DISCHARGER'S GUIDE TO THE STORM WATER MULTIPLE APPLICATION AND REPORT TRACKING SYSTEM (SMARTS) DATABASE

REPLACING A LEGALLY RESPONSIBLE PERSON





Last Revised: February 2024

SMARTS Replacing an LRP (organization)

If the LRP for an organization is no longer available, follow the steps below to replace the LRP.

Note: The Stormwater General Permits do not allow a transfer of permit coverage to a new owner/operator. If the change of LRP is due to a change in the owner/operator, file a Notice of Termination and the new entity is required to file for new permit coverage.

- Contact the Stormwater Help Desk (<u>stormwater@waterboards.ca.gov</u>) to request instructions to transfer the LRP. In the request provide the name of the previous LRP or applicable application or WDID numbers, and the reason for the change. The Stormwater Help Desk will respond with instructions and a Secret Code Number used to link the new LRP's user account to the existing records.
- Create a new LRP user account Go to the <u>SMARTS website</u> (https://smarts.waterboards.ca.gov) and select "Create Account"

Note: If a user account was previously created, do not create a new user account and instead log in. Contact the Stormwater Help Desk to update the account role to an LRP if previously registered as a Duly Authorized Representative or Data Entry Person. Skip to step 9

Browser Requirements: SMARTS best works with Google Chrome or Microsoft Edge. Use of other browsers may cause unexpected errors.



 Select the "Discharger, Operator, Legally Responsible Person (LRP), or Principal Signatory per 40 CFR 122.22" User Account type and select "Continue to Next Step".



4. Select the option "I am replacing a LRP for an existing organization or business..." and click "Continue to Next Step".

5. Enter the User Account Details **Note**: The User ID is case and space sensitive.

Water Boards Storm Water Multiple Application & Report Tracking System						
NEW USER REGISTRATION Step 2/3: User Account Details						
: Enter User Account Details						
The next step in the registration process the e-mail address is valid and accurate	is to provide your user account details. The User ID along with a Password will be sent to the e-mail address entered below. You will need this User ID and Password to log into SMARTS. Please verify that					
User Account Details: (An * indicate	s a required field)					
First Name:						
Middle Name:						
Last Name:						
Title:	•					
Email:	* (abc@xyz.com/abc@xyz.net)					
Re-enter Email:	* (abc@xyz.com/abc@xyz.net)					
Work Phone:	* Ext (099-999-999)					
Mobile Phone:	(999-999-9999) - Use this number to receive the text password reset.					
User ID: Check for Availability (Enter user ID between 7 - 16 characters. Use the button to verify if the User ID is already taken.) Password: Outform will generate the password and send you via email.						
Back to Previous Kiep Continue to Next Step						

6. Select the Security Questions and Answers

Select and answer five security questions. This information is used to identify the user when electornically certifying application or reports in SMARTS.

Note: The Personal Identification Code, is a unique set of numbers or word selected by the user.

		NEW USER REGISTRATION Step 2/3: User Account Details
Legally Responsible Per	son: Enter User Account Details	
The next step in the registration p	rocess is to provide your user account security deta	ails. Please choose the security questions, the respe
Identification Verification Sec	urity Questions:	
Please select and answer the In	dentification Verification Security questions below.	These questions will be asked in the event you miss
Security question:	[Select a Question]	
Answer:		
Security question:	[Select a Question]	•
Answer:		•
Security question:	[Select a Question]	
Answer.		•
Security question:	[Select a Question]	
Answer:		
Security question:	[Select a Question]	•
Answer:		
Personal Identification Code:		1-
Security question: Answer: Personal identification Code: The Personal identification Code Boards regarding your SMARTS Demuse the comb	[Select a Question]	 the user when contacting the Water retrieved. If a user forgets their PIC, a

7. Certify and Complete the Registration

Enter the letters from the security image. Check the boxes the certification statemetns were read, and click "Continue to Complete Registration".



8. Acknowledgement screen. Once registraton is complete, an email with a temporary password is emailed. Log in with the temporary password and set a new password. The temporary password is valid for 72 hours.

Water Boards Storm Water Multiple Application & Report Tracking System						
NEW USER REGISTRATION Step 3/3: Acknowledgement						
Acknowledgement						
This completes your user registration process: Your user account has been successfully created in SMARTS.						
Your User ID, Password, and instructions for logging into SMARTS have been sent to you via e-mail. Please check your e-mail account and log into SMARTS using the User ID and Password provided. If the e-mail is not received within a reasonable amount of time, please contact the Storm Water Heip Desk at the phone number provided below.						
To log into SMARTS, enter the following address into your browser or click on the link: https://smarts.waterboards.ca.gov/						
If you have a WDID, and Water Board sent Secret Code Number, please link those WDIDs to your user account after logging into SMARTS. If you have a WDID but have not received a SCN, please contact the SMARTS Help Desk at 1-866-563-3107. You must have a SCN to link a WDID to your account.						
The e-mail includes instructions on how to link existing WDIDs and Data Entry Person (DEP) user accounts to your account. Until a DS account is linked to a LRP account, the DS cannot enter data into SMARTS on behalf of that LRP.						
If you have any questions or for further assistance, please contact SMARTS Help Desk at: 1-866-563-3107 Monday thru Friday 8:00AM - 5:00PM, or email stormwater@waterboards.ca.gov.						
Back to Registration/Login Screen						
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9. Log into SMARTS and select "Account Management".

Note: The new LRP must have the Organization ID and Secret Code Number from Step 1 above. Contact the Stormwater Help Desk (<u>stormwater@waterboards.ca.gov</u>) to obtain the Organization ID and Secret Code Number.

Stormwater Multiple Application and Report Tracking System $V_{\text{Water Boards}}$								
Start a New Application V Active Applications File Reports	Account Management Recertify Existing Applications Document Ready for Certification							
Pending Applications View and continue applications that are in progress or have been returned.	Submitted Applications Manage active and terminated applications processed by the State Water Board.							
	(Submit a Change of Information, amend a Stormwater Pollution Prevention Plan, convert to a No Exposure Certification, view inspections and reports, etc.)							
Documents Ready for Certification	File Reports							
For the Legally Responsible Person and Duly Authorized Representative(s): View, certify, and submit documents to the State Water Board.	View previously submitted reports and submit new reports to ensure permit compliance.							
<u>Account Management</u> Perform administrative tasks associated with your account. (Update organization info, manage Legally Responsible Person, manage linked users, manage Compliance Groups, view outstanding invoices, self-certify as a QSD, etc.)	Recertify Existing Application Recertify an annual No Exposure Certification or coverage under a reissued General Permit.							
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10. Select "Replace LRP". Stormwater Multiple Application and Report Tracking System

 Stormwater Multiple Application and Report Tracking System
 Image: Comparison of the comparison o

Start a New Application	File Reports	Account Management	Recertify Existing Applications	Document Ready for Certification				
Home > Account Management								
Replace LRP Manage Linked Users Replace a Legally Responsible Person for an Organization. Must have the Secret Code Number issued by the Stormwater Help Desk to complete the process. Add, Edit, or Remove users associated with your Organization and/or Application records.								
View Outstanding Invoi	<u>ces</u>		CBPELSG QSD/QSP					
List of Outstanding Invoices for applications link	ed to your user account.	Professional civil engineer through the California Boa Geologists self-certify as	ists licensed urveyors and ied SWPPP					
Compliance Groups		Update	Organization Name/Address					
Create, Edit, and Manage Compliance Groups fo General Permit	r the Stormwater Industrial	Request to cha	nge the Organization Name or Addres	s.				
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11. Select "Replace the Legally Responsible Person for an Organization and all associated applications".

Stormwater Multiple Application and Report Tracking System	ter Boards CalEPA Caterra Externa Ext	CCV Q You are logged in as Matthew Shimizu. If this account does not belong to you, please log out.					
Start a New Application	Account Management	Recertify Existing Applications Documents Ready for Certification					
Home > Account Management > Replace LRP							
Replace the Legally Responsible Person for an Organization and all associated applications:	>						
<u>Claim an Individual Nonfiler Application as the Legally Responsible Person:</u>							
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12. Enter the Organization ID and Secret Code Number (SCN), then select "Next".

Stormwater Multiple	Application and Report	Tracking System	Water Boards	CallEPA California Environmental Protection Agency	Caov Q	You are logged i account does no	in as Matthew Shimizu. If this ot belong to you, please log out.
Start a New Application	Active Applications	File Reports	Account	Management	Recertify Existing A	pplications	Documents Ready for Certification
Home > Account Management >	Replace LRP > Recertify Organ	nization					
To claim responsibility of your Deconcention - Reviness please enter the Organization ID and Secret Code Number (SCN) obtained from the State Water Resource Control Board. Organization ID:							
If you have any questions or for further assistance, please call State Water Board Staff at: 1-866-563-3107 Monday thru Friday 8:004M - 5:00PM, or email stormwater@waterboards.ca.gov.							
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13. Select the LRP to replace, mark box the certification statement was read, and complete by selecting "Recertify".

Note: When replacing an LRP, the new LRP must claim all applications or WDID numbers under the organization. Individual Application or WDID numbers cannot be claimed.

Stormwater Multiple Application and Report Tracking System Water Boards Calebra Covernment Covernment Water Boards Voter Apres								
Start a New Application	Active Applie	cations File Reports		Account Managemen	t Recertify Existin	g Applications	Documents Ready for Certification	
Home > Account Managemen	t > <u>Replace LR</u>	Recertify Organization						
To claim responsibility of your Orga	nization or Busines	ss please enter the Organization ID and Sec	ret Code Number (SCN)	obtained from the State	Nater Resource Control Board.			
Organization ID: 649344		*						
Organization SCN: OSQH57	/832	*						
Next								
Below is a list of LRP(s) currently li	nked to the organiz	ation. Select which LRP you are replacing.	The selected LRP will be	e de-linked from organiza	tion and the applications listed below			
rect rect	First Name	Last Name						
Pelan is a list of WDID/Application	ID numbers current	tly linked to the organization.						
Application ID		WDID	Sta	atus	Organization Name & Addre	88	Facility Name & Address	
491246			Not Submitted		Test Account 2745 Subec Lane Soquel CA 95073	Test Accou 2745 Sube Santa Cruz	unt ec Lane z CA 95073	
Printipy under penalty of perjury the above Organization and associated records is/are my responsibility. I understand that I am legally bound, obligated, and responsible to comply with the requirements of the applicable Stormwater Permits. Recently Note message by red asterisk (*) are mandatory/required fields If you have any questions or for further assistance, please call State Water Board Statef at: 1-866-563-3107 Monday thru Friday 8:00AM - 5:00PM, or email stormwater@waterboards.ca.gov. @ 2022 State of California. Conditions of Lise. Privacy Policy								
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14. A conformation statement diplays (green text) verifying the new LRP is now linked to the existing organization and applicable application and WDID numbers.

To complete the process, click button to print the Electornic Authorization form for the organization. This form is required to be printed, signed with a wet ink signature and mailed to the address on the form. Upon receipt of the Electornic Authorization Form, the LRPs account is updated to allow online certifications.

Stormwater Multiple Application and Report T	racking System Water Boa	rds CalEPA Calorna Environmental Protection Agency	Ocov Q	You are logged in as account does not be	s Matthew Shimizu. If this elong to you, please log out.
Start a New Application	File Reports Acc	ount Management	Recertify Existing App	lications	Documents Ready for Certification
Home > Account Management > Replace LRP > Recertify Organiza	ation				
You successfully linked the organization and the associated records to your Print E Authorization Form Fields proceeded by the asterisk (*) are mandatory/required fields	user account. To complete the process, pr	int the eAuthorization Form, sign	it, and mail the origina	al with "wet" sign	nature to the Water Boards.
f you have any questions or for further assistance, please call State Water Board Staff at	1-866-563-3107 Monday thru Friday 8:00AM - 5:	00PM, or email stormwater@waterboa	rds.ca.gov.		
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