

**STATE WATER RESOURCES CONTROL BOARD  
BOARD MEETING SESSION – DIVISION OF FINANCIAL ASSISTANCE  
OCTOBER 3, 2012**

**ITEM 5**

**SUBJECT**

CONSIDERATION OF A PROPOSED RESOLUTION ADOPTING THE PROPOSITION 84 STORMWATER GRANT PROGRAM (SWGP) IMPLEMENTATION ROUND 1 FUNDING LIST

**DISCUSSION**

The Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006 (Prop 84), was approved by California voters in the general election on November 7, 2006. Prop 84 provides the State Water Resources Control Board (State Water Board) \$90 million for matching grants to local public agencies for the reduction and prevention of Stormwater contamination of rivers, lakes, and streams [Pub. Resources Code, §75050, subdivision (m)]. After bond and program administration costs, approximately \$82 million is available for grants with \$42 million being made available for Round 1 Implementation SWGP projects.

The State Water Board adopted the SWGP Program Guidelines (Guidelines) on February 17, 2009 ([Resolution No. 2009-0017](#)). The Guidelines established the process by which the State Water Board solicits applications, evaluates proposals, and awards grants.

The State Water Board's Division of Financial Assistance (Division) initiated the SWGP application process with a Concept Proposal phase in early 2012. The Concept Proposal phase was designed to verify project eligibility, evaluate project effectiveness, and gauge the readiness to proceed for the proposed projects based on criteria outlined in the Guidelines. As a result of the Concept Proposal phase, thirty-seven applicants were invited to complete a Full Proposal application.

The Division received thirty-two eligible applications for review by the deadline of June 27, 2012. The Division staff coordinated the technical review process with staff from the Division of Water Quality and the Regional Water Quality Control Boards. Based on the technical reviews and using criteria outlined in the Guidelines, the projects were ranked and a Funding List was developed.

The SWGP Implementation Round 1 Funding List includes two lists of projects: 1) the Recommended Funding List ([Exhibit A](#)), and 2) the Projects Pending Approval List ([Exhibit B](#)). The Recommended Funding List includes those projects that staff recommend funding as submitted. The Projects Pending Approval are those that staff recommend for funding only if the applicant makes specific changes to the project or provides information to address specific questions regarding the project. The Recommended Funding List includes 12 projects requesting \$18.5 million in grant funds. The Projects Pending Approval List includes 12 projects requesting \$23.6 million in grant funds.

If the SWGP Implementation Round 1 Funding List is approved by the State Water Board, the Division will contact applicants with projects on the Projects Pending Approval List and identify the information that must be submitted in order to consider the projects for funding. Applicants will be required to submit the information by November 15, 2012. If Division staff determine that

Projects Pending Approval should be funded based on the additional information submitted, the Deputy Director of the Division would be authorized to add those projects to the Recommended Funding List and issue grants for those projects.

## **POLICY ISSUE**

Should the State Water Board:

1. Approve the SWGP Implementation Round 1 Funding List (Exhibits A and B)?
2. Authorize the Deputy Director of the Division to issue grant agreements and amendments to implement projects on the Recommended Funding List portion of the SWGP Implementation Round 1 Funding List (Exhibit A)?
3. Authorize the Deputy Director of the Division to withdraw grant awards if applicants are non-responsive; and
4. Authorize the Deputy Director of the Division, or designee, to add projects from the Projects Pending Approval List to the Recommended Funding List portion of SWGP Implementation Round 1 projects if information provided by the applicants adequately addresses issues identified by the technical reviewers.

## **FISCAL IMPACT**

Local Assistance funds authorized by Prop 84 are sufficient to fund all the projects on both lists. Up to five percent of the funds appropriated under Prop 84 are available to the Division for staff resources to manage the SWGP projects.

## **REGIONAL BOARD IMPACT**

Yes. Projects will be implemented in several regions.

## **STAFF RECOMMENDATION**

The State Water Board should:

1. Approve the SWGP Implementation Round 1 Funding List (Exhibits A and B);
2. Authorize the Deputy Director of the Division to issue grant agreements and amendments to implement projects on the Recommended Funding List portion of the SWGP Implementation Round 1 Funding List (Exhibit A);
3. Authorize the Deputy Director of the Division to withdraw grant awards if applicants are non-responsive; and
4. Authorize the Deputy Director of the Division, or designee, to add projects from the Projects Pending Approval List to the Recommended Funding List portion of SWGP Implementation Round 1 projects if information provided by the applicants addresses issues identified by the technical reviewers.

State Water Board action on this item will assist the Water Boards in reaching Goal 1 of the Strategic Plan Update: 2008-2012 to implement strategies to fully support the beneficial uses for all 2006 listed water bodies by 2030. In particular, approval of this item will: (1) address Total Maximum Daily Loads (TMDLs) and (2) manage urban runoff to reduce pollutant loadings.

# DRAFT

## STATE WATER RESOURCES CONTROL BOARD RESOLUTION NO. 2012-

### ADOPTING THE PROPOSITION 84 STORMWATER GRANT PROGRAM (SWGP) IMPLEMENTATION ROUND 1 FUNDING LIST

#### WHEREAS:

1. The Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006 (Prop 84) provides the State Water Resources Control Board (State Water Board) \$90 million for grants to local public agencies for the reduction and prevention of stormwater contamination of rivers, lakes, and streams. After bond and program administration costs, approximately \$82 million is available for grants;
2. The SWGP Guidelines (Guidelines) were adopted by the State Water Board on February 17, 2009 ([Resolution No. 2009-0017](#));
3. Division of Financial Assistance (Division) staff coordinated the technical review process based on criteria outlined in the Guidelines;
4. Technical reviews were performed by staff from the Division, the Division of Water Quality, and Regional Water Quality Control Board staff; and
5. The SWGP Implementation Round 1 Funding List includes two types of projects: 1) the Recommended Funding List, and 2) the Projects Pending Approval List.

#### THEREFORE BE IT RESOLVED THAT:

#### The State Water Board:

1. Approves the SWGP Implementation Round 1 Funding List ([Exhibits A](#) and [B](#));
2. Authorizes the Deputy Director of the Division to issue grant agreements and amendments to implement projects on the Recommended Funding List portion of the SWGP Implementation Round 1 Funding List (Exhibit A);
3. Authorizes the Deputy Director of the Division to withdraw grant awards if applicants are non-responsive; and

## **D R A F T**

4. Authorizes the Deputy Director of the Division, or designee, to add projects from the Projects Pending Approval List to the Recommended Funding List portion of SWGP Round 1 Implementation projects if information provided by the applicants adequately addresses issues identified by the technical reviewers.

### **CERTIFICATION**

The undersigned, Clerk to the Board, does hereby certify that the foregoing is a full, true, and correct copy of a resolution duly and regularly adopted at a meeting of the State Water Board held on October 3, 2012.

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Jeanine Townsend  
Clerk to the Board