Fisheries Resources: A Technical Assessment of Available Scientific Information

State Water Resources Control Board Phase II Comprehensive Review of the Bay-Delta Plan

Workshop 2: Fisheries Resources

October 1-3, 2012

Submitted by:State Water Contractors, Inc.San Luis & Delta-Mendota Water Authority

Review: Ecological Change

- Food Web
- Physical Landscape
- Water Temperature
- Turbidity
- Changes in Water Flows

The Management Challenge



Today's Science Presentation

- Salmon
- Pelagic Fish

Salmon Presentation Outline

- <u>Relationships between flow and salmon</u> <u>survival</u>
 - Dr. Chuck Hanson
- Integration of scientific information and decisionmaking
 - -Steve Cramer

Next up: Dr. Chuck Hanson, Hanson Environmental Dr. Steve Cramer, Cramer Fish Sciences Salmon technical presentation Fisheries Resources: A Technical Assessment of Available Scientific Information Regarding Salmonids

State Water Resources Control Board Phase II Comprehensive Review of the Bay-Delta Plan Workshop 2: Fisheries Resources October 1-3, 2012

Objective: Provide a scientific basis for identifying potential recommendations based on opportunities and constraints of alternative management strategies.

Submitted by: State Water Contractors, Inc. San Luis & Delta-Mendota Water Authority

Presentation Organization

- Flow Functions and Reservoir Releases
- Stressors
- River Flow and Survival
- River Flow and Juvenile Migration Rates
- Water Temperature Management
- Effect of Exports on Survival
- Tidal Hydrodynamics and Flow
- Ocean Conditions
- Lifecycle Models
- Summary





Changes in habitat can be a function of changes in river flow



Cross section through a natural (historic) Sacramento River channel showing the change in habitat as a function of changes in river flow.

Flow functions are diminished in altered channel



Cross-section through a channelized reach of the Sacramento River showing change in habitat as a function of changes in river flow.

Multiple interacting variables affect salmonid populations

- Predation
- Water temperature
- Quality of spawning and rearing habitat
- Water diversions
- Channelization
- Reduced access to floodplains and wetlands
- Ocean rearing conditions
- Ocean harvest

Survival of juvenile San Joaquin River fall-run salmon has declined substantially in recent years



Data from VAMP studies: SJRGA 2006

Abundance of non-native predators has increased substantially in recent years in the Delta



Source: Conrad et al. 2010a

Mortality rates of juvenile Chinook salmon are high



Juvenile salmon survival is weakly correlated with Sacramento River flow



Low survival in 2005 and 2006 in San Joaquin River was not related to flow



Estimates of smolt survival (+/- 2 Standard Errors) from Mossdale to Jersey Point during the VAMP between 1994 to 2006 using coded wire tagged fish. Years with the physical Head of Old River Barrier installed are denoted with B and are in 1994, 1997 and 2000-2004. The black line is the estimate of survival between Mossdale and Chipps Island in 2010 using acoustic tag technology and removing predator-type detections. (Brandes et al., 2008 and SJRG, 2011). A poor relationship was observed between Sacramento River flow during juvenile migration in 2006 and 2009 and subsequent adult abundance

	2006	2009
Average January-May Sacramento River flow during juvenile migration	62,000 cfs	15,500 cfs
PFMC Estimated Adult Chinook salmon abundance 2.5 years later (assuming 3-year generation period)	53,000 adult salmon	819,000 adult salmon

Size and migration route effect juvenile salmon survival

- Fish size has significant effect on juvenile salmon survival
- Survival rates are higher for Sacramento River and lower for migration via interior Delta
- Non-physical barriers appear to reduce juvenile salmonid migration into interior Delta

Significant salmonid research is underway

Ongoing research will:

Improve understanding of juvenile reach-specific survival

- Improve understanding of effects of river flow and tides on migration route
- Reduce uncertainty from earlier studies

Juvenile salmon migration rate is independent of Sacramento river flow



 Increased flow alone will not reduce duration of juvenile migration or vulnerability to predation

Juvenile salmon migration rate is independent of Sacramento River flow



 Increased flow alone will not reduce duration of juvenile migration or vulnerability to predation

Juvenile salmon migration rate is independent of Sacramento River flow



 Increased flow alone will not reduce duration of juvenile migration or vulnerability to predation Water temperature management within reservoirs is critical to maintaining suitable spawning and rearing habitat

- Release of cold water from reservoirs maintains cool temperatures immediately downstream
- Water temperature increases downstream-eventually equilibrates with air temperature
- Reservoir releases have no effect on instream water temperatures for most of the lower reaches of the Sacramento and San Joaquin Rivers and the Delta.

Juvenile salmon survival through the Delta is independent of SWP/CVP export rate

- Survival largely independent of export:inflow ratio and OMR reverse flows.
- Salmon survival during Delta migration is not significantly related to SWP and CVP export rate.

Salmon salvage at SWP-CVP facilities is extremely small percentage of juvenile outmigrants

1994-2007: 3.6 million tagged smolts

- Released in Battle Creek and Sacramento River upstream of the RBDD
- Juvenile Chinook salmon were marked with an adipose fin clip and coded wire tag
- Releases included fall-run, winter-run, spring-run, and late fall-run juvenile salmon

0.1% (0.0% to 0.5%) salvaged at SWP-CVP pumping plants

There is no relationship between smolt survival and SWP-CVP export rate



Tides dominate hydrodynamics in the Delta

- Sub-daily tidal flows are a major factor affecting migration route selection
- Tidal flows overwhelm inflows in the western Delta (tidal flow frequently is approximately 10 times greater that Delta inflow)
- Increasing Delta inflow or outflows would not significantly affect salmonid migration rates

2012 Acoustic Tag Monitoring



2012 Acoustic Tag Monitoring



2012 Acoustic Tag Monitoring



Integrating best available science:

- Accounting for all the variables
- Discerning what matters most
- Discovering balanced solutions to competing needs

Sacramento Index (SI) of Fall Chinook Abundance



Source: Pacific Fisheries Management Council. 2012. Preseason report 1 for 2012 ocean salmon fishery regulations

Ocean factors caused collapse of Chinook runs in 2008-2009



Ocean harvest has large impact



Source: PMFC 2012

CV Chinook Salmon
Application of lifecycle models





Finding science solutions:

- Rely on recent studies with best technologies
- Beware of correlated explanatory variables
- Accumulate factor effects across all life stages
- Express effects in adult equivalents
- Use temporally and spatially explicit life-cycle models to compare management scenarios

Summary:

SCIENTIFIC FINDINGS THAT SUPPORT RECOMMENDATIONS:

- Majority of natural flow functions cannot be replicated through reservoir releases
- Large changes in flow produce small, uncertain changes in juvenile survival
- Increases in flow may adversely impact reservoir cold water reserves and carryover storage
- Salmon survival largely independent of pumping rates at CVP/SWP Delta Facilities
- Ocean conditions, ocean harvest, and predation have large influences on survival and abundance of salmonids
- Juvenile salmon mortality of 75% or more upstream of the Delta is high compared to other large-river systems

RECOMMENDED FOCUS FOR STATE BOARD:

- Protect cold water pool to maintain suitable temperatures for spawning and rearing
- Support creation, restoration and conservation of floodplain and other habitats
- Support use of non-physical barriers and other mechanisms to decrease salmonid migration into the Interior Delta

Today's Science Presentation

- Salmon
- Pelagic Fish

Key Points for Delta smelt

- Life cycle modeling indicates that key drivers are food, temperature, and predation
- Nutrients are important drivers of food web productivity
- No statistical foundation supporting a relationship between X2 and Delta smelt abundance in any season
- Neither low salinity zone nor X2 define habitat
- Entrainment does not drive abundance. Operations sensitive to OMR and turbidity have successfully ended large entrainment events.

Key Points for Longfin Smelt

- There is no demonstrated mechanism to explain the longfin FMWT: X2 correlation.
- Even if outflow *per se* increased abundance, the increases would be very small.
- Many factors other than flows are correlated with longfin smelt abundance. The most plausible causal mechanism for longfin abundance is food supply and ultimately nutrient patterns.
- Different longfin surveys show different long-term abundance trajectories.

Pelagic Presentation Outline

- Lifecycle Modeling; Entrainment
 Dr. Richard Deriso
- Fall X2 and Delta smelt abundance
 Dr. Noble Hendrix
- Outflow and Longfin smelt abundance

David Fullerton

<u>Ammonium inhibition and the foodweb</u>

– Dr. Richard Dugdale

Next up: Dr. Richard Deriso, IATTC Life cycle model and delta smelt entrainment

Life Cycle Modeling

- A life cycle model is a common tool used to analyze species population decline
- Life cycle model results may provide essential information to future species management actions

A state-space multistage life cycle model to evaluate population impacts in the presence of density dependence: illustrated with application to delta smelt (*Hyposmesus transpacificus*)

Mark N. Maunder and Richard B. Deriso

Abstract: Multiple factors acting on different file stages influence population dynamics and complicate the assessment and management of populations. The provide appropriate management davice, the data should be used to determine which factors are important and what life stages they impact. It is also important to consider density dependence because it can modify the impact of some factors. We develop a state-space multistage life cycle model that allows for density dependence and environmental factors to impact different life stages. Models are ranked using a two-covariates-at-stime stepwise procedure based on AFC, model uveraging to reduce the possibility of excluding factors that are detectable in combiniton, but not alone. Impact analysis is used to evaluate the impact of factors on the population. The framework is illustrated by application to delta smelt (*Hypoumesus parsquificus*), a threatened species that is potentially impacted by multiple anthropogenic factors. Our results indicate that density dependence and a few key factors impact the dates. The stages. The indued factors explain the recent declines in delta smelt abundance and may provide insight into the cause of the pelagic species decline in the San Prancisco Estuary.

Résumé : Les multiples facteurs qui agissent sur les différents stades du cycle biologique influencent la dynamique des populations et compliquent l'évaluation et la gestion des populations. Aftin de fournir des avis de gestion appropriés, il faut utiliser les données pour déterminer quels facteurs sont importants et quels stades du cycle ils affectent. Il est aussi important de considérer la densité dépendance, car elle peut modifier l'impact de certains facteurs. Nous mettons au point un type de modèle état-espace à stades de vie multiples qui tient compte de l'impact de la densité dépendance et des facteurs du milieu sur les différents stades de vie. Les modèles sont placés par outre à l'aide d' une procédure pas-à-pas de deux covariables à la fois basés sur l'établissement de la moyenne des modèles ét type AIC₆ affin de réduire la possibilité d'exclure des facteurs décelables en combinaison, mais non isolément. Une analyse d'impacts sert à évaluer les effets des facteurs sur la population. Nous illustors ce carde d'analyse en l'appliquant à l'épertant du della (*Myponensus transpacificus*), une espèce menacée qui est potentiellement affectée par de multiples facteurs anthropiques. Nos résultats montrent que la densité dépendance et quelques facteurs réclés affectent la population d'éperlans du delta. La température, les proise et les prédateurs dominent pami les facteurs réclés affectent la population d'éperlans du delta. La température, se proise et les prédateurs dominent ces pélagiques dans l'estuaire de San Francisco.

[Traduit par la Rédaction]

Introduction

Multiple factors acting on different life stages influence population dynamics and complicate the assessment and management of natural populations. To provide appropriate management advice, the available data should be used to determine which factors are important and what life stages they impact. It is also important to consider density-dependent processes because they can modify the impact of some factors, and the strength of density dependence can vary among life stages (Rose et al. 2001). Management can then better target limited resources to actions that are most effective. Unfortunately, the relationships among potential factors, the life stages that they influence, and density dependence are often difficult to piece together through standard correlation or linear regression analyses.

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Maunder & Deriso Life Cycle Model Specifics

- Represents all life cycle stages of smelt (larval, juvenile, adult) and how population abundance changes between stages
- Allows multiple factors or covariates to influence survival and stock-recruit relationships
- Data spans 1972-2010

Model Conclusions

- Food abundance, temperature, predator abundance, and density dependence are the most critical factors impacting the Delta smelt population
- Entrainment from water export operations is <u>NOT</u> an important factor impacting smelt population growth rate
- Fall X2 is <u>NOT</u> an important factor impacting smelt population growth rate
- Efforts should be focused on addressing environmental conditions affecting the species, such as food supply

Results of Recent Modeling Efforts

MacNally et al. (2010)	Thomson et al. (2010)	Maunder and Deriso (2011)	Miller et al. (2012)	
Factors with statistically significant effects				
Predator abundance		Predator abundance	Predator abundance	
Summer temperatures		Water temperatures	Water temperatures	
		Prey density	Prey density	
Duration of water temperatures suitable for spawning				
	Water clarity	Bold ite	ulic = Strong effect	
	Winter exports	Regular	= Weak effect	

Results of Recent Modeling Efforts

MacNally et al. (2010)	Thomson et al. (2010)	Maunder and Deriso (2011)	Miller et al. (2012)		
Factors without statistically significant effects					
Spring X2	Spring X2	Spring X2	Spring X2		
Fall X2	Fall X2	Fall X2	Fall X2		
		Juvenile entrainment	Juvenile entrainment		
		Adult entrainment	Adult entrainment		
Silverside abundance					
Water clarity					

Impact analysis: entrainment



Historical and Possible Future Steady State Delta Smelt FMWT Values



<u>Management of Adult Smelt</u> <u>Entrainment</u>

Turbidity Can be Used to Manage Entrainment

- Data show historic relationship between turbidity, OMR flow, and adult smelt entrainment
- Developed mathematical model as a function of turbidity at Clifton Court and OMR flow
- Model predicts adult salvage rates and when large entrainment events have occurred

Delta Smelt salvage rate as influenced by OMR and Clifton Court turbidity



Three-Day Turbidity OMR Model Predictions



Conclusions

- Entrainment does not appear to affect
 Delta smelt abundance patterns
- Entrainment levels are related to OMR and turbidity levels

Next up: Dr. Noble Hendrix, QEDA Consulting LLC Delta smelt habitat and abundance

Fall X2 and Delta smelt abundance

Dr. Noble Hendrix

QEDA Consulting, LLC 10/2/12

Correlation between delta smelt FMWT Index and Concurrent Fall X2



- Hypothesis of Feyrer et al. (2011):
 - X2 influences Delta smelt "Habitat Index"
 - Delta smelt "Habitat Index" influences Delta smelt abundance
 - Therefore, X2 influences Delta smelt abundance



X2 influences "Habitat Index"



Using two measures of salinity (X2 and EC) assures X2 will correlate with "Habitat Index"



Other "Habitat Indices" fit the FMWT presence/absence data better

Model	% Variation explained	Correlation with X2
Top EC and Secchi	17.8%	-0.86
Longitude & Date	18.4%	-0.48

Proportion of samples with delta smelt



The "Habitat Index" does not fit well



Longitude model does better



Proportion of Samples

Low Salinity Zone and Estuarine Habitat

The low salinity zone (LSZ) is not equivalent to estuarine habitat. Estuarine habitat encompasses the range from 0 to 35 ppt salinity and the LSZ is just one part of the overall gradient. Other gradients and important aspects of habitat in this estuary include: salinity, temperature, turbidity, food supply, predation, connectivity, geometry, variability [in time and space].

- USEPA Technical Workshop Summary

"Habitat Index" influences abundance



Construction of two indices from the same catch data ensures correlation



Result: Chain of Induced Correlations



What USFWS Says About Induced Correlation

Feyrer et al. (2011) showed that despite being based on presence or absence of delta smelt, their resultant habitat index was correlated with the FMWT abundance index... However, this is an expected outcome because delta smelt abundance and presence-absence are correlated. The point in showing this association was to demonstrate that although the linkage is variable and inherently based on a circular argument (because catch was used to define habitat suitability), there is nonetheless a correlation between the FMWT indices and the habitat indices, which are nonlinearly related to fall X2.

USFWS Workshop #1 page 46.
Conclusions

- The circularity means that comparing the "habitat index" to the FMWT abundance will be meaningless – the "habitat index" is essentially being compared to itself
- The "habitat index" should reflect the spatial patterns in observed smelt distribution

Next up: David Fullerton, MWD Longfin smelt



Longfin:X2 Relationship



Expert Panel Presentation, Workshop 1

The FMWT: Flow relationship is now nearly flat



Flow:Abundance Relationships



Longfin FMWT v Unimpaired Flow



Longfin FMWT v Napa River Flow



Source: DWR

Source: USGS

Longfin FMWT v Secchi Depth



Water Depth, Secchi Depth :Abundance Relationships

Source: EMP

Longfin FMWT v Average Water Depth



Nutrients/Food:Abundance Relationships



Longfin FMWT v Ammonium





Longfin FMWT v DIN/TP

Nutrients:Abundance Relationships



From Glibert et al. (2011)



From Bay Institute presentation at Workshop 1, slide 7



Source: DFG





Shifts on Longfin Distribution over Time



From Kimmerer's presentation at Workshop 1, slide 20.

Water Depths Over Time in the FMWT



Key Points for Longfin Smelt

- There is no demonstrated mechanism to explain the longfin FMWT: X2 correlation.
- Even if outflow *per se* increased abundance, the increases would be very small.
- Many factors other than flows are correlated with longfin smelt abundance. The most plausible causal mechanism for longfin abundance is food supply and ultimately nutrient patterns.
- Different longfin surveys show different long-term abundance trajectories.

Next up: Dr. Richard Dugdale, SFSU



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River flow and ammonium discharge determine spring phytoplankton blooms in an urbanized estuary

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ABSTRACT

Nutrient loadings to urbanized estuaries have increased over the past decades in response to population growth and upgrading to secondary sewage treatment. Evidence from the San Francisco Estuary (SFE) indicates that increased ammonium (NH₄) loads have resulted in reduced primary production, a counterintuitive finding; the NH4 paradox, Phytoplankton uptake of nitrate (NO3), the largest pool of dissolved inorganic nitrogen, is necessary for blooms to occur in SFE. The relatively small pool of ambient NH4, by itself insufficient to support a bloom, prevents access to NO3 and bloom development. This has contributed to the current rarity of spring phytoplankton blooms in the northern SFE (Suisun Bay), in spite of high inorganic nutrient concentrations, improved water transparency and seasonally low biomass of bivalve grazers. The lack of blooms has likely contributed to deleterious bottom-up impacts on estuarine fish. This bloom suppression may also occur in other estuaries that receive large amounts of anthropogenic NH₄. In 2010 two rare diatom blooms were observed in spring in Suisun Bay (followed by increased abundances of copepods and pelagic fish), and like the prior bloom observed in 2000, chlorophyll accumulated after NH4 concentrations were decreased. In 2010, low NH4 concentrations were apparently due to a combination of reduced NH4 discharge from a wastewater treatment plant and increased river flow. To understand the interactions of river flow, NH4 discharge and bloom initiation, a conceptual model was constructed with three criteria; 1) NH4 loading must not exceed the capacity of the phytoplankton to assimilate the inflow of NH₄, 2) the NH₄ concentration must be $<4 \mu$ mol L⁻¹ to enable phytoplankton NO3 uptake, 3) the dilution rate of phytoplankton biomass set by river flow must not exceed the phytoplankton growth rate to avoid "washout". These criteria were determined for Suisun Bay; with sufficient irradiance and present day discharge of 15 tons NH4-N d-1at the upstream wastewater treatment plant. The loading criterion requires phytoplankton NH4 uptake to exceed 1.58 mmol m⁻² d⁻¹: the concentration criterion requires river flow >800 m³ s⁻¹ at the SRWTP for sufficient NH4 dilution and the washout criterion requires river flow at Suisun Bay <1100 m³ s⁻¹. The model and criteria are used to suggest how a reduction in anthropogenic NH4, either by reduced discharge or increased dilution (river flow), could be used as a management tool to restore pre-existing productivity in the SFE and similarly impacted estuaries.

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Background: *The Ammonium Paradox*

Paradigm: Excess nutrient loads cause phytoplankton blooms (production) and may result in cultural eutrophication; degraded aesthetics, low DO, HABs.

Empirical evidence: In the northern SFE and other systems, excess NH_4 may result in low phytoplankton production; cultural oligotrophication, (decreased algal biomass and altered phytoplankton community).

Background: The Link Between Phytoplankton and Fish Yield



Background: Long Term Trends in Ammonium and Phytoplankton

Chl-a decline due to benthic grazing... but decline predates clams.





Spring blooms in 2000 and 2010 cannot be explained by clams.

Background: Nutrients Alter Foodwebs



Background: Ammonium Interferes with Phytoplankton Nitrate Physiology



Background: Ammonium Reduces Phytoplankton Biomass



USGS monitoring shows that NH_4 >4µmol L⁻¹ is not associated with chl-a.

Chl-a associated with low NH_4 and high NO_3 .

Background: Ammonium and Nitrate in the SFE



$1 \mu mol N L^{-1} = 1 \mu g chl - a L^{-1}$

If phytoplankton use all N (e.g. in a culture flask) then the initial N conc. is predictive of the final chla

In the northern SFE NO_3 is the largest pool (ca. 75%) of nitrogen. Most N NOT used by phytoplankton

What Can Anomalous Blooms Tell Us About Controls on Phytoplankton Growth?



What Can Anomalous Blooms Tell Us About Controls on Phytoplankton Growth?



2010 phytoplankton bloom when $NH_4 < 4 \mu mol L^{-1}$

2010 vs. 2009: What Contributed to the Lower NH_4 in 2010?



April	Effluent Discharge, tons N d ⁻¹
2009	15.54
2010	14.42

Conc. / Washout Criteria

Flow rate 2010 was >50% compared to 2009

Loading Criteria

Decreased NH₄ discharge at WWTP in April 2010

Consequences of the 2010 Bloom on the Pelagic Food Web

Phytoplankton increase 10fold.



Zooplankton increase 9-fold over 2009

Delta smelt (70%) and longfin smelt (194%) increased (FMWT survey)

River Flow and NH₄ Discharge Control Spring Phytoplankton Blooms in the Northern SFE



Loading Criterion

NH₄ load must not exceed capacity of phytoplankton to assimilate NH₄ (or NH₄. will increase)

Conc. Criterion

 NH_4 must be ≤ 4 µmol L⁻¹ to enable phytoplankton NO₃ uptake

Dilution Criterion

River flow, must not exceed the phytoplankton growth rate to avoid "washout". Criteria Values for Suisun Bay with Present Day NH_4 Loading of 15 tons NH_4 -N d⁻¹

1. Loading Criterion requires phytoplankton NH₄ uptake > 1.58 mmol m⁻² d⁻¹ (unlikely) (Suisun uptake rates range from a mean of 0.88 to max of 2.02 mmol m⁻² d⁻¹)

2. Concentration Criterion requires river flow >825 m³ s⁻¹ (29,000 cfs)

3. Washout Criterion requires river flow at Suisun Bay <1100 m³ s⁻¹ (39,000 cfs)

What Does this Mean for Managing Nutrients and Flow in the Estuary?

Based on the three criteria, the most effective management action is to *reduce the NH₄ discharge*

- This addresses the loading criterion and the concentration criterion (which increases the flow/nutrient "window") and both increase the probability of bloom formation.
- Increasing flow alone will improve *Concentration Criterion* but will not influence *Loading Criterion and will quickly exceed the Washout Criterion*



Conclusions

SalmonDelta smeltLongfin smelt

Effectiveness

