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8	BEFORE THE STATE WATER RESOURCES CONTROL BOARD
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10	In the Matter of:
11	Draft Cease and Desist Order and
12	Administrative Civil Liability against Stornetta Family Trust and Newton Dal Poggetto's Amended) Newton Dal Poggetto's Amended
13	Newton Dal Poggetto (Trustee)) Prehearing Brief
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15	This matter is before the Board due to the claim against the Property Owner
16	demanding payment of a fine of \$22,800, and the Board's Office of Enforcement's (BOE)
17	unwillingness to compromise on that penalty. Attached as Exhibit A is the Property
18	Owner's initial substantive response dated September 3, 2013, to the BOE's original
19	complaint. In this correspondence the Property Owner agreed to register the pond as a
20	stockpond, but objected to the claims and the fine. Despite numerous attempts by the
21	Property Owner to compromise on the amount of the fine, and the BOE's willingness to
22	accept the stockpond registration to satisfy its procedural demands, the BOE has refused
23	to reduce its claim by any amount whatsoever. Consistent with its original position, the
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Preliminarily, we note the Amended Administrative Complaint dated May 1, 2014, (Amended Complaint) states the action against the Property Owner commenced on August 18, 2011. In fact, the first notice provided to Mr. Dal Poggetto, was dated

Property Owner has filed with the Board a Registration for Livestock Stockpond Use

Appropriation. The fine remains contested.

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March 26, 2012, and even this communication identified Mr. Dal Poggetto incorrectly. Mr. Dal Poggetto attempted to correct the misinformation, and he promptly responded to the BOE with the letter attached here as Exhibit B.

After significant delay, caused by the BOE's incorrect identification of the Property Owner, and its unwillingness to resolve the matter reasonably, the Amended Complaint was served on Mr. Dal Poggetto in May 2014.

The Amended Complaint claims violations of the Water Code. First, it claims unauthorized diversion or use of water in violation of Water Code section 1052(a). Second, it claims a violation of Water Code section 5101 that requires a water user to file a specific Statement of Diversion and Use with the Water Board. Both of these claims are unsupported.

1. Unauthorized Diversion and Use of Water; Water Code section 1052(a).

The BOE's claims are based on the reservoir built by the Soil Conservation District in or about 1964. The Declaration of Gary Kiser attached as Exhibit C demonstrates, and is the only permissible evidence, that the dam and resulting reservoir were constructed by the Soil Conservation District. Accordingly, no permit was required pursuant to Water Code section 1252.1, which states,

An appropriation of water of any stream or other source of water under this part does not confer authority upon the appropriator to prevent or interfere with soil conservation *practices* above the point of diversion in the watershed in which such stream or other source originates, *which practices* do not themselves constitute an appropriation for which a permit is required by this part. (Emphasis added.)

The point of diversion is the dam constructed by the Soil Conservation District.

The property owner has not changed its practices or water use from the time the dam was constructed. No irrigation has taken place, and no change in use has occurred. The property owner's riparian rights to use the water from the stream continued, though the State, essentially, acted of its own accord to expand whatever existed in 1964 into the

pond that exists today.

Further, the Property Owner's water use did not amount to a diversion or appropriation, as water was not being moved from its location (Water Code section 5100(c)). It remained in the channel created by the State and was only used as a stock watering pond. We do not know the precise extent of the riparian right or amount of water on the property prior to 1964, but the use of the water has not changed since then.

The BOE's efforts to demonstrate malfeasance or ill-gotten gains by the Property Owner are objectionable, hearsay, and completely speculative.

2. Failure to File Statement of Diversion and Use; Water Code section 5101
California Water Code §5101 requires each person or organization that uses
diverted surface water or pumped groundwater after December 31, 1965 to file with the
State Water Board a Statement of Water Diversion and Use.

However, there are four exemptions to this requirement, one of which, as stated in Section 5101(b) includes:

Diversions covered by a registration for small domestic or *livestock stockpond* uses, a stockpond certificate, or a permit or license to appropriate water on file with the board, consistent with Water Code section 1226 et seq. referring to appropriation of water, and section 1228, et seq., referring to and regulating the appropriation of water for livestock stockpond use. (*Emphasis added.*)

This exemption is also confirmed in Section 5101(f), again referring to Water Code section 1226 and 1228.

Again, the property owner's water use did not change, and the pond was created by the State's own action. It is undisputed that the structure was constructed for soil conservation purposes only, and not for agricultural irrigation or any other use other than stockpond watering. The only use of water prior to 1964 was stockpond watering, and again, this use has not changed.

Despite the Property Owner taking no action to create the pond, and the use of the water being unchanged over 50 years, the BOE now seeks to penalize the Property