

Attachment D – Report and Notification Requirements

Report Submittal Instructions:

1. Check the box on the Report and Notification Cover Sheet (pages 6 and 7, below) next to the report or notification you are submitting. See the Certification and Notice of Applicability (NOA) for report and notification requirements specific to your project.
2. Complete and sign the Report and Notification Cover Sheet and attach all information requested for the Report or Notification Type.
3. Submit the signed Report and Notification Cover Sheet and required information via email to the Water Board staff assigned to your project.
4. Include the Regulatory Measure ID from the NOA in the subject line of the email.

Map/Photo Instructions:

Map Format Information: Preferred map formats of at least 1:24000 (1" = 2000') detail (listed in order of preference):

- **GIS shapefiles:** The shapefiles must depict the boundaries of all project areas and extent of aquatic resources impacted. Each shape should be attributed with the extent/type of impacted aquatic resources. Features and boundaries should be accurate to within 33 feet (10 meters). Identify datum/projection used and if possible, provide map with a North American Datum of 1983 (NAD83) in the California Teale Albers projection in feet.
- **Google KML/KMZ files** saved from Google Maps: My Maps or Google Earth Pro. Maps must show the boundaries of all project areas and extent/type of aquatic resources impacted. Include URL(s) of maps. If this format is used, include a spreadsheet with the object ID attributed with the extent/type of each impacted aquatic resource.
- **Other electronic format** (CAD or illustration format) provides a context for location (inclusion of landmarks, known structures, geographic coordinates, or USGS DRG or DOQQ). Maps must show the boundaries of all project areas and extent/type of aquatic resources impacted.
- Aquatic resource maps marked on paper **USGS 7.5-minute topographic maps** or **Digital Orthophoto Quarter Quads (DOQQ)** printouts. Maps must show the boundaries of all project areas and extent/type of aquatic resources impacted.

Photo-Documentation: Include a unique identifier, date stamp, written description of photo details, and latitude/longitude (in decimal degrees) or map indicating location of photo. Successive photos should be taken from the same vantage point to compare pre/post construction conditions.

Annual Reports

Report Type 1 – Annual Reports

1. **Report Purpose** - Notify the Water Board staff of project status throughout the duration of the project.
2. **When to Submit** – If required in the NOA, the discharger shall submit an annual report each year by the date specified in the NOA until a Notice of Project Completion is issued to the discharger.
3. **Report Contents** - The annual report shall include all the topics below:
 - **Topic 1: Construction Summary**
 - **Topic 2: Mitigation for Temporary Impacts Status**
 - **Topic 3: Compensatory Mitigation for Permanent Impacts Status**
 - a. **Annual Report Topic 1 - Construction Summary** - Project progress and schedule including initial ground disturbance, site clearing and grubbing, road construction, site construction, and the implementation status of best management practices (BMPs). If construction has not started, provide estimated start date and reasons for delay.
 - i. Map showing project progress.
 - ii. Summary of Conditional Notification and Report Types 6 and 7 (Part C below), if applicable.
 - b. **Annual Report Topic 2 - Mitigation for Temporary Impacts Status**
 - i. Planned date of initiation and map showing locations of mitigation for temporary impacts to waters of the state and all upland areas of temporary disturbance which could result in a discharge to waters of the state.
 - ii. If mitigation for temporary impacts has already commenced, provide a map and information concerning attainment of performance standards contained in the restoration plan.
 - c. **Annual Report Topic 3 - Compensatory Mitigation for Permanent Impacts Status - *If not applicable report “N/A.”**
 - i. Include the following as required by the approved Compensatory Mitigation Plan:
Permittee Responsible:
 - a. If mitigation has not been installed, the planned installation date(s).
 - b. If installation is in progress, a map of what has been completed to date.
 - c. If installation is complete, provide a final map and information concerning attainment of performance standards contained in the compensatory mitigation plan.

Mitigation Bank or In-Lieu Fee (ILF):

- a. Status or proof of purchase of credit types and quantities.
- b. The name of bank/ILF program and contact information.
- c. If ILF, project location and type, if known.

Project Status Notifications

Report Type 2 - Commencement of Construction

1. **Report Purpose** - Notify Water Board staff prior to the start of construction.
2. **When to Submit** - Must be received at least seven (7) days¹ prior to start of initial ground disturbance activities.
3. **Report Contents** -
 - a. Date of commencement of construction.
 - b. Anticipated date when discharges to waters of the state will occur.
 - c. Project schedule milestones including a schedule for onsite compensatory mitigation, if applicable.
 - d. Construction Storm Water General Permit WDID No., if applicable.

Report Type 3 – Notice of Project Completion

1. **Report Purpose** - Notify Water Board staff that construction and/or any post-construction monitoring is complete, and no further project activity is planned. Water Board staff will review the request and send a Project Complete Letter to the discharger upon approval. Termination of annual invoicing of fees will correspond with the date of the Project Completion Letter.
2. **When to Submit** - Must be received by Water Board staff within thirty (30) days following completion of all project activities.
3. **Report Contents** -
 - a. **Part A: Stormwater Compliance**
 - i. Status of post-construction stormwater BMP installation, pursuant to the Certification.
 - b. **Part B: Mitigation for Temporary Impacts**
 - i. A report establishing that the performance standards outlined in the restoration plan have been met for project site upland areas of temporary disturbance which could result in a discharge to waters of the state.

¹ Unless otherwise specified, all references to “days” in this Attachment refer to calendar days.

- ii. A report establishing that the performance standards outlined in the restoration plan have been met for restored areas of temporary impacts to waters of the state. Pre- and post-photo documentation of all restoration sites.

c. Part C: Permittee Responsible Compensatory Mitigation

- i. A report establishing that the performance standards outlined in the compensatory mitigation plan have been met.
- ii. Status on the implementation of the long-term maintenance and management plan and funding of endowment.
- iii. Pre- and post-photo documentation of all compensatory mitigation sites.
- iv. Final maps of all compensatory mitigation areas (including buffers).

Conditional Notifications and Reports

Report Type 4 - Accidental Discharge of Hazardous Material Report

1. **Report Purpose** - Notifies Water Board staff that an accidental discharge of hazardous material has occurred.
2. **When to Submit** - Within seven (7) days following the date of an accidental discharge. Continue reporting as required by Water Board staff.
3. **Report Contents** -
 - a. The report shall include the Office of Emergency Services (OES) Incident/Assessment Form, a full description and map of the accidental discharge incident (i.e. location, time and date, source, discharge constituent and quantity, aerial extent, and photo documentation). If applicable, the OES Written Follow-Up Report may be substituted.
 - b. If applicable, any required sampling data, a full description of the sampling methods including frequency/dates and times of sampling, equipment, and locations of sampling sites.
 - c. Locations and construction specifications of any barriers, including silt curtains or diverting structures, and any associated trenching or anchoring.

Report Type 5 - Violation of Compliance with Water Quality Standards Report

1. **Report Purpose** - Notifies Water Board staff that a violation of compliance with water quality standards has occurred.
2. **When to Submit** - The discharger shall report any event that causes a violation of water quality standards within four (4) days of the noncompliance event notification to Water Board staff.

3. **Report Contents** - The report shall include: the cause; the location shown on a map; and the period of the noncompliance including exact dates and times. If the noncompliance has not been corrected, include: the anticipated time it is expected to continue; the steps taken or planned to reduce, eliminate, and prevent reoccurrence of the noncompliance; and any monitoring results if required by Water Board staff.

Report Type 6 - In-Water Work and Diversions Water Quality Monitoring Report

1. **Report Purpose** - Notifies Water Board staff of the completion of in-water work.
2. **When to Submit** - Within ten (10) days following the completion of in-water work. Continue reporting in accordance with the approved water quality monitoring plan.
3. **Report Contents** - As required by the approved water quality monitoring plan.

Report Type 7 - Modifications to Project Report

1. **Report Purpose** - Notifies Water Board staff if project changes, as described in the application materials, affect compliance with the Certification or the NOA, including changes that result from subsequent permit conditions imposed by any local, state or federal regulatory authority.
2. **When to Submit** – In advance of implementing changes.
3. **Report Contents** - A description and location of any alterations to project implementation. Identification of any project modifications that will interfere with the discharger's compliance with the Certification or NOA.

Report Type 8 - Transfer of Property Ownership Report

1. **Report Purpose** - Notifies Water Board staff of change in ownership of the project or permittee-responsible mitigation area.
2. **When to Submit** - At least fourteen (14) days prior to the transfer of ownership.
3. **Report Contents** -
 - a. A statement that the discharger has provided the purchaser with a copy of the Certification and NOA, and that the purchaser understands and accepts:
 - ii. The Certification's requirements and the obligation to implement them or be subject to administrative and/or civil liability for failure to do so; and
 - iii. Responsibility for compliance with any long-term BMP maintenance plan requirements in the Certification .
 - iv. A statement that the discharger has informed the purchaser to submit a written request to the Water Board to be named as the discharger in a revised NOA.

Report Type 9 - Transfer of Long-Term BMP Maintenance Report

1. **Report Purpose** - Notifies Water Board staff of transfer of long-term BMP maintenance responsibility.
2. **When to Submit** - At least fourteen (14) days prior to the transfer of BMP maintenance responsibility.
3. **Report Contents** - A copy of the legal document transferring maintenance responsibility of post-construction BMPs.

Report and Notification Cover Sheet

Project: [Project Name]
Discharger: [Applicant]
WDID/File Number: [Assigned File Number]
Reg. Meas. ID: [Assigned Reg Measure ID]
Place ID: [Assigned Place ID]
NOA Issuance Date:² [NOA Issuance Date]

Report Type Submitted

A. Annual Reporting

Report Type 1 Annual Report

B. Project Status Notifications

Report Type 2 Commencement of Construction

Report Type 3 Request for Notice of Project Completion

C. Conditional Notifications and Reports

Report Type 4 Accidental Discharge of Hazardous Material Report

Report Type 5 Violation of Compliance with Water Quality Standards Report

Report Type 6 In-Water Work/Diversions Water Quality Monitoring Report

Report Type 7 Modifications to Project Report

Report Type 8 Transfer of Property Ownership Report

Report Type 9 Transfer of Long-Term BMP Maintenance Report

² The date the NOA was issued. If an NOA or Notice of Exclusion (NOE) was not issued for the project, the effective date is 45 days from the date the discharger submitted a complete Notice of Intent (NOI) to the Water Boards.

"I certify under penalty of law that I have personally examined and am familiar with the information submitted in this document and all attachments and that, based on my inquiry of those individuals immediately responsible for obtaining the information, I believe that the information is true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment."

Print Name¹

Affiliation and Job Title

Signature

Date

¹STATEMENT OF AUTHORIZATION (include if authorization has changed since application was submitted)

I hereby authorize _____ to act in my behalf as my representative in the submittal of this report, and to furnish upon request, supplemental information in support of this submittal.

Signature

Date

<p>*This Report and Notification Cover Sheet must be signed by a Duly Authorized Representative and included with all written submittals.</p>
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