

## ATTACHMENT VI — STANDARD PROVISIONS

1. **Duty to Comply.** The Department shall comply with all of the conditions of this Order. Any permit noncompliance constitutes a violation of the CWA and the Porter-Cologne Water Quality Control Act, which may be grounds for enforcement action or denial of permit coverage. [40 C.F.R. § 122.41(a)]

The Department shall comply with effluent standards or prohibitions established under Section 307(a) of the CWA for toxic pollutants within the time provided in the regulations that establish these standards or prohibitions, even if this Order has not yet been modified to incorporate the requirement. [40 C.F.R. § 122.41(a)(1)]

2. **Modification, Revocation and Reissuance, or Termination.** This Order may be modified, revoked and reissued, or terminated for cause. The filing of a request by the Department for a permit modification, revocation and reissuance, or termination, or a notification of planned changes or anticipated noncompliance does not stay any General Permit condition.
3. **Enforcement**
  - a. The provision contained in this enforcement section shall not act as a limitation on the statutory or regulatory authority of the State and Regional Water Board.
  - b. Any violation of the Order constitutes violation of the California Water Code and regulations adopted hereunder and the provisions of the Clean Water Act, and is the basis for enforcement action, permit termination, permit revocation and reissuance, denial of an application for permit reissuance; or a combination thereof.
  - c. The State and Regional Water Boards may impose administrative civil liability, may refer a discharger to the State Attorney General to seek civil monetary penalties, may seek injunctive relief or take other appropriate enforcement action as provided in the California Water Code or federal law.
  - d. All applications, reports, or information submitted to the State Water Board or Regional Water Boards shall be signed and certified. The Clean Water Act provides that any person who knowingly makes any false statement, representation, or certification in any record or other document submitted or required to be maintained under this Order including monitoring reports or reports of compliance or noncompliance shall, upon conviction, be punished by a fine of not more than \$10,000 per violation, or by imprisonment for not more than six months per violation, or by both. [40 C.F.R. § 122.41(k)]

4. **Need to Halt or Reduce Activity not a Defense.** It shall not be a defense for the Department in an enforcement action that it would have been necessary to halt or reduce the permitted activity in order to maintain compliance with the conditions of this Order. [40 C.F.R. § 122.41(c)]
5. **Duty to Mitigate.** The Department shall take all reasonable steps to minimize or prevent any discharge in violation of this Order that has a reasonable likelihood of adversely affecting human health or the environment. [40 C.F.R. § 122.41(d)]
6. **Proper Operation and Maintenance.** The Department at all times shall properly operate and maintain any facilities and systems of treatment and control (and related appurtenances) which are installed or used by the Department to achieve compliance with the conditions of this Order. Proper operation and maintenance also include adequate laboratory controls and appropriate quality assurance procedures. This provision requires the operation of backup or auxiliary facilities or similar systems installed by the Department only when necessary to achieve compliance with the conditions of this Order. [40 C.F.R. § 122.41(e)]
7. **Property Rights.** This Order does not convey any property rights of any sort, or any exclusive privileges, nor does it authorize any injury to private property or any invasion of personal rights, nor any infringement of federal, State, or local laws or regulations. [40 C.F.R. § 122.41(g)]
8. **Duty to Provide Information.** Within a reasonable time specified by the State Water Board, Regional Water Boards, or USEPA, the Department shall furnish records, reports, or information required to be kept by this Order, and shall furnish any information requested to determine whether cause exists for modifying, revoking, and reissuing, or terminating this Order or to determine compliance with this Order. [40 C.F.R. § 122.41(h)]
9. **Inspection and Entry.** [40 C.F.R. § 122.41(i)] Upon the presentation of credentials and other documents as may be required by law, the Department shall allow the State and Regional Water Boards, or USEPA to:
  - a. Enter upon the Department's premises where a regulated facility or activity is located or conducted or where records are required to be kept under the conditions of this Order;
  - b. Have access to and copy at reasonable times any records that must be kept under the conditions of this Order;
  - c. Inspect at reasonable times any facilities, equipment (including monitoring and control equipment), practices, or operations regulated or required under this Order; and

- d. Sample or monitor at reasonable times for the purposes of assuring ensuring permit compliance, or as otherwise authorized by the Clean Water Act.

**10. Monitoring and Records.** [40 C.F.R. § 122.41(j)]

- a. Samples and measurements taken for the purpose of monitoring shall be representative of the monitored activity.
- b. The Department shall retain records of all monitoring information for a period of at least 3 years from the date of the sample, measurement, report or application. This period may be extended by request of the State Water Board's Executive Director or Regional Water Board's Executive Officer at any time.
- c. Records of monitoring information shall include:
  - i. The date, exact place, and time of sampling or measurements;
  - ii. The individual(s) who performed the sampling or measurements;
  - iii. The date(s) analyses were performed;
  - iv. The individual(s) who performed the analyses;
  - v. The analytical techniques or methods used; and
  - vi. The results of such analyses.
- d. Monitoring must be conducted according to test procedures approved under 40 C.F.R. § 136 unless another method is required under 40 C.F.R. subchapters N or O.
- e. The Clean Water Act provides that any person who falsifies, tampers with, or knowingly renders inaccurate any monitoring device or method required to be maintained under this Order shall, upon conviction, be punished by a fine of not more than \$10,000, or by imprisonment for not more than two years, or both. If a conviction of a person is for a violation committed after a first conviction of such person under this paragraph, punishment is a fine of not more than \$20,000 per day of violation, or by imprisonment of not more than four years, or both.

**11. Signatory Requirements.** All reports, certifications, and records required by this Order or requested by the State Water Board and Regional Water Boards or USEPA shall be signed by either a principal executive officer or by a duly authorized representative. A person is a duly authorized representative only if [40 C.F.R. §§ 122.22 & 122.41(k)]:

- a. The authorization is made in writing by the principal executive officer; and
- b. The authorization specifies either an individual or a position having responsibility for the overall operation of the regulated facility or activity, such as the position of manager, operator, superintendent, or position of equivalent responsibility or an individual or position having overall responsibility for

environmental matters for the Department. (A duly authorized representative may thus be either a named individual or any individual occupying a named position.)

If an authorization is no longer accurate because a different individual or position has responsibility for the overall operation of the facility, the Department shall provide a new authorization prior to submittal of any reports, certifications, or records signed by the newly authorized representative.

12. **Certification.** Any person signing documents under Provision 11 above shall make the following certification [40 C.F.R. § 122.22(d)]:

*"I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to ensure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations."*

13. **Reporting Requirements.**

- a. *Planned changes.* The Department shall give advance notice to the State Water Board and the appropriate Regional Water Board of any planned physical alteration or additions to the permitted facility. Notice is required under this provision only when the alteration or addition could significantly change the nature or increase the quantity of pollutants discharged; [40 C.F.R. § 122.41(l)(1)]
- b. *Anticipated noncompliance.* The Department shall give advance notice to the appropriate Regional Water Board of any planned changes at the permitted facility or activity which may result in noncompliance with Permit requirements; [40 C.F.R. § 122.41(l)(2)]
- c. *Compliance Schedules.* Reports of compliance or noncompliance with, or any progress reports on, interim and final requirements contained in any compliance schedule of this Order shall be submitted no later than 14 days following each scheduled date; [40 C.F.R. § 122.41(l)(5)]
- d. *Other Information.* Where the Department becomes aware that it failed to submit any relevant facts, or submitted incorrect information in a permit application or in any required report, it shall promptly submit such facts or information [40 C.F.R. § 122.41(l)(8)].

- e. The Department shall submit, except for the Annual Report, one copy of each report required by the permit to the State Water Board. The Department shall also submit one copy to each of the appropriate Regional Water Boards. The Department may choose to submit its properly signed reports electronically in to SMARTS in the Portable Document Format (PDF) and submit hard copies only upon request of the State or Regional Water Board staff.

14. **Oil and Hazardous Substance Liability.** Nothing in this Order shall be construed to preclude the institution of any legal action or relieve the Department from any responsibilities, liabilities, or penalties to which the Department is or may be subject to under Section 311 of the CWA.
15. **Severability.** The provisions of this Order are severable; and if any provision of this Order or the application of any provision of this Order to any circumstance is held invalid, the application of such provision to other circumstances and the remainder of this Order shall not be affected thereby.
17. **Availability.** A copy of this Order shall be maintained at the facility and be available at all times to the appropriate facility personnel and to representatives of the Regional Water Boards, State Water Board, or USEPA.
18. **Education.** The Department shall ensure that all personnel whose decisions or activities could affect storm water quality are familiar with the requirements of this NPDES Permit.