

ATTACHMENT D REPORT AND NOTIFICATION REQUIREMENTS

I. Reports and Notifications Requirements

Copies of this form: In order for staff to identify your Project, it is necessary to include a copy of the Project specific Report and Notification Cover Sheet and signature page (end of this document) with your report; please retain this document for your records. You may also obtain a copy as follows:

- A. Download a [fillable form](#)¹ directly from the [State Water Board's Individual Orders webpage](#)²; or
- B. Request a copy by emailing or calling the Water Board staff noted on the first page of your Order or as found in the [Program Staff Directory](#)³.

II. Report Submittal Instructions

Step 1: Check the box on the Report and Notification Cover Sheet (end of this attachment) next to the report or notification you are submitting. Refer to the Project Order for specific reports required for your Project.

Types of Reports:

- A. Part A: Annual Report: Submit this report annually as required by the Project Reporting section in this attachment and in your Project Order until the Water Board issues a Notice of Project Complete Letter.
- B. Part B: Project Status Notifications: Submit notifications to notify the Water Board of the status of the Project schedule that may affect Project billing.
- C. Part C: Conditional Notifications and Reports: Submit these items as needed to notify the Water Board of accidental discharges of hazardous materials, violation of water quality standards, status of in-water work, or other reports as required by your Order.

Step 2: Sign the Report and Notification Cover Sheet (end of this attachment) and attach all information required for the Report Type checked on the Cover Sheet.

Step 3: Submit the completed report electronically to Water Board staff assigned to your Project (noted on the Order) and copy the State Water Board (email below).

- A. Submit signed Report and Notification Cover Sheet and required information via email to: stateboard401@waterboards.ca.gov and cc: Alexander.Lopez@waterboards.ca.gov.

¹ https://www.waterboards.ca.gov/water_issues/programs/cwa401/docs/2022/report-cover-sheet.docx

² https://www.waterboards.ca.gov/water_issues/programs/cwa401/certifications.html

³ https://www.waterboards.ca.gov/water_issues/programs/cwa401/docs/wqc_staffdir.pdf

- B. Include in the subject line of the email: "ATTN: Alex Lopez and Reg Measure ID 460537 Report."

III. **Definition of Reporting Terms**

Effective Date: The effective date is the date of Order issuance; refer to the date the Order was signed by the authorized Water Board representative.

Active Discharge Period: The active discharge period begins on the effective date of the Order and ends the date the Permittee receives a Notice of Completion of Discharges Letter, or, if no post-construction monitoring is required, a Notice of Project Complete Letter. The active discharge period includes all elements of the Project, including site construction and restoration, and any Permittee responsible compensatory mitigation construction.

Post-Discharge Monitoring Period: The post-discharge monitoring period begins on the date of the Notice of Completion of Discharges Letter and ends on the date of the Notice of Project Complete Letter issued by Water Board staff.

The Post-Discharge Monitoring Period includes continued water quality monitoring or compensatory mitigation monitoring.

Request for Notice of Completion of Discharges Letter: This request by the Permittee to Water Board staff pertains to projects that have completed Project discharges, and are in the post-discharge monitoring period. Receipt of this letter from Water Board staff marks the end of the active discharge period, and starts the beginning of the post-discharge monitoring period.

Request for Notice of Project Complete Letter: This request by the Permittee to Water Board staff pertains to projects that have either completed post-construction monitoring and achieved performance standards, or have no post-construction monitoring requirements and no further Project activities are planned. Water Board staff will review the request and send a Project Complete Letter to the Permittee upon approval. Termination of annual invoicing fees will correspond with the date of the Project Complete Letter.

IV. **Map/Photo Documentation Information**

When submitting maps or photos, use the following formats.

A. **Map Format Information**

Preferred map formats are of at least 1:24000 (1" = 2000') detail, and are listed in order of preference:

1. Geographic information system (GIS) shapefiles: The shapefiles must depict the boundaries of all project areas and extent of aquatic resources impacted. Each shape should be attributed with the extent/type of aquatic resources impacted. Features and boundaries should be accurate to within 33 feet (10 meters). Identify datum/projection used and if possible, provide map with a

North American Datum of 1983 (NAD83) in the California Teale Albers projection in feet.

2. Google keyhole markup language (KML) files saved from Google Maps: My Maps or Google Earth Pro. Maps must show the boundaries of all project areas and extent/type of aquatic resources impacted. Include URL(s) of maps. If this format is used include a spreadsheet with the object ID and attributed with the extent/type of aquatic resources impacted.
3. Other electronic format (computer aided design (CAD) or illustration format) that provides a context for location, e.g., inclusion of landmarks, known structures, geographic coordinates, or U.S. Geological Society (USGS) digital raster graphics (DRG) or digital orthophoto quarter-quadrangle (DOQQ). Maps must show the boundaries of all project areas and extent/type of aquatic resources impacted. If this format is used include a spreadsheet with the object ID and attributed with the extent/type of aquatic resources impacted.
4. Aquatic resource maps marked on paper USGS 7.5-minute topographic maps or DOQQ printouts. Maps must show the boundaries of all project areas and extent/type of aquatic resources impacted. If this format is used include a spreadsheet with the object ID and attributed with the extent/type of aquatic resources impacted.

B. Photo-Documentation

Include a unique identifier, date stamp, written description of photo details, and latitude/longitude (in decimal degrees) or map indicating location of photo. Successive photos should be taken from the same vantage point to compare pre/post construction conditions.

V. Part A. Project Reporting: Report Content

A. Report Type 1: Monthly Report – Not Required

B. Report Type 2: Annual Report

1. Report Purpose: Notify the Water Board staff of Project status during both the active discharge and post-discharge monitoring periods.
2. When to Submit: Annual reports shall be submitted each year on July 1, until a Notice of Project Complete Letter is issued to the Permittee.
3. Report Contents: The contents of the annual report shall include the topics indicated below for each project period. Report contents are outlined in Annual Report Topics below.
 - a. During the Active Discharge Period include the following report topics:
 - i. Topic 1: Construction Summary
 - ii. Topic 2: Restoration of Temporary Impacts Status

- iii. Topic 3: Compensatory Mitigation for Permanent Impacts Status
- b. During the Post-Discharge Monitoring Period include the following report topics:
 - i. Topic 2: Restoration of Temporary Impacts Status
 - ii. Topic 3: Compensatory Mitigation for Permanent Impacts Status
- 4. **Annual Report Topic 1: Construction Summary**
 - a. When to Submit: Submit with the annual report during the Active Discharge Period.
 - b. Report Contents: Include Project progress and schedule including initial ground disturbance, site clearing and grubbing, road construction, site construction, and the implementation status of construction stormwater best management practices (BMPs). If construction has not started, provide estimated start date and reasons for delay.
 - i. Map showing general Project progress.
 - ii. Summary of Conditional Notification and Report Types 6 and 7 (Part C below), if applicable.
 - iii. Summary of Deviations. See Deviation Attachment for further information, if applicable.
- 5. **Annual Report Topic 2: Restoration of Temporary Impacts Status**
 - a. When to Submit: Submit with the annual report during both the Active Discharge Period and Post-Discharge Monitoring Period.
 - b. Report Contents:
 - i. Planned date of initiation and map showing locations of restoration of temporary impacts to waters of the state and all upland areas of temporary disturbance which could result in a discharge to waters of the state.
 - ii. If restoration has already commenced, provide a map and information concerning attainment of performance standards contained in the restoration plan.
- 6. **Annual Report Topic 3: Compensatory Mitigation Status – Not Applicable. Report N/A.**

VI. Part B. Project Status Notifications

A. Report Type 3: Commencement of Construction

- 1. Report Purpose: Notify Water Board staff prior to the start of construction.
- 2. When to Submit: Must be received at least seven (7) days prior to start of initial ground disturbance activities.

3. Report Contents:

- a. Date of commencement of construction.
- b. Anticipated date when discharges to waters of the state will occur.
- c. Project schedule milestones including a schedule for onsite compensatory mitigation, if applicable.
- d. Construction Stormwater General Permit WDID No.
- e. Proof of purchase of compensatory mitigation for permanent impacts from the mitigation bank or in-lieu fee program, if applicable.
- f. Proof of payment of any remaining fees due to the Water Board after Order issuance.

B. Report Type 4: Request for Notice of Completion of Discharges Letter

1. Report Purpose: Notify Water Board staff that post-construction monitoring is required and that active Project construction, including any mitigation and permittee responsible compensatory mitigation, is complete.
2. When to Submit: Must be received by Water Board staff within thirty (30) days following completion of all Project construction activities.
3. Report Contents:
 - a. Status of stormwater Notice of Termination(s), if applicable.
 - b. Status of post-construction stormwater BMP installation.
 - c. Pre- and post-photo documentation of all Project activity sites where the discharge of dredge and/or fill/excavation was authorized.
 - d. Summary of Deviation discharge quantities compared to initial authorized impacts to waters of the state, if applicable.
 - e. An updated monitoring schedule for restoration of temporary impacts to waters of the state and permittee responsible compensatory mitigation during the post-discharge monitoring period, if applicable.

C. Report Type 5: Request for Notice of Project Complete Letter

1. Report Purpose: Notify Water Board staff that construction and/or any post-construction monitoring is complete, or is not required, and no further Project activity is planned.
2. When to Submit: Must be received by Water Board staff within thirty (30) days following completion of all Project activities.

3. Report Contents:

a. Part A: Restoration of Temporary Impacts:

- i. A report establishing that the performance standards outlined in the restoration plan have been met for Project site upland areas of temporary disturbance which could result in a discharge to waters of the state.
- ii. A report establishing that the performance standards outlined in the restoration plan have been met for restored areas of temporary impacts to waters of the state. Pre- and post-photo documentation of all restoration sites.

b. Part B: Permittee Responsible Compensatory Mitigation:

- i. A report establishing that the performance standards outlined in the compensatory mitigation plan have been met.
- ii. Status on the implementation of the long-term maintenance and management plan and funding of endowment.
- iii. Pre- and post-photo documentation of all compensatory mitigation sites.
- iv. Final maps of all compensatory mitigation areas (including buffers).

c. Part C: Post-Construction Stormwater BMPs:

- i. Date of stormwater Notice of Termination(s), if applicable.
- ii. Report status and functionality of all post-construction BMPs.

VII. **Part C – Conditional Notifications and Reports**

A. **Report Type 6: Accidental Discharge of Hazardous Material Report**

1. Report Purpose: Notifies Water Board staff that an accidental discharge of hazardous material has occurred.
2. When to Submit: Within five (5) working days following the date of an accidental discharge. Continue reporting as required by Water Board staff.
3. Report Contents:
 - a. The report shall include the OES Incident/Assessment Form, a full description and map of the accidental discharge incident (i.e. location, time and date, source, discharge constituent and quantity, aerial extent, and photo documentation). If applicable, the OES Written Follow-Up Report may be substituted.
 - b. If applicable, any required sampling data, a full description of the sampling methods including frequency/dates and times of sampling, equipment, locations of sampling sites.

- c. Locations and construction specifications of any barriers, including silt curtains or diverting structures, and any associated trenching or anchoring.

B. Report Type 7: Violation of Water Quality Standards Report

1. Report Purpose: Notifies Water Board staff that a violation of water quality standards has occurred.
2. When to Submit: The Permittee shall report any event that causes a violation of water quality standards within 3 business days of the noncompliance event notification to Water Board staff.
3. Report Contents: The report shall include: the cause; the location shown on a map; and the period of the noncompliance including exact dates and times. If the noncompliance has not been corrected, include: the anticipated time it is expected to continue; the steps taken or planned to reduce, eliminate, and prevent reoccurrence of the noncompliance; and any monitoring results if required by Water Board staff.

C. Report Type 8: Modifications to Project Report

1. Report Purpose: Notifies Water Board staff if the Project, as described in the application materials, is altered in any way or by the imposition of subsequent permit conditions by any local, state or federal regulatory authority.
2. When to Submit: If Project implementation as described in the application materials is altered in any way or by the imposition of subsequent permit conditions by any local, state or federal regulatory authority.
3. Report Contents: A description and location of any alterations to Project implementation. Identification of any Project modifications that will interfere with the Permittee's compliance with the Order.

Report and Notification Cover Sheet

Page 1 of 2

Project	AT&T Japan-U.S. Cable Network Decommissioning (S8 and S9 Cables) Project
Permittee	AT&T Enterprises LLC
WDID/ File Number	SB25019IN
Reg Measure ID	460537
Place ID	900441

Report Type Submitted

A. Part A - Project Reporting

Report Type 1 ☐ Monthly Report – Not Required

Report Type 2 ☐ Annual Report

B. Part B - Project Status Notifications

Report Type 3 ☐ Commencement of Construction

Report Type 4 ☐ Request for Notice of Completion of Discharges Letter

Report Type 5 ☐ Request for Notice of Project Complete Letter

C. Part C - Conditional Notifications and Reports

Report Type 6 ☐ Accidental Discharge of Hazardous Material Report

Report Type 7 ☐ Violation of Water Quality Standards Report

Report Type 8 ☐ Modifications to Project Report

Report and Notification Cover Sheet

Page 2 of 2

"I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations."

Print Name ¹

Affiliation and Job Title

Signature

Date

¹STATEMENT OF AUTHORIZATION (include if authorization has changed since application was submitted)

I hereby authorize [_____] to act in my behalf as my representative in the submittal of this report, and to furnish upon request, supplemental information in support of this submittal.

Permittee's Signature

Date

***This Report and Notification Cover Sheet must be signed by the Permittee or a duly authorized representative and included with all written submittals.**