STATEWIDE INDUSTRIAL GENERAL PERMIT

DISCHARGER'S GUIDE TO THE STORM WATER MULTIPLE APPLICATION AND REPORT TRACKING SYSTEM (SMARTS) DATABASE

NO EXPOSURE CERTIFICATION (NEC)





Last Revised: February 2024

Statewide Storm Water Industrial General Permit (IGP) –No Exposure Certification:

<u>The Industrial General Permit (2014-0057-DWQ as Amended in 2015 and 2018)</u> (https://www.waterboards.ca.gov/water_issues/programs/stormwater/igp_20140057dwq.ht ml) regulates stormwater discharges associated with industrial activities.

Any Discharger operating a facility described in Attachment A

(https://www.waterboards.ca.gov/water_issues/programs/stormwater/docs/industrial/2014i ndgenpermit/atta.pdf) may file a No Exposure Certification (NEC) if the facility meets the condition of "No Exposure". A Discharger must electronically certify and submit No Exposure Certification Permit Registration Documents (PRDs) via the State Water Resources Control Board's (State Water Board's) Storm Water Multiple Application and Report Tracking System (SMARTS).

The PRDs required for submittal through SMARTS are a certified NEC, a Facility/Site Map, an application fee, and an Electronic Authorization (e-Authorization) Form. The e-Authorization form must be signed with an original wet ink signature, by the Legally Responsible Person (LRP) and mailed to one of the addresses listed on the form.

The Discharger must evaluate eleven major areas where stormwater exposure may occur, per the Industrial General Permit listing in <u>Appendix 2</u> (https://www.waterboards.ca.gov/water_issues/programs/stormwater/docs/industrial/201 4indgenpermit/app2.pdf). If the Discharger cannot certify all eleven major areas do not have exposure, the facility is not eligible for the NEC.

The conditional "No Exposure Certification" is not effective until the application is processed by the State Water Board and assigned a NEC ID number.

The facility must maintain a condition of "No Exposure" at all times to remain eligible for the NEC. The conditions of "No Exposure" must be annually recertified in SMARTS starting July 1st each reporting year and must be completed before the following October 1st. If any conditions change at the facility resulting in the exposure of industrial materials and activities to stormwater, the Discharger must immediately file a Notice of Intent (NOI) and stormwater pollution prevention plan. The following steps guides a Legally Responsible Person to certify and submit a No Exposure Certification in SMARTS.

 Log into <u>SMARTS</u>: (https://smarts.waterboards.ca.gov). Browser Requirements: SMARTS best works with Google Chrome or Microsoft Edge.

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CALIFORNIC WATER BOARDS Kein Ware Beauter Control Based	Board Programs	Drinking Water Water Quality	Water Rights Notices Water Boards Search
Stormwater Multiple Applic	ation and Repo	ort Tracking System SMARTS BOULD AND AND AND AND AND AND AND AND AND AN	SMARTS LOGIN User ID: Password: Cogin Create Account Eorgot User ID or Password

2. Select Permit and Application Type

From the main menu, the user must go to the "Start a New Application" drop-down list, select "Industrial Permits", and then select "Statewide Industrial NEC".



No Exposure Certification Guidance Last Revision: February 2024

3. Select the Organization Record

The LRP may create a new organization or select an existing organization (if created and linked previously). Only LRPs may create new organizations. A DAR or DEP may only link the new application to an existing organization they are currently linked to.¹ Mark the bubble under the "select" column for the "Organization ID" and select "Next" at the bottom left corner of the screen.

S	tormwater Multiple	Application and Report Tracking System Water Boards	CallEPA Callent Enverseen Prescher Ageney						
Start a	New Application ~	Active Applications File Reports	Account Management Docum	ments Ready for Certification					
Home > Select Organization									
Applica	tion Type: Statewide Ind	dustrial NEC							
Select t	he Organization record t	to start the application and click "Next".							
	(t of t) is is 10 v								
Select	Organization ID 🗘	Organization Name 🌣	Address	Business Type 🌣					
\bigcirc	0	Create New Organization	Create New Organization						
0	632018	Test Owner Company	1001 I Street Sacramento CA 95814	Private Business					
0	635032	test123	1001 I street Sacramento CA 95814	Private Individual					
۲	658759	Sdischarger	1001 I street sacramento CA 95814	Private Business					
0	659704	ABC corp	111 AB Ave Sacramento CA 95632	Private Business					
۲	681563	Test Org	1001 I Street Sacramento CA 95814	Other					
		(1 of 1) 1	P> P1 10 V						
\leq	Next © 2022 State of California. Conditions of Use Privacy Policy								

4. Create New Organization Record

If the LRP is creating a new organization, enter all organization information required below. If not creating new organization, go to step 5.

NOTE: the organization name and organization address may differ or can be the same as the facility/business name and facility/business address. Once complete, select "Create Organization".

Stormwater Multiple Application and Report Tracki	ng System Water Boards	CalEPA Catorria Environmental Protection Agancy	You are logged in as Salvador Chaparro. If this account does not belong to you, please log out.	
Start a New Application	File Reports	Account Management	Recertify Existing Applications	Documents Ready for Certification
Home > Select Organization > Create Organization				
Application Type:Statewide Industrial NEC				
Please enter the new Organization Details				
Organization Name:	•			
Foreign Address: O Yes O No				
Address Line 1:	•			
Address Line 2:				
City:				
State: CA 🗸				
Zip:				
Business Type:				
Federal Tax Id:	Mandatory for Private Business.			
Create Organization				
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¹ If the user does not see an organization listed, contact the LRP to link user or contact the Stormwater Help Desk to update your user account to an LRP.

5. Linked Users

Verify, add, or remove users linked to the new application. Users already linked to the organization automatically populate in this section.

NOTE: A LRPs can link or delink LRPs and DARs with the same email domain, or DEPs. For assistance linking a DAR with a different email domain, contact the Stormwater Help Desk at stormwater@waterboards.ca.gov. Additionally, DARs may link or delink DEPs, and DEPs cannot link or delink any user. Click the "Confirm and Create Application" button to continue.

	and report maning officer	Water Boards	CCCV Second does not belong to you	, please log out.					
Start a New Application ~	ive Applications File Reports	Account Managemen	t Recertify Existing Application	Documents Ready for Certification					
Home > Select Organization > Display Exit	sting User(s)								
Application Type: Statewide Industrial NEC									
Add LRP Add DAR Add DEP Confirm and Create Application									
Below is a list of User(s) currently linked to	the Organization Test Organization								
Legal Responsible Person									
First Name	Last Name	Email	User Account	Delink User					
Salvador	Chaparro		684453						
Duly Authorized Representative									
First Name	Last Name	Email	User Account	Delink User					
No records found.									
Data Entry Person									
First Name	Last Name	Email	User Account	Delink User					
No records found.									
© 2022 State of California Conditions of Line. Drivery Delicy									
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6. Operator Information

Enter all required Operator Information. Once all required information is entered select "Save & Continue.

NOTE: The option to auto-populate the operator contact information is available as a drop-down box. Select the user's information to be populated from their linked account. Verify the information once it is populated for accuracy.

If at any time during the application process the user needs to stop and return to the application at a later time, select "Save & Exit". To return to the application, select "Pending Applications" from the "Main Menu", then select the application ID number under the "Application ID" column on the left-hand side.

Operator Information	Operator Information											
The application is organ	The application is propried into different take. Please complete all applicable take before submitting the form. If you want to complete the application at a later time, please click on *Srue & Evit*											
NEC ID/App ID:	NEC ID/Ann ID:											
Status:	Not Submitted		operator.	test test CA 99	999				Pro	ocessed	Date:	
Order No:	2014-0057-DWQ		Facility:						NC	T Effec	tive Date:	
Permit Type:	Industrial - NEC Previous ID: -											
		Dillion Late		0	Durate			Otatus 111-14-14	1.1-1			
Operator Into Facili	ty Info NEC Checklist	Billing Info	Attachments	Certification	Reports	Inspections	Print	Status History	Linked Users			
Operator Information	Populate Contact Info	Select			\triangleright							
Operator Name:	Test DEP		*2		c	contact First N	ame:				*:	
Street Address:	test		* 2		c	Contact Last Na	ame:				*	
Address Line 2:			2		т	Title:						
City/State/Zip::	test CA 🔻	99999 *2			P	hone:			* Ext:		(999-999-9999)
Туре:	Private Business	• ? •	2		E	-mail:						* (abc@xyz.com)
Federal Tax ID: 99.9999999 2												
Save & Exit Save	Save & Exit Save & Continue											
Fields marked with	are mandatory fields.											

7. **Facility Information** Enter all required facility information.

Facility Information					
The application is organized NEC ID/App ID: Status: Order No: Permit Type:	tion is organized into different tabs. Please complete all applicable tabs before submitting the form. If you want to complete the application at a later time, please click on "Save & Exit". pt D: -518999 Certified Date: Test DEP test test CA 99099 Certified Date: Processed Date: NOT Effective Date 2014-0057-DWQ Facility: Pacility: Provide CA 99099 Certified Date: NOT Effective Date previous ID: Certified Date: Certified Date: Certified Date: Processed Date: NOT Effective Date Provide Certified Date:				
Operator Info Facility In	o NEC Checklist Billing Info Attachment	s Certification Reports Inspection	s Print Status History Linked Users		
Facility Information San	ne as Operator Info Clear Info If different,	enter below			
Facility Name:	Test DEP	*	Contact First Name:		*
Street Address:	test	*	Contact Last Name:		*
Address Line 2:			Title:		
Latitude:	*Longitude: (Decimal degrees only, minimum 5 significan	*Lookup Map t digits Ex: 99.99999)	Phone:	*Ext:	(999-999-9999)
City:	All 🔻 *		Emergency Phone:	Ext:	(999-999-9999)
County:	Select •		E-mail:		*(abc@xyz.com)
Regional Board:	Select ×				
State/Zip:	CA 99999 *		Total Site Size:	* O Acres	Sqft
Percent of Site Imperviousness:	%		Total Area of Industrial Activities and Materials Exposed to Storm Water:?	* O Acres	Sqft
SIC Codes					
Primary SIC Code 1:	Select			*	
Primary SIC Code 2:	Select			*	
Primary SIC Code 3:	Select			T	
Save & Exit Save & Co	ontinue				
Fields marked with * are n	nandatory fields.				

A Lookup Map is provided for use if the facility's Latitude and Longitude coordinates is unknown.



Locate the facility's coordinates by entering the street address and selecting "Go!". The system populates coordinates above the map. Select "Set Coordinates" to continue to populate the latitude and longitude on the application.

Latitude: 38,58178 Longitude: -121,49209	*I ongitudes should always he negative
Set Coordinates	Longuides should diways be negative.
Cer coordinates	
To find the coordinates for a particular location (Example: "123 Main Street Sacramento CA	n, click on the map or enter a street address:
1001 I Street, Sacramento	Gol
Man Satellite	
Map Satellite	
Richards B/vg	0
1001 I St, Sacramento, CA 95814, USA	×
	America
5 5 8	
B S Cooromonto	
Sacramento	ESt
ker Art Museum DOWNTOWN	McKin
55 55 55	
	Blvd
	+
	28
Bind	
Map data @2019 Google Terms of Use Report a	map error

The "Total Area of Industrial Activities and Materials Exposed to Storm Water" must be answered as zero (0) to qualify for the NEC. If the answer to this question is greater than zero, a NOI must be filed.

Total Site Size:	20	* ● Acres ○ Sqft
Total Area of Industrial Activities and Materials Exposed to Storm Water:?	0	* Acres Sqft

8. Save Facility Information

Once all required information is entered select "Save & Continue".

Facility Inform	nation								
The application is a & Exit".	organized into differ	ent tabs. Please complete	all applicable tal	bs before submit	tting the form. I	f you wan	t to complete the	application at a	a later time, please click on "Save
NEC ID/App ID: Status:	- 486221 Not Submitted	Operato	or: Lest Site 123 Fake stre	et Rancho Cord	ova CA 95670		Proces	ed Date: sed Date:	
Order No:	2014-0057-DWQ	Facility	:				NOT E	ffective	
Permit Type:	Industrial - NEC	· · · · · · · · · · · · · · · · · · ·					Date: Previor	us ID: -	
			A#	Cartification	1		Canton Illina	I to be differen	
Operator Info	Pacility Into NEC	Checklist Billing Info	Attachments	Certification	Inspections	Print	Status History	Linked User	S
Facility informati	on Same as Opera		merent, enter b	elow					
Facility Name:	Test Site	*		Contact	-irst Name:	NEC Oper	rator		
Street Address:	123 Fake street	*		Contact I	Last Name:	NEC Nam	ne	*	
Address Line 2:				Title:					
Latitude:	38.58178 * (Decimal degree: 99.99999)	Longitude: -121.49209 s only, minimum 5 signif	*Lookup Map icant digits Ex:	Phone:		866-563-3	3107 *Ext:	(999-999	-9999)
City:	Sacramento	✓ *		Emergen	cy Phone:		Ext:	(999-999-9	999)
County:	Sacramento	/ *		E-mail:		stormwate	er@waterboard.ca.g	jov	*(abc@xyz.com)
Regional Board:	Region 5S - Sacrar	mento 🗸 *							
State/Zip:	CA 95670 *			Total Site	e Size:	20	* Acr	es 🔿 Sqft	
Percent of Site Imperviousness:	%			Total Are Industria and Mate Exposed Water:?	a of I Activities trials to Storm	0	* Acr	res 🔿 Sqft	
SIC Codes									
Primary SIC Cod	le 1:	2096 - Potato Chips, Corn C	hips, and Similar	Snacks				✓ *	
Primary SIC Cod	le 2:	Select						~	
Primary SIC Cod	le 3:	Select						~	
Save & Exit Sav	ve & Continue								
Fields marked with	are mandatory	fields.							

9. No Exposure Certification Checklist.

All eleven statements must be marked as "No Exposure" in order to file No Exposure Certification. If the answer to any of these statements is marked as "Exposure" a Notice of Intent must be filed. Once all statements are completed, select "Save & Continue".

Operator Info Facility Info NEC Checklist Billing Info Attachments Certification Inspections Print Status H	istory	Linked Users								
NEC Checklist										
I hereby certify that none of the following industrial materials or activities are, or will be in the foreseeable future, exposed to precipitation and no discharges of storm water contaminated by exposure to Industrial Materials and Activities from areas of the facility subject to this General Permit, and certify that all unauthorized NSWDs have been eliminated and all authorized NSWDs meet the conditions of Section IV (Authorized NSWDs). Note: No SWPPP is required for and NEC to meet the conditions for the Authorized NSWDs.										
Total Area of Industrial Activities and Materials Exposed to Storm Water (Definition)	Total Area of Industrial Activities and Materials Exposed to Storm Water (Definition)									
 Using, storing or cleaning industrial machinery or equipment, and areas where residuals from using, storing or cleaning industrial machinery or equipment remain and are exposed. 	Select	V								
2. Materials or residuals on the ground or in storm water inlets from spills/leaks.	Select	~								
3. Materials or products from past industrial activity.	Select	×								
4. Material handling equipment (except adequately maintained vehicles).	Select	✓								
5. Materials or products during loading/unloading or transporting activities.	Select	~								
Materials or products stored outdoors (except final products intended for outside use, e.g., new cars, where exposure to storm water does not result in the discharge of pollutants).	Select	V								
7. Materials contained in open, deteriorated or leaking storage drums, barrels, tanks, and similar containers.	Select	×								
8. Materials or products handled/stored on roads or railways owned or maintained by the Discharger.	Select	×								
9. Waste material (except waste in covered, non-leaking containers, e.g., dumpsters).	Select	×								
10. Application or disposal of processed wastewater (unless already covered by an NPDES permit).	0. Application or disposal of processed wastewater (unless already covered by an NPDES permit). Select 🗸									
11. Particulate matter or visible deposits of residuals from roof stacks/vents evident in the storm water outflow.	Select	~								
Save & Exit Save & Continue										

10. Billing Information

Enter all required billing information and select "Save & Continue".

NOTE: The option to auto-populate the billing information is available as a button. Select the source information to be populated from respective button. Please verify the information once it is populated for accuracy.

Operator Info	Facility Info NEC Checl	klist Billing Info	Attachments	Certification Inspections	Print Status History	Linked Users			
Billing Information Same as Operator Same as Facility Clear Billing Info If different, enter below. Bill Month: Bill Hold:									
Billing Name:	Test Site	*		Contact First Name:	NEC Operator	*			
Street Address:	123 Fake street	*		Contact Last Name:	NEC Name	*			
Address Line 2:				Title:					
City/State/Zip:	Sacramento CA v 956	70 *		Phone:	866-563-3107 *Ext:	(999-999-9999)			
E-mail:	stormwater@waterboard.ca.	gov *	(abc@xyz.com)						
Save & Exit Save & Continue									
Fields marked with are mandatory fields.									

11. Attachments

Upload the facility/site map as outlined in Order Section X.E

(https://www.waterboards.ca.gov/board_decisions/adopted_orders/water_quality/2018 /wqo2018-0028-dwq.pdf). The facility site map must be uploaded by selecting the "Attachments" tab of the application and selecting the "Upload Attachment" button.

Operator Info	Facility Info	NEC Checklist	Billing Info	Attachments	Certification	Inspections	Print	Status Histor	y Linked Us	ers				
Please click on	"Upload Attacl	hment" button to	upload the co	rresponding f	iles Upload A	Attachment								
Attached files:	Attached files: The following are the current documents related to the NOI. Click on the Attachment ID to view them.													
	r< << (1 of 1) → → Display 10 ✓ per page													
Attachment ID	Attachment Fo	r 🌣 🛛 File	туре 🗘	F	ile Title 🗘		File Desc	ription	File Size (Bytes)	Document Date	Part No.	Date Attached \$	Upload By	Delete
No records four	ıd.													
				14	< (1	of 1) 🔛	Displ	lay $10 \vee p$	per page					
Save & Exit Fields marked wi	Save & Contin th * are manda	ue atory fields.												

- Attachment File Type: Select "Facility/Site Map", or other file types corresponding to the required documents. Additional files such as "Supporting Documentation" may be attached as applicable.
- Attachment Title: Enter the title of the document.
- Choose File: Locate the file on the computer by clicking browse and select it.
- Select "Upload Files" once files have been selected

NOTE: Any one file must not exceed 75 MB. If a file is larger than that, it must be divided into multiple files. Use the "Part No." fields in these situations. The "Document Date" should be used to record when the document was signed or approved.

	Operator Info Facility Info NEC C	Checklist Billing Info Attachments Ce	rtification Inspections Print Status	History Linked Use	rs							
	Please click on "Upload Attachment" button to upload the corresponding files.											
	Attachment File Type	Attachment Title	File Description	Part No.	Document Date	File Name						
	Facility/Site Map 🗸	Facility/Site Map		Part of	0	Browse						
<	Upload Files Dancel Add New Row											
	Attached files: The following are the	current documents related to the NOI. Clic	ck on the Attachment ID to view them.									

Select "Save & Continue" after the documents are uploaded.

Operator Info	Facility Info NE	C Checklist	Billing Info	Attachments	Certification	Inspections	Print	Status Histor	y Linked Us	ers				
Please click of	n "Upload Attachme	nt" button to u	upload the co	rresponding file	es. Upload Att	tachment								
Attached files	Attached files: The following are the current documents related to the NOI. Click on the Attachment ID to view them.													
r< << 1 (1 of 1) → → Display 10 ✓ per page														
Attachment ID	Attachment For 🗘	File	Type 🗘	File	e Title 🗘	'	File Descri	ption	File Size (Bytes)	Document Date	Part No.	Date Attached 0	Upload By	Delete
2394185	NOI/NEC	Facility/Site	Мар	Facility/Site N	Лар				41846		1	05/20/2019	Test Tester	Delete
				14 4	< 1 (1	of 1) 🔛	▶1 Disp	lay 10 \vee	per page					
Save & Exit	Save & Continue /ith * are mandatory	fields.												

12. Certification

Select "Perform Completion Check"

NEC ID/App ID: Status:	- 486221 Not Submitted	ł	Operator	: Test Site 123 Fake stree	t Rancho Coro	dova CA 95670		Certifi Proces	ed Date: ssed Date	e:		
Order No:	2014-0057-D	WQ	Facility:	Test Site			NOT Effective Date:					
Permit Type:	Industrial - NE	C		123 Fake stree	t Sacramento	CA 95670		Previo	us ID:	•		
Operator Info	Facility Info	NEC Checklist	Billing Info	Attachments	Certification	Inspections	Print	Status History	Linked	Users		
Perform the con Perform Complet	Operator Info Facility Info NEC Checklist Billing Info Attachments Certification Inspections Print Status History Linked Users Perform the completion check to verify that all required information is completed. Click the button below to start completion check. Perform Completion Check Perform Check											

The completion check function provides a notification of any errors that must be corrected prior to submission of the No Exposure Certification (e.g. Facility/Site Map was uploaded incorrectly).

Any user may perform this check, but if a DEP performs the check, the system provides a "Notify LRP/DAR" button. Pressing the button notifies the LRP or DAR the NEC is complete and requires their certification. The LRP or DAR should review the prepared NEC for accuracy prior certifying.

LRP Certification

There are two options for the LRP to submit the NEC:

- a. The LRP may access the "Documents Ready for Certification" SMARTS menu option if notified by the DEP.
 - Select the NEC to certify by checking the box next to the Application ID
 - Check the box next to the certification statement, answer the security questions, and enter the user account password
 - Click "Certify Selected" to submit the NEC.

Applications											
Select Application ID Permit Type Operator/Owner Name & Address Facility/Site Name & Address Application PDF											
SofT32 Construction Text Org Text Traditional Waiver 1 Application PDF 1001 Streed Sacramento CA 95814 7771 Streed Sacramento CA 90001 Application PDF											
Cartification & St	ubmission Chacklis										
ou can now certify t	the above documents by	-	elow.								
submitte and com signatur	ed. Based on my inquiry nplete. I am aware that t re and any information I	of the person or perso here are significant per indicate I am electronic	ns who manage the system or those persons directly responsible for gathering nallies for submitting false information, including the possibility of fine and impr cally certifying contains my signature. I understand that my electronic signature	the information, to the best of my knowledge and belief, the information submi sonment for knowing violations. I am also aware that my user ID and passwori is the legal equivalent of my handwritten signature. My signature on this form	tted is, true, accurate, d constitute my electro certifies that my						
submitte and con signatur electron Water B discover	ed. Based on my inquiry nplete. I am aware that it re and any information I lic signature is for my ov loard using SMARTS of ry, if I suspect that my e	of the person or perso here are significant pe- indicate I am electronii m use, that I will keep such delegation within lectronic signature has	on who manage the system or those persons directly responsible for gathering under for useffing fails information, including the possibility of the and impr ally certifying contains my signature. I understand that my decisionic signature condensitia, and that i und celedgate or that if with any offerer generor. Sin 10 days of the delegation. I further certify that I will protect my decisionic signa term in states of the size of the level in the size of the size of the delegation. I further certify that I will protect my decisionic signa term is size of the size of the size of the size of	the information, to the best of my trouviedge and beint, the information statin- tions of the howing values of the state o	tted is, frue, accurate, d constitute my electron certifies that my nically notify the State n two business days of						
submitte and com signatur electron Water B discover Certified By Certifier Name:	ed. Based on my inquiry npiele. I am aware that i re and any information I is signature is for my ov loard using SMARTS of ry, if I suspect that my e Matthew Shimizu	of the person or perso here are significant pe- indicate I am electronii m use, that I will keep such delegation within lectronic signature has	on who manage the system or those persons directly responsible for gathering makes for schetting fails information, including the possibility of the and impr ally certifying contains my signature. I understand that my electronic signator to conferential, and that wind celesgicar to strate m with any other persons. Sh 10 days of the delegation. I further certify that I will protect my electronic signa been lost, stolen, or otherwise compromised.	the information, to the best of my towniedge and beint, the information states towned for towning vision is and as an average that the state of a gasaroon is the leagl equivalent of my handhruttlen signature. By signature on the form of u which obseques to acharothyr, if will one formally in writing and existon ture from unauthorized use, and that I will contact the State Water Board, within	tted is, true, accurate, d constitute my electron certifies that my icially notify the State n two business days of						
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submitte and com signatur electron Water B discover tertified By ertifier Name: ertifier Title: ate:	ed. Based on my inquiry npiele. I am aware that I ere and any information I tic signature is for my ov loard using SMARTS of ry, if I suspect that my e Matthew Shimizu Scientific Aid 02/01/2024	of the person or perso here are significant pe indicate I am electroni m use, that I will keep such delegation within lectronic signature has	on who manage the system or those persons directly responsible for gathering under for untimiting the information, including the possibility of the and impr ally certifying contains my signature. I understand that my decisionic signature condensitia, and that i under designed are that if with any offener generor. Sin 10 days of the delegation. I further certify that I will protect my decisionic signa there lists statistic, are delevine compromised.	the information, to the best of my trouvidege and belief, the information states to means for howing/states, if an and a variable that my user 10 and password is the keya equivalent of my handmittlen signifiante. My signifiante on the form of unive to delegate and a valending i, into its ostmany in writing and electro tar from unsubhotized use, and that I will contact the State Water Board, with	tted is, frue, accurate, d constitute my electro d constitute my nically notify the State n two business days o						
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- b. If the NEC is not listed in the "Documents Ready for Certification" SMARTS menu option, the LRP may access the NEC directly.
 - Log in and go to "Pending Applications" in the main menu.
 - Open the Application ID.
 - Click on the "Certification" tab and perform the completion check.
 - Check the certification boxes, enter the answer to the security question and password, and click the "Certify Application" button.

Operator Info F	acility Info NEC Che	ecklist Billing Info	Attachments	Certification	Inspections	Print Status Hi	istory Linked Users						
The Application I The application is Certification &	The Application Fee is \$200 The application is complete. Please continue to certify and submit the application to the Water Boards. Certification & Submission Checklist :												
Read and check the boxes next to each certification statement:													
I certify under penalty of law that this document and all attachments were prepared under the direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and bellef, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.													
⊡	I am aware that there are significant penalties for submitting talse information, including the possibility of fine and imprisonment for knowing violations. I am also aware that my user ID and password constitute my electronic signature and any information I indicate I am electronically certifying contains my signature. I understand that my electronic signature is the legal equivalent of my handwritten signature. My signature on this form certifies that my electronic signature is for my own use, that I will keep it confidential, and that I will not delegate or share It with any other person. Should I wish to delegate such authority, I will do so formally in writing and electronically notify the State Water Board using SMARTS2 of such delegation within 10 daiso to the delegation. I turther certify that I will protect my electronic signature from unauthorized use, and that I will contact the State Water Board, within two business days of discovery, if I suspect that my electronic signature not ordite my confidential, and that I will												
Certified By:													
Certifier Name:						Date:	05/08/2017						
Certifier Title:	Test												
Certifier Title: Test Please enter your password and answer to the security question before certifying the document. What is your mother's maiden name? Please enter your password Certify Application Certify Application Certify Later Certify													
Fields marked with	* are mandatory fields.												

13. A confirmation screen confirms submission of the No Exposure Certification. Select Download Copy of Record button to save a copy of the submission.

Continue to pay the application fee.

N S O P	EC ID/App ID: tatus: rder No: ermit Type:	d to Water Board 7-DWQ - NEC	Operator: T 1 Facility: T 1	est Site 23 Fake street est Site 23 Fake street	Rancho Cordov Rancho Cordov	a CA 95 a CA 95	670 670		Certified Date: Processed Date: NOT Effective Date: Previous ID:			
	Operator Info	Facility Info	NEC Checklist	Billing Info	Attachments	Certification	Inspections	Print	Status History	Linked Users		
1	The application was successfully received by the State Water Resources Control Board.											
			SWRCB	Application No	. SA469304	SA469304						
				Permit Type	Industrial							
			Submiss	ion/Certify Date	9							
				Certifier Name	•							
				Certifier Title	Test							
1	Please print out	this screen as p	proof of certification	. The confirmati	on details was	also email to t	he address on f	ile				
[Download Co	pv of Record										
Þ	Pay By Che	eck Or Pa	ay Online									

If "Pay by Check" is selected print the Fee Statement and mail it with the check to The State Water Board.

State Water Resources Control Board
EXAMPLE ONLY
May 08, 2017
Fee Statement Application Id # 486221
Facility/Site Test Site 123 Fake street Sacramento CA 95670
Thank you for submitting Permit Registration Documents (PRDs) for the facility/site referenced above. The application fee for this submittal is \$200.00
Please note the application fee is due upon submittal of the PRDs. Permit coverage begins on receipt of payment and a WDID number is assigned. If payment is not received within 60 days the application will be automatically returned as incomplete.
Please make checks payable to: SWRCB
Mail this Fee Statement and \$200.00 to:
Regular Mailing Address: Overnight Mailing Address: SWRCB SWRCB

No Exposure Certification Guidance Last Revision: February 2024

If "Pay Online" is selected, follow the instructions to a third part website. The application number is required to pay by Electronic Fund Transfer (ETF) or credit card.

NOTE: online payments take approximately two business days to process and be applied to the application.

Electronic Fund Transfer
Please Use Information Below To Pay Online
SWRCB Application No.: SA469304
Amount Due to SWRCB: \$200
The Water Boards provides two methods to pay online.
Electronic Fund Transfer - The EFT Automated Clearing House (ACH) Debit method allows the state's data collector to electronically debit your bank account and credit the state's bank account. Your account will be debited by the state's data collector "First Data" only upon your initiation and for the amount you specify.
Credit Cards - The state's data collector "Official Payments Corporation" payment system allows you to use a credit card to make a payment to the State Water Resources Control Board. You will be responsible for paying the 2.3% convenience fee (\$1.00 minimum) charged by "Official Payments Corporation" when making a credit card payment on their website. This fee is in addition to the amount owed to the State Water Resources Control Board. To make a payment by Visa, MasterCard, or Discover you will have to select the following:
1. Click on STATE PAYMENTS and then select California
2. Payment Entity – select State Water Resources Control Board
3. Payment Type – select either Application Fees
After all 3 items are filled in, click on Make a Payment button and you will be taken to the payment page where you can begin your payment.
By using First Data or Official Payments Corporation, you will be leaving the State Water Resources Control Board's (SWRCB) website and entering the State's data collector's website. First Data and Official Payments Corporation are not affiliated with the SWRCB and the SWRCB is NOT responsible for the contents or links contained on their website. The SWRCB recommends that you read and evaluate First Data's or Official Payments Corporation security and confidentiality statements.
Failure to correctly enter the application number and amount may lead to delays in processing the application.
Pay by EFTX Pay by Credit Card

14. An NEC ID is assigned after the No Exposure Certification is submitted online, Water Board receives the payment and the e-Authorization form.

4	NEC ID/App ID: Status:	5S34NEC003209 - 486221 Active	Operator	: Test Site 123 Fake street Rancho Cordova CA 95670	Certified Date: Processed Date:	05/08/2017 05/08/2017
	Order No:	2014-0057-DWQ	Facility:	Test Site	NOT Effective Date:	
	Permit Type:	Industrial - NEC		123 Fake street Sacramento CA 95670	Previous ID:	-

15. Print

To print a copy of the receipt letter that contains the NEC ID, go to the Print tab.

Operator Info	Facility Info	NEC Checklist	Billing Info	Attachments	Certification	Inspections	Print	Status History
Print NOI Copy								
Fee S	Statement					Fee Stateme	ent	
Origi	nal NEC					Initially Subr	nitted N	IEC
Subm	itted NEC					Submitted NEC		
Curr	ent NEC					Current NEC)	
Rece	ipt Letter	\supset				Receipt Lett	er	
Retu	rn Letter					Return Lette	r	
Waiv	ver Letter					Waiver Lette	۱	
Fields marked wit	th * are manda	atory fields.						