED -YTD-
1	329	158	18	57	1	307	154	16	73
2	611	1,120	24	85	2	566	1,109	30	115
3	226	225	7	35	3	215	223	8	43
4	566	1,223	31	115	4	535	1,165	22	137
5	858	830	57	224	5	795	810	43	267
6	79	105	3	16	6	73	105	4	20
7	71	60	1	6	7	66	59	1	7
8	292	603	22	57	8	275	588	17	74
9	214	484	13	47	9	204	476	6	53
TOTAL	3,246	4,808	176	642	TOTAL	3,036	4,689	147	789

¹ Region – Includes all cases overseen by Regional Water Boards, LOPs, LIAs

² Active Claims– All currently active claims

³ Claims on Priority List – All claims waiting for activation and subsequent funding

⁴ Claims Closed – Includes claims closed during quarter (QTR) and year-to-date (YTD) for sites

PAYMENTS INFORMATION

1ST QUARTER OF FY 11/12 (Jul - Sep)

PRIORITY	AWAITING REVIEW ¹		BEING REVIEWED ²		AWAITING FUNDS ³ (ON HOLD)		GRAND TOTAL IN PROCESS ⁴		RELEASED PAYMENTS ⁵	
	# RRs	REQ. \$	# RRs	REQ. \$	# RRs	AMT. APPROVED	# RRs	AMT. APPROVED	# RRs	AMT. APPROVED
A	0	\$0	3	\$30,097	0	\$0	3	\$30,097	10	\$298,690
B	504	\$16,985,408	246	\$12,302,771	2	\$39,902	752	\$29,328,081	530	\$20,365,229
C	287	\$17,803,174	94	\$7,560,746	8	\$227,503	389	\$25,591,423	539	\$20,586,782
D	111	\$4,492,339	11	\$1,118,230	1	\$5,335	123	\$5,615,904	69	\$4,847,347
TOTAL	902	\$39,280,921	354	\$21,011,844	11	\$272,740	1,267	\$60,565,505	1,148	\$46,098,048

2ND QUARTER OF FY 11/12 (Oct - Dec)

PRIORITY	AWAITING REVIEW		BEING REVIEWED		AWAITING FUNDS (ON HOLD)		GRAND TOTAL OF BACKLOG		RELEASED PAYMENTS	
	# RRs	REQ. \$	# RRs	REQ. \$	# RRs	AMT. APPROVED	# RRs	AMT. APPROVED	# RRs	AMT. APPROVED
A	0	\$0	8	\$167,087	0	\$0	8	\$167,087	18	\$269,319
B	605	\$18,672,426	253	\$7,706,829	9	\$191,367	867	\$26,570,622	444	\$13,711,342
C	438	\$21,921,633	117	\$3,972,280	3	\$52,304	558	\$25,946,217	192	\$8,767,430
D	131	\$5,394,312	12	\$602,275	0	\$0	143	\$5,996,587	110	\$4,544,574
TOTAL	1,174	\$45,988,371	390	\$12,448,471	12	\$243,671	1,576	\$58,680,513	764	\$27,292,665

3RD QUARTER OF FY 11/12 (Jan - Mar)

PRIORITY	AWAITING REVIEW		BEING REVIEWED		AWAITING FUNDS (ON HOLD)		GRAND TOTAL IN PROCESS		RELEASED PAYMENTS	
	# RRs	REQ. \$	# RRs	REQ. \$	# RRs	AMT. APPROVED	# RRs	AMT. APPROVED	# RRs	AMT. APPROVED
A	2	\$57,347	7	\$425,061	0	\$0	9	\$482,408	21	\$334,442
B	247	\$6,825,759	344	\$8,500,612	16	\$287,506	607	\$16,096,285	740	\$18,361,556
C	156	\$4,949,919	179	\$5,170,018	14	\$227,323	349	\$10,347,260	387	\$11,309,495
D	88	\$7,542,652	6	\$471,968	0	\$0	94	\$8,014,620	138	\$5,208,662
TOTAL	493	\$19,375,677	540	\$14,628,923	30	\$514,829	1,059	\$34,940,573	1,286	\$35,214,155

4TH QUARTER OF FY 11/12 (Apr - Jun)

PRIORITY	AWAITING REVIEW		BEING REVIEWED		AWAITING FUNDS (ON HOLD)		GRAND TOTAL IN PROCESS		RELEASED PAYMENTS	
	# RRs	REQ. \$	# RRs	REQ. \$	# RRs	AMT. APPROVED	# RRs	AMT. APPROVED	# RRs	AMT. APPROVED
A	1	\$16,154	18	\$334,314	1	\$21,605	20	\$372,073	14	\$332,315
B	322	\$9,373,920	744	\$20,977,349	2	\$1,366	1,068	\$30,352,652	477	\$12,660,064
C	199	\$8,254,068	484	\$15,753,134	8	\$185,804	691	\$24,193,006	316	\$8,972,146
D	41	\$3,750,985	170	\$14,886,905	7	\$185,941	218	\$18,823,831	60	\$2,542,533
TOTAL	563	\$21,395,127	1,416	\$51,951,702	18	\$394,716	1,997	\$73,741,563	867	\$24,507,058

#RRs - YTD	4,065
APPROVED FOR PAYMENT - YTD⁶	\$133,111,926

¹ Awaiting Review – Reimbursement Requests (RRs) received to date but waiting for review (number of RRs and dollars requested)

² Being Reviewed – RRs being reviewed during the quarter (number of RRs and dollars requested)

³ Awaiting Funds – RRs approved and waiting for available funding (number of RRs and dollars approved)

⁴ Total in Process – Total RRs awaiting review, RRs being reviewed, and RRs awaiting funding

⁵ Released Payments – RRs approved for payment. Check issuance 4-6 weeks after payment is approved

⁶ Approved for Payment – YTD – Year-to-date RRs approved for payment

ACCOUNTS RECEIVABLE INFORMATION

PRIORITY	UST ACCOUNTS RECEIVABLES - 1ST QUARTER OF FY 11/12 (Jul - Sep)						
	1ST NOTICES ¹	2ND NOTICES	3RD NOTICES ²	SENT TO COLLECTIONS ³	AMT. COLLECTED	SCO PENDING DISCHARGE ⁴	SCO APPROVED DISCHARGE
A	\$0	\$0	\$0	\$0	\$0	\$0	\$0
B	\$48,468	\$81,431	\$643,319	\$0	\$0	\$0	\$0
C	\$126,860	\$180,390	\$115,529	\$0	\$0	\$0	\$0
D	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL	\$175,328	\$261,821	\$758,848	\$0	\$0	\$0	\$0

PRIORITY	UST ACCOUNTS RECEIVABLES - 2ND QUARTER OF FY 11/12 (Oct - Dec)						
	1ST NOTICES	2ND NOTICES	3RD NOTICES	SENT TO COLLECTIONS	AMT. COLLECTED	SCO PENDING DISCHARGE	SCO APPROVED DISCHARGE
A	\$0	\$0	\$0	\$0	\$0	\$0	\$0
B	\$159,849	\$88,639	\$109,884	\$201,850	\$50,566	\$5,280	\$5,280
C	\$431,640	\$144,751	\$243,827	\$209,866	\$10,921	\$0	\$0
D	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL	\$591,489	\$233,390	\$353,711	\$411,716	\$61,487	\$5,280	\$5,280

PRIORITY	UST ACCOUNTS RECEIVABLES - 3RD QUARTER OF FY 11/12 (Jan - Mar)						
	1ST NOTICES	2ND NOTICES	3RD NOTICES	SENT TO COLLECTIONS	AMT. COLLECTED	SCO PENDING DISCHARGE	SCO APPROVED DISCHARGE
A	\$0	\$0	\$0	\$0	\$0	\$0	\$0
B	\$113,883	\$113,351	\$136,808	\$106,269	\$10,007	\$4,117	\$269,724
C	\$1,009	\$390,492	\$459,533	\$0	\$0	\$23,257	\$37,210
D	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL	\$114,892	\$503,843	\$596,341	\$106,269	\$10,007	\$27,404	\$306,934

PRIORITY	UST ACCOUNTS RECEIVABLES - 4TH QUARTER OF FY 11/12 (Apr - Jun)						
	1ST NOTICES	2ND NOTICES	3RD NOTICES	SENT TO COLLECTIONS	AMT. COLLECTED	SCO PENDING DISCHARGE	SCO APPROVED DISCHARGE
A	\$0	\$0	\$0	\$0	\$0	\$0	\$0
B	\$1,120,198	\$336,082	\$125,006	\$200,973	\$1,820	\$20,528	\$10,546
C	\$129,166	\$102,231	\$80,845	\$41,148	\$0	\$23,257	\$0
D	\$14,392	\$14,208	\$0	\$0	\$0	\$0	\$0
TOTAL	\$1,263,756	\$452,521	\$205,851	\$242,121	\$1,820	\$43,785	\$10,546

YTD Collected Payments¹	\$73,314
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¹ Notices are sent to Claimants for amount required to repay the Fund (accounts receivable – A/Rs). The majority of 1st notices result in payment within 30 days.

² 3rd Notices – 3rd notices include the original A/R plus the amount the Fund would be billed by Collections and by the State Controller’s Office for discharge of debt.

³ Sent to Collections – After the 3rd Notice, A/Rs are sent to an outside agency with whom the State Water Board has a state contract in order to recover costs.

⁴ SCO Discharge (Pending/Approved) – When a debt cannot be recouped by a state agency it is written off as a liability as a discharge of debt approved by the State Controller’s Office.

FIVE-YEAR REVIEW INFORMATION

1ST QUARTER OF FY 11/12 (Jul - Sep)						2ND QUARTER OF FY 11/12 (Oct - Dec)					
GEO. REGION 1	FUND RECOMMENDATION ²			CLAIMS CLOSED SUBSEQ.TO 5-YR REVIEW ³ -QTR-	CLAIMS CLOSED SUBSEQ. TO 5-YR REVIEW -YTD-	GEO. REGION	FUND RECOMMENDATION			CLAIMS CLOSED SUBSEQ. TO 5- YR REVIEW -QTR-	CLAIMS CLOSED SUBSEQ. TO 5-YR REVIEW -YTD-
	ADDT'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION				ADDT'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION		
1	16	15	11	0	0	1	10	5	2	5	5
2	18	21	7	5	5	2	64	52	18	9	14
3	0	4	1	5	5	3	22	20	1	3	8
4	29	25	28	3	3	4	10	8	7	10	13
5	20	72	29	2	2	5	50	55	22	19	21
6	0	1	0	14	14	6	2	1	4	0	14
7	2	3	1	2	2	7	18	5	4	0	2
8	1	8	1	0	0	8	14	31	15	1	1
9	3	14	20	2	2	9	6	6	25	1	3

3RD QUARTER OF FY 11/12 (Jan - Mar)						4TH QUARTER OF FY 11/12 (Apr - Jun)					
GEO. REGION	FUND RECOMMENDATION			CLAIMS CLOSED SUBSEQ. TO 5-YR REVIEW -QTR-	CLAIMS CLOSED SUBSEQ. TO 5-YR REVIEW -YTD-	GEO. REGION	FUND RECOMMENDED			CLAIMS CLOSED SUBSEQ. TO 5- YR REVIEW -QTR-	CLAIMS CLOSED SUBSEQ. TO 5-YR REVIEW -YTD-
	ADDT'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION				ADDT'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION		
1	16	15	11	0	5	1	11	8	6	6	11
2	18	21	7	5	19	2	26	15	7	6	25
3	0	4	1	3	11	3	10	7	1	4	15
4	29	25	28	2	15	4	18	9	11	0	15
5	20	72	29	14	35	5	20	35	34	19	54
6	0	1	0	2	16	6	0	0	0	4	20
7	2	3	1	0	2	7	0	1	0	1	3
8	1	8	1	2	3	8	5	13	9	7	10
9	3	14	20	0	3	9	3	4	17	2	5
TOTAL	105	163	98	28	109	TOTAL	93	92	85	49	158
TOTAL	89	163	98	33	33	TOTAL	196	183	98	48	81

¹ Region – Includes cases overseen by LOPs and Regional Water Boards.

² Fund Recommendation – 5-Year Review recommended: 1) Additional Corrective Action beyond initial site assessment; 2) Current Correct Action; or 3) Pursue Case Closure

³ Claims Closed Subseq. To 5-Yr Review – Claims Closed Subsequent to 5 Year Review