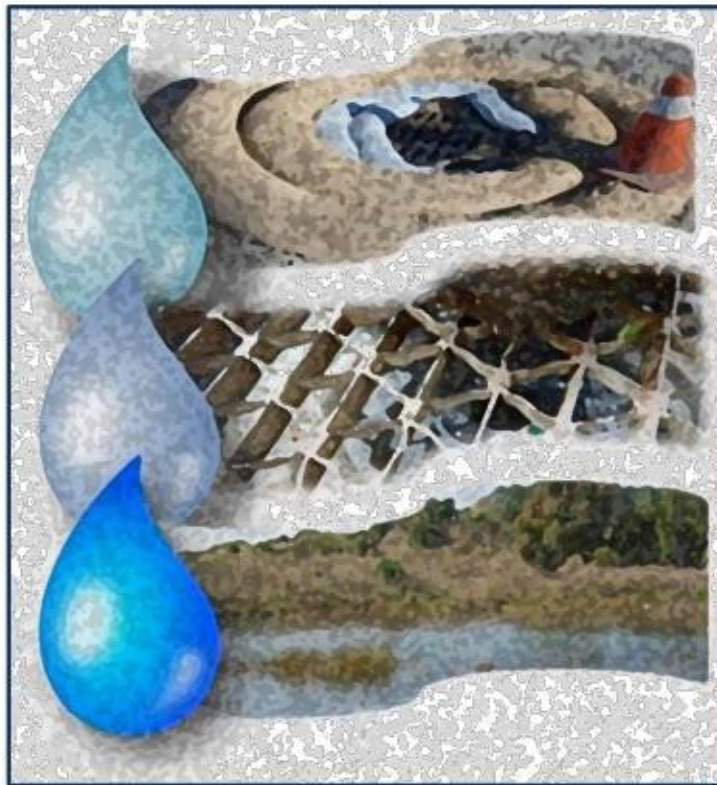


**STATEWIDE CONSTRUCTION STORMWATER  
GENERAL PERMIT**

**DISCHARGER'S GUIDE TO THE STORMWATER MULTIPLE  
APPLICATION AND REPORT TRACKING SYSTEM (SMARTS)  
DATABASE**

**ANNUAL REPORT**



**Last Revision December 2025**

**Construction Stormwater General Permit Annual Report:**

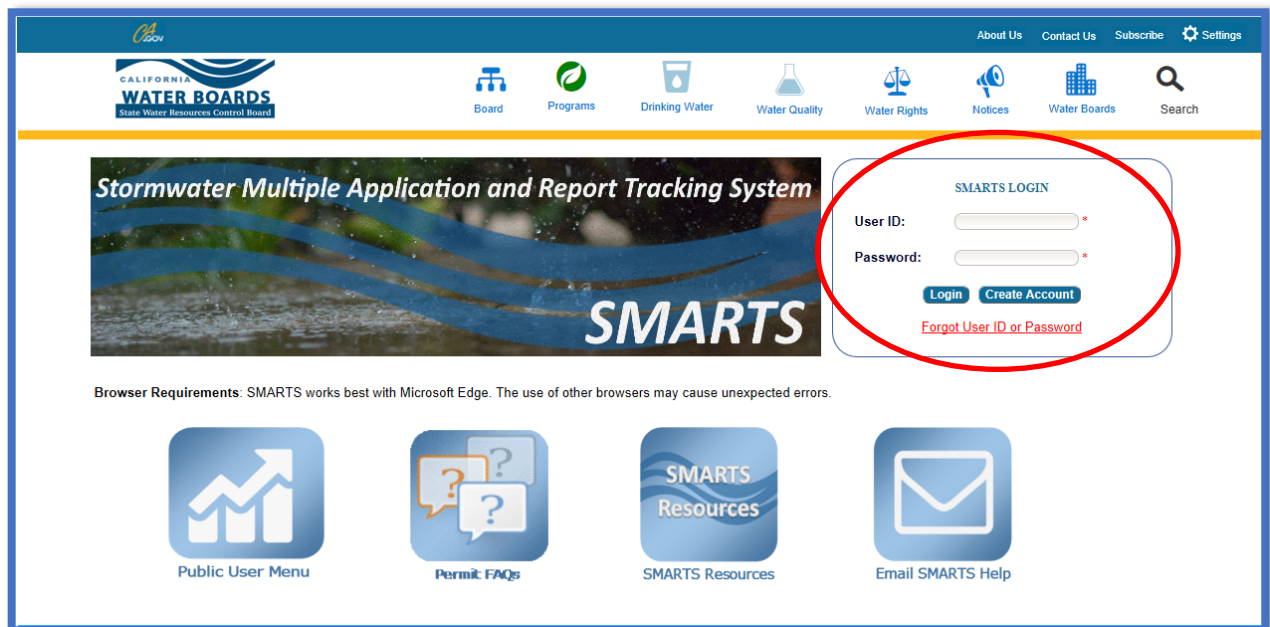
Construction stormwater dischargers with a Waste Discharge Identification (WDID) number active for at least three months in the reporting year (July 1<sup>st</sup> to June 30<sup>th</sup>) are required to submit an Annual Report no later than September 1<sup>st</sup> following each reporting year. The Annual Report is submitted via the Stormwater Multiple Application and Report Tracking System (SMARTS).

The Annual Report is a list of questions summarizing the site’s compliance with all applicable requirements of the Construction Stormwater General Permit. A Compliance Summary spreadsheet tracking inspection and monitoring information is a required attachment. The Annual Report may be filled out by any SMARTS user linked to the WDID number; however, only a Legally Responsible Person (LRP) or Duly Authorized Representative (DAR) with a valid eAuthorization form on file may certify the report.

The following steps guide the SMARTS user through submittal of an Annual Report:

1. Log into [SMARTS](https://smarts.waterboards.ca.gov) (<https://smarts.waterboards.ca.gov>)

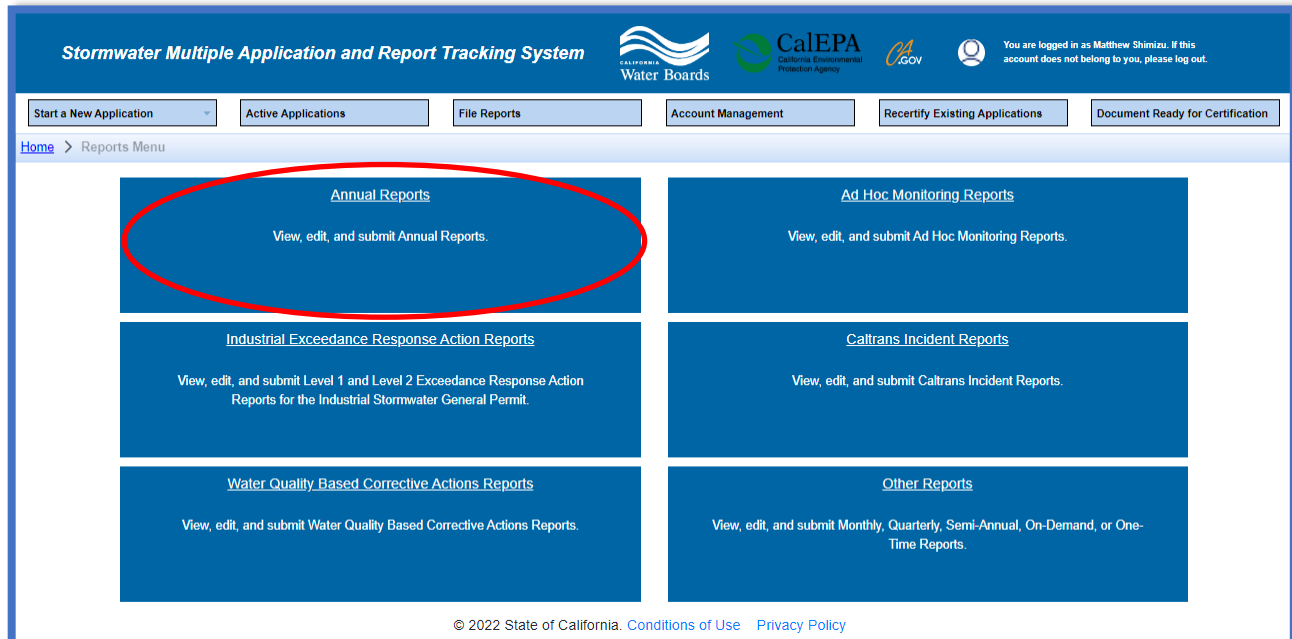
Browser Requirements: SMARTS best works with Google Chrome or Microsoft Edge. Use of other browsers may cause unexpected errors.



2. From the main menu, select “File Reports”.



3. Select “Annual Reports”.



- Select the applicable WDID number and click on the “Report Id” hyperlink to open the Annual Report. Users may query the specific WDID’s report by using the search boxes at the top of the table.

Stormwater Multiple Application and Report Tracking System

Water Boards | CalEPA | CA.gov

You are logged in as Matthew Shimizu. If this account does not belong to you, please log out.

Start a New Application | Active Applications | File Reports | Account Management | Recertify Existing Applications | Documents Ready for Certification

Home > Reports Menu > Annual Reports

View Submitted Reports

Annual Reports - Outstanding

| Report Id               | Reporting Period      | Report Status | Operator/Owner Name & Address                 | Facility/Site Name & Address                                                                                       | WDID/App ID           | Permit Type  | Report Required |
|-------------------------|-----------------------|---------------|-----------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-----------------------|--------------|-----------------|
| <a href="#">1204981</a> | 07/01/2022-06/30/2023 | Not Submitted | East Bay Municipal Utility District<br>CONSTW | Briones Soil Stockpile Site<br>Along Oursen Trail Adj Briones Orinda CA 94563                                      | 2 07C320440<br>181554 | Construction | Y               |
| <a href="#">1208957</a> | 07/01/2022-06/30/2023 | Not Submitted | Intuitive                                     | ISI HQ South Building<br>932 and 950 Kifer Road Sunnyvale CA 94086                                                 | 2 43C385345<br>542236 | Construction | Y               |
| <a href="#">1254648</a> | 07/01/2023-06/30/2024 | Not Submitted | Matthevs Test Org                             | Test Linear NOI 1<br>1 Fake Street Los Angeles CA 90001                                                            | 4 19C401808<br>565331 | Construction | Y               |
| <a href="#">1254656</a> | 07/01/2023-06/30/2024 | Not Submitted | Matthevs Test Org                             | Test Traditional NOI 3<br>100 I Street Los Angeles CA 90001                                                        | 4 19C401811<br>565356 | Construction | Y               |
| <a href="#">1254659</a> | 07/01/2023-06/30/2024 | Not Submitted | Matthevs Test Org                             | Test Linear NOI 4<br>25 Matthew Street Los Angeles CA 90001                                                        | 4 19C401812<br>565356 | Construction | Y               |
| <a href="#">1258005</a> | 07/01/2023-06/30/2024 | Not Submitted | Matthevs Test Org                             | Test Traditional NOI 6<br>123 Matthew Street Los Angeles CA 90001                                                  | 4 19C401815<br>565375 | Construction | Y               |
| <a href="#">813745</a>  | 07/01/2015-06/30/2016 | Past Due      | Tri Pointe Homes IE SD Inc                    | Cal Terraces Seaview PDS<br>Old Otay Mesa Road San Diego CA 92154                                                  | 9 37C368344<br>442825 | Construction | N               |
| <a href="#">837722</a>  | 07/01/2014-06/30/2015 | Past Due      | Tri Pointe Homes IE SD Inc                    | Pacific Highlands Ranch Unit 22C<br>NE Corner Rancho Santa Fe Farms Road and Carmel Valley Road San Diego CA 92130 | 9 37C372895<br>454747 | Construction | N               |
| <a href="#">973220</a>  | 07/01/2017-06/30/2018 | Past Due      | Tri Pointe Homes IE SD Inc                    | California Terraces PA 61<br>East of Caliente Avenue North of 905 South of Otay Mesa Road San Diego CA 92154       | 9 37C373248<br>455337 | Construction | N               |
| <a href="#">1238905</a> | 07/01/2023-06/30/2024 | Future        | Tri Pointe Homes IE SD Inc                    | Paseo Montril<br>Paseo Montril San Diego CA 92129                                                                  | 9 37C401126<br>562843 | Construction | N               |

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5. General Information

Start the Annual Report by answering the question: “Was construction active for three months or longer within this annual reporting period?”

- If “Yes”, continue to complete the remaining report questions:
- If “No”, enter an explanation and submit the annual report by clicking “Save and Certify”<sup>1</sup>:

Stormwater Multiple Application and Report Tracking System

Water Boards | CalEPA | CA.gov

You are logged in as Matthew Shimizu. If this account does not belong to you, please log out.

Start a New Application | Active Applications | File Reports | Account Management | Recertify Existing Applications | Documents Ready for Certification

Back To Permit > General Information

Site Name: Test | Owner: Test Owner Co | WDID: 5S34C402688 | Report Period: 2023-24

Report Status: Not Submitted | Risk: Level2

**General Information** Was construction active for three months or longer within this annual reporting period? **No**

If NO, Must Enter Explanation Below: \*

Inspections, Sampling, Violations, and Corrective Actions

Ad Hoc Reports

Attachments

Save & Certify

<sup>1</sup> **NOTE:** All questions and fields with a red asterisk are required and must be answered to continue.

6. Inspections, Sampling, Violations, and Corrective Actions

Start by answering all of the questions in the drop-down lists for each section and obtain the Annual Report Compliance Summary document<sup>2</sup> by clicking the “Download” button at the top of the screen. Data from the submitted ad hoc reports within the reporting period is displayed on this tab.

**Note:** The “Compliance Summary” document can be uploaded on either this tab or the “Attachments” tab. Once uploaded, the document is displayed on both tabs.

Please use the provided Compliance Summary, or other documentation, throughout the reporting year to submit the required annual report information.

**Download**

**Visual Inspection Exceptions**

Were there any visual inspection exceptions? \*

Please enter the required information for the 'Visual Inspection Exceptions' section within the Compliance Summary.

**Sampling**

Sampling data is obtained from submitted ad hoc reports within the reporting period.

| Drainage Area | Monitoring Location | Sample Date/Time                   | TMDL Impaired Waterbody/Watershed | Parameter                             | Result | Units | Analytical Method | Method Detection Limit (MDL) | Analyzed By | Qualified SWPPP Practitioner |
|---------------|---------------------|------------------------------------|-----------------------------------|---------------------------------------|--------|-------|-------------------|------------------------------|-------------|------------------------------|
| Test          | Test                | 2024-08-14 08:00:00.0 - 08:00:00.0 | Ballona Estuary                   | Dieldrin                              | = 1    | ug/L  |                   | 0.1                          | LAB         | test                         |
| Test          | Test                | 2024-08-14 08:00:00.0 - 08:00:00.0 | Ballona Estuary                   | Nitrogen, Total (as N)                | = 1    | mg/L  | E360.1            | 0.1                          | SELF        | test                         |
| Test          | Test                | 2024-08-14 08:00:00.0 - 08:00:00.0 | Ballona Estuary                   | Phosphorus, Total (as P)              | = 1    | mg/L  | E365.1            | 0.1                          | SELF        | test                         |
| Test          | Test                | 2024-08-14 08:00:00.0 - 08:00:00.0 | Ballona Estuary                   | pH                                    | = 2    | SU    | pH_Paper          | 0.1                          | LAB         | test                         |
| Test          | Test                | 2024-08-14 08:00:00.0 - 08:00:00.0 | Ballona Estuary                   | Polychlorinated Biphenyls (PCBs), Sum | = 1    | mg/L  | DU                | 0.1                          | SELF        | test                         |
| Test          | Test                | 2024-08-14 08:00:00.0 - 08:00:00.0 | Ballona Estuary                   | Turbidity                             | = 54   | NTU   | E180.1            | 0.1                          | LAB         | test                         |

Were there any sampling exceptions? \*

**Violations**

Were there any General Permit violations? \*

**Attachments**

Please click on the "Upload Attachment" button to upload the corresponding files.

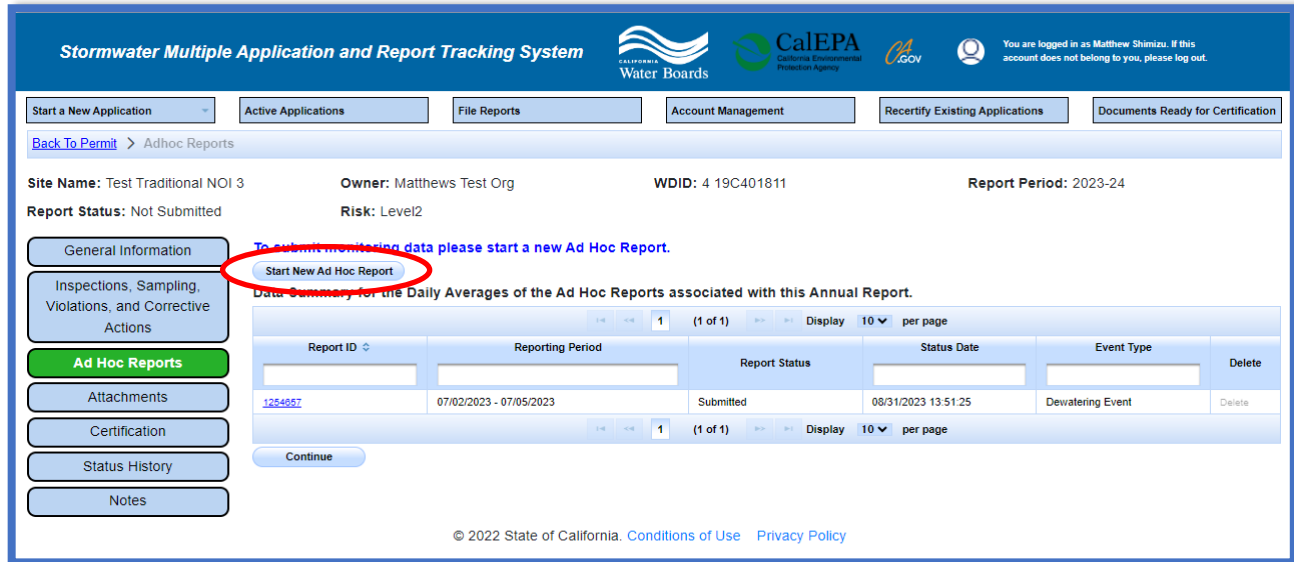
Attached files: The following are the current documents related to the SWARM Report (General Info). Click on the Attachment ID to view them

| Attachment ID     | File Type | File Title | File Description | Document Date | Part No. | Date Attached | Upload By | Delete |
|-------------------|-----------|------------|------------------|---------------|----------|---------------|-----------|--------|
| No records found. |           |            |                  |               |          |               |           |        |

<sup>2</sup> **NOTE:** The Annual Report Compliance Summary document is a spreadsheet to document data throughout the reporting year. Users may use the provided Compliance Summary document or a similar document per Order, Section IV.P.3.

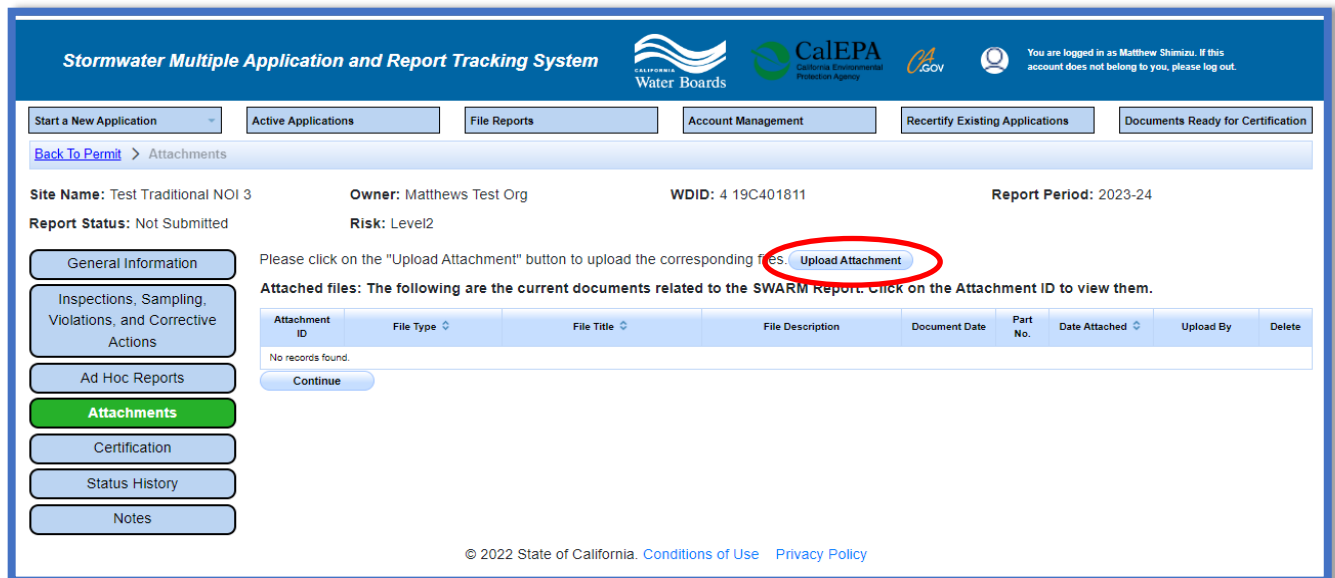
### 7. Ad Hoc Reports

This tab allows users to create new Ad Hoc Reports or view ad hoc reports previously started and their statuses<sup>3</sup>. Users can create new Ad Hoc Reports by selecting the “Start New Ad Hoc Report” button.



### 8. Attachments

This tab allows users to upload additional documents or other relevant data. If no additional attachments are required, move to the “Certification” tab by selecting the “Continue” button. To upload documents, select the “Upload Attachment” button.



<sup>3</sup> **NOTE:** Only ad hoc reports within the same reporting period are displayed.

- **Attachment File Type:** Select “SWPPP”, “Facility/Site Map”, or other file types corresponding to the required documents. Additional files such as “Supporting Documentation” may be attached as applicable.
- **Attachment Title:** Enter the title of the document.
- **Choose File:** Locate the file on the computer and select it.
- **Upload Files:** Select “Upload Files” when all attachments are ready to be uploaded.

**Note:** The maximum file size is 100MB and the file name cannot be longer than 30 characters and does not contain any special characters or symbols.

- Once the documents are uploaded correctly, select “Continue”.

## 9. Certification

This tab provides a completion check for the Annual Report to verify the mandatory questions are answered.

Any user may perform this check, but if a Data Entry Person (DEP) performs the check and the report is complete, the system provides a “Notify LRP/DAR” button. Pressing the button notifies the LRP or DAR that the report is completed and requires their certification. This also updates the report’s status to “Not Submitted-certification required”.

### LRP or DAR Certification

There are two options for the LRP or DAR to submit the Annual Report:

- a. If the DEP has properly sent the Annual Report for certification to the LRP or DAR and set the report status to “Not Submitted – certification required”, the LRP or DAR may access the “Documents Ready for Certification” SMARTS menu option. Here the LRP or DAR can see all items marked for their certification.
  - Select the report to certify by checking the box next to the Report ID.
  - Check the box next to the certification statement, answer the security questions, and enter the user account password.
  - Click “Certify Selected” to submit the report.

| Select                   | Report Id | Reporting Period      | WDID        | Permit Type  | Operator/Owner Name & Address | Facility/Site Name & Address                              | Annual Report PDF          |
|--------------------------|-----------|-----------------------|-------------|--------------|-------------------------------|-----------------------------------------------------------|----------------------------|
| <input type="checkbox"/> | 1288142   | 07/01/2023-06/30/2024 | 5S34C373653 | Construction | Test                          | Boo Boo<br>11020 Sun Center Drive Rancho Cordova CA 95670 | <a href="#">Annual PDF</a> |

**Certification & Submission Checklist**  
You can now certify the above documents by completing the form below:

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system or those persons directly responsible for gathering the information, to the best of my knowledge and belief, the information submitted is, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations. I am also aware that my user ID and password constitute my electronic signature and any information I indicate I am electronically certifying contains my signature. I understand that my electronic signature is the legal equivalent of my handwritten signature. My signature on this form certifies that my electronic signature is for my own use, that I will keep it confidential, and that I will not delegate or share it with any other person. Should I wish to delegate such authority, I will do so formally in writing and electronically notify the State Water Board using SMARTS of such delegation within 10 days of the delegation. I further certify that I will protect my electronic signature from unauthorized use, and that I will contact the State Water Board, within two business days of discovery, if I suspect that my electronic signature has been lost, stolen, or otherwise compromised.

**Certified By**  
Certifier Name: Matthew Shimizu  
Certifier Title: Scientific Aid  
Date: 02/02/2024

Please answer your security question before certifying the document.  
What was your High School Mascot?   
Enter your password:

**Note:** If a checkbox is not available under the “Select” column, then the LRP or DAR does not have their signed Electronic Authorization Form on file. Once the signed form is on file, the LRP or DAR may select that checkbox.

- b. If the report is not listed in the “Documents Ready for Certification” section, the LRP or DAR may access the report directly.
  - Log in and go to “Reports” in the main menu.
  - Go to the Annual Reports.
  - Locate the applicable WDID number.
  - Click on the “Certification” tab and perform the completion check.
  - After reading the certification statement check the box, answer the security questions, and enter the user account password.

- “Certify Selected” to submit the report.

The screenshot shows the 'Certification' page for a report. At the top, there are navigation tabs: 'Start a New Application', 'Active Applications', 'File Reports', 'Account Management', 'Recertify Existing Applications', and 'Documents Ready for Certification'. The page header includes logos for 'Water Boards', 'CalEPA', and 'CA.GOV', along with a user login notice: 'You are logged in as Matthew Shimizu. If this account does not belong to you, please log out.'

Report details: Site Name: Test Traditional NOI 3, Owner: Matthews Test Org, WIDID: 4 19C401811, Report Period: 2023-24. Report Status: Not Submitted, Risk: Level2.

Navigation menu on the left includes: General Information, Inspections, Sampling, Violations, and Corrective Actions, Ad Hoc Reports, Attachments, Certification (highlighted in green), Status History, and Notes.

Completion/Error Check Completed: Report appears to be complete! Please take a moment to review and print (if necessary). Review & Print Annual Report

Report Certification: You can now certify this Report by completing the form below.

Select Certification & Submission check list

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is to the best of my knowledge and belief true, accurate and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

| Certifier Details |                 |       |            |
|-------------------|-----------------|-------|------------|
| Certifier Name:   | Matthew Shimizu | Date: | 10/04/2023 |
| Certifier Title:  | Scientific Aid  |       |            |

Please answer your security question before certifying the document.

What city were you born?

Please enter your password

On Clicking the Certify Later button, the status of the document is updated to Not Submitted - certification required. You can later certify it in bulk by going to Not Submitted - certification required Documents in Pending Documents link in the Main Menu.

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A confirmation screen displays verifying submission of the Annual Report in SMARTS. Users may download a copy for personal records by selecting the “Download Copy of Record” button. The “Copy of Record” is a zip file that contains information pertaining to the submitted Annual Report. It contains a PDF summary of the submitted report and any uploaded attachments.

The screenshot shows the 'Confirmation' page for a report. At the top, there are navigation tabs: 'Start a New Application', 'Active Applications', 'File Reports', 'Account Management', 'Recertify Existing Applications', and 'Documents Ready for Certification'. The page header includes logos for 'Water Boards', 'CalEPA', and 'CA.GOV', along with a user login notice: 'You are logged in as Matthew Shimizu. If this account does not belong to you, please log out.'

Report details: Site Name: Test Traditional NOI 1, Owner: Test Org, WIDID: 4 19C402302, Report Period: 2023-24. Report Status: Submitted, Risk: Level2.

Navigation menu on the left includes: General Information, Inspections, Sampling, Violations, and Corrective Actions, Ad Hoc Reports, Attachments, Certification (highlighted in green), Status History, and Notes.

Completion/Error Check Completed: Report appears to be complete! Please take a moment to review and print (if necessary). Review & Print Annual Report

Your electronic event Report has been successfully received by the State Water Resources Control Board's database and is hereby certified. Your confirmation information for this certification is as follows:

|                  |                 |
|------------------|-----------------|
| WIDID            | 4 19C402302     |
| Report Period    | 2023-24         |
| Certifier Name   | Matthew Shimizu |
| Date Certified   | 10/10/2023      |
| Certification ID | 1267204         |

Please print out this screen as proof of certification. You will not be allowed to make any further changes to the certified report. If you need to correct any information you must contact your Regional Board representative.

All records must be retained for 5 years from the date of the report or monitoring activity.

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To make edits to a submitted annual report, the LRP or DAR may remand the report. Select the “Remand” link to change the report status to “Not Submitted”. After the corrections are made, resubmit the report.

**Note:** The remand link is only available to LRPs or DAR when the report is within the current reporting year or prior to the report due date (September 1<sup>st</sup>). If an annual report is to be remanded for a previous reporting year, reach out to the applicable Regional Board for assistance.

The screenshot displays the 'Stormwater Multiple Application and Report Tracking System' interface. At the top, there are navigation buttons: 'Start a New Application', 'Active Applications', 'File Reports', 'Account Management', 'Recertify Existing Applications', and 'Documents Ready for Certification'. Below these is a breadcrumb trail: 'Home > Reports Menu > Annual Reports'. The main section is titled 'View Outstanding Reports' and 'Annual Reports - Submitted'. A table lists various reports with columns for Report ID, Reporting Period, Report Status, Operator/Owner Name & Address, Facility/Site Name & Address, WQID/App ID, Permit Type, Required?, and Remand. The 'Remand' column for report ID 1354418 contains a red circle around the word 'Remand', indicating a clickable link.

| Report ID | Reporting Period      | Report Status | Operator/Owner Name & Address                    | Facility/Site Name & Address                                                                                                    | WQID/App ID           | Permit Type           | Required? | Remand |
|-----------|-----------------------|---------------|--------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|-----------------------|-----------------------|-----------|--------|
| 133264    | 07/01/2011-06/30/2012 | Submitted     | Test Owner Co<br>3737 Main St Riverside CA 92501 | Test<br>1001 I St Sac Sacramento CA 95615                                                                                       | 5534C363209<br>416217 | Construction          | No        |        |
| 1274419   | 07/01/2023-06/30/2024 | Submitted     | Test Org<br>1001 I Street Sacramento CA 95814    | Test Traditional NOI to verify an issue with the COI due to exceeding character limit 12345<br>777 I Street Sacramento CA 95814 | 5534C403037<br>568247 | Construction          | No        |        |
| 1208478   | 07/01/2023-06/30/2024 | Submitted     | Test Owner Co<br>3737 Main St Riverside CA 92501 | Test<br>123 F Street Sacramento CA 95814                                                                                        | 5534C402688<br>568572 | Construction          | Yes       |        |
| 1354418   | 07/01/2025-06/30/2026 | Submitted     | Test<br>555 I Street Sacramento CA 95820         | Test Linear NOI<br>25 Matthew Street Sacramento CA 90001                                                                        | 5534C403458<br>570696 | Caltrans Construction | Yes       | Remand |
| 1282815   | 07/01/2023-06/30/2024 | Submitted     | Test<br>555 I Street Sacramento CA 95820         | Test Linear NOI<br>25 Matthew Street Sacramento CA 90001                                                                        | 5534C403458<br>570696 | Caltrans Construction | Yes       |        |
| 1313137   | 07/01/2024-06/30/2025 | Submitted     | Test<br>555 I Street Sacramento CA 95820         | Test Linear NOI<br>25 Matthew Street Sacramento CA 90001                                                                        | 5534C403458<br>570696 | Caltrans Construction | Yes       |        |
| 1270912   | 07/01/2023-06/30/2024 | Submitted     | Test Org<br>1001 I Street Sacramento CA 95814    | Test Linear NOI 1<br>1 Fake Street San Diego CA 90001                                                                           | 9 37C403249<br>565724 | Construction          | No        |        |
| 1302002   | 07/01/2024-06/30/2025 | Submitted     | Test Org<br>1001 I Street Sacramento CA 95814    | Test Traditional NOI 4<br>123 Test Street San Diego CA 90001                                                                    | 3 44C404862<br>507480 | Construction          | Yes       |        |
| 1289500   | 07/01/2023-06/30/2024 | Submitted     | Test Owner Co<br>3737 Main St Riverside CA 92501 | Test Linear NOI 1<br>123 Fake Street San Diego CA 90001                                                                         | 9 37C402891<br>568555 | Construction          | Yes       |        |
| 921838    | 07/01/2010-06/30/2011 | Submitted     | Test Owner Co<br>3737 Main St Riverside CA 92501 | Test Record Proposed Elementary School<br>2200 W Arlesia Blvd Huntington Beach CA 92223                                         | 5F15C360043<br>408828 | Construction          | No        |        |